

# CBL Markets User Guide

VERSION 2.6.1



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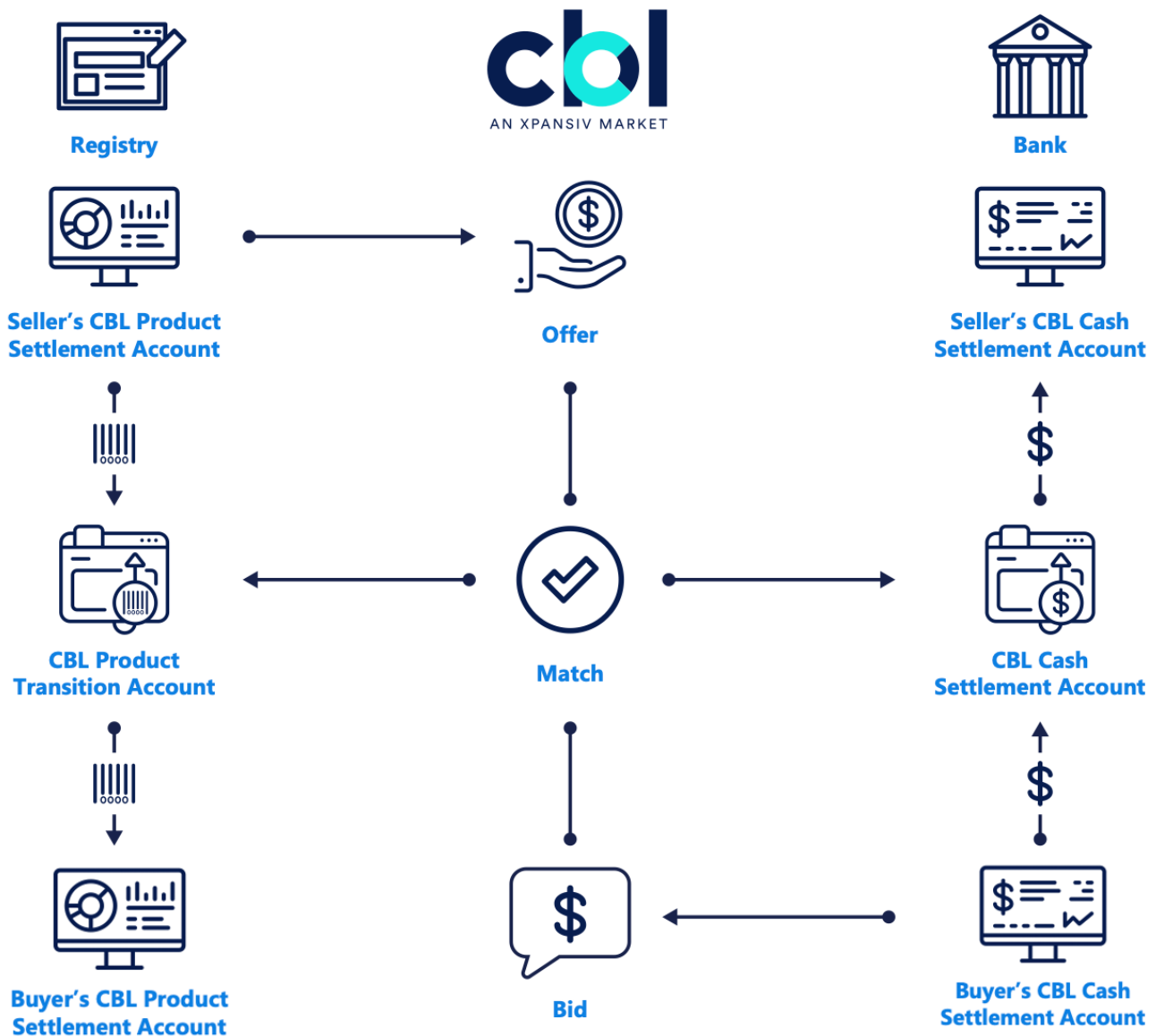
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# PART 1:

## CBL Overview

- The CBL Platform is a transaction facilitator bringing buyers and sellers together.
- CBL facilitates the transfer of both product (i.e., emission units, RECs) and cash, which are settled via secure connections to both registry and bank accounts, respectively.
- To trade on CBL Market, Participants are required to have existing registry accounts connected to Xpansiv Connect (f/k/a EMA) and an external bank account from which funds can be deposited to and withdrawn from the Market.



# Available Registries

Participants must have the relevant registry accounts they wish to connect to CBL Markets.

Registry Name	Connected Via Xpansiv Connect (Required to link in Xpansiv Connect to transact on CBL)
ACR	YES
Climate Action Reserve (CAR)	YES
EcoRegistry for CERCarbono	YES
Evident Registry for IRECs	YES
Gold Standard (GS)	NO
Puro Registry (CORC)	NO
Verra Verified Carbon Standard (VCS)	YES
Global Emissions Offset CORSIA Compliance Phase 1 (GEO-CP1)	NO
Global Emissions Offset (GEO)	YES
Nature Based Global Emissions Offset (NGEO)	YES
Core Global Emissions Offset (CGEO)	YES
Australian National Registry of Emissions (ANREU)	NO
Renewable Energy Target (LRET and SRES)	NO
NSW Energy Saving Scheme (NSW ESS)	NO
New England Power Pool Generation Information Systems (NEPOOL-GIS)	YES
PJM EIS Generation Attribute Tracking System (PJM-GATS)	YES
Texas Renewable Energy Credit Trading Program (ERCOT)	YES
North American Renewables (NAR)	YES
New York Generation Attribute Tracking Systems (NYGATS)	YES
Michigan Renewable Energy Certificates (MIRECS)	YES
Low Carbon Fuel Standard (LRT-CBTS)	NO
California Cap and Trade (CCA)	NO
Regional Greenhouse Gas Initiative (RGGI)	NO
Clean Air Markets Division (CAMD)	NO

# What is Xpansiv Connect (f/k/a EMA)?

Xpansiv Connect is a platform owned by CBL Markets (USA) LLC.

Xpansiv Connect is a powerful tool that provides CBL Market Participants with a single account to view your entire environmental asset portfolio in one place.

Xpansiv Connect also enables real-time transfer initiation and settlement, including retirement within originating registries.

AN XPANSIV SOLUTION

Home Portfolio Transact Projects My EMA CBL

**Xpansiv Portfolio Access: Positions**

Spot Inventory Forward Deals Analysis Retired Positions

**Spot Positions**

Filter Positions by:

-Set Primary Filter- -Set Secondary Filter- -Set Tertiary Filter- -Set Quaternary Filter- Filter Clear New Batch

Expand - Collapse Aggregate

<< first < prev 1 2 next > last >>

	Instrument	Project Name	Reg Assgn ID	Vintage	Program	Qty	† Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy	Actions
▶	VCU-20190101-20191031-EE-T...	THEPARAK WIND IN THAILAND	2002	2019	VCS	0					
▶	ERT-20030101-20031231-TRP_...	Truck Stop Electrification, Georg...	ACR136	2003	ACR	943					
▶	VCU-20120101-20120630-EE-IN...	Natural Gas Based Combined Cycle...	837	2012	VCS	0					
▶	VCU-20170101-20171231-A/R-K...	Reduced Emissions from Deforesta...	1650	2017	VCS	0					
▶	VCU-20120101-20121231-EE-IN...	WIND POWER PROJECT BY M...	840	2012	VCS	0					
▶	VCU-20170101-20171231-A/R-C...	Qianbei Afforestation Project	2082	2017	VCS	0					
▶	VCU-20190101-20191231-EE-IN...	Hydroelectric Project in Kinnaur ...	1742	2019	VCS	0					
▶	VCU-20160101-20161231-WHD...	Nanhai MSW Incineration II Project	2098	2016	VCS	0					
▶	VCU-20160101-20161231-EE-C...	Datong River Zhuchaxia Hydropowe...	817	2016	VCS	0					
▶	VCU-20160101-20160630-A/R-Z...	KARIBA REDD+ PROJECT	902	2016	VCS	0					
▶	VCU-20170101-20171231-A/R-K...	Southern Cardamom REDD+ Projec...	1748	2017	VCS	0					
▶	VCU-20200201-20201231-EE-IN...	Bundled Solar Photovoltaic Projec...	1753	2020	VCS	0					
▶	VCU-20200101-20200825-EE-IN...	Bundled Solar Power Project by S...	1762	2020	VCS	0					
▶	VCU-20200301-20201231-EE-C...	Ningxia Xiangshan Weining Wind Fa...	1867	2020	VCS	0					
▶	VCU-20160101-20161231-A/R-P...	Madre de Dios Amazon REDD Proj...	844	2016	VCS	0					

# Access CBL Markets

The CBL platform can be accessed at: [www.cblmarkets.net](http://www.cblmarkets.net)



**Login with SSO** — Xpansiv uses Auth0, an industry-leading SSO provider



**Link your SSO with your CBL account** — the only time you need your CBL username and password



**Securely sign in with SSO** — every time after this

1. Users receive a Welcome email from Xpansiv with their CBL username and temporary password. Temporary passwords are valid for 48 hours only. Users should log in and create their own secure password as soon as possible after receiving their CBL user credentials.

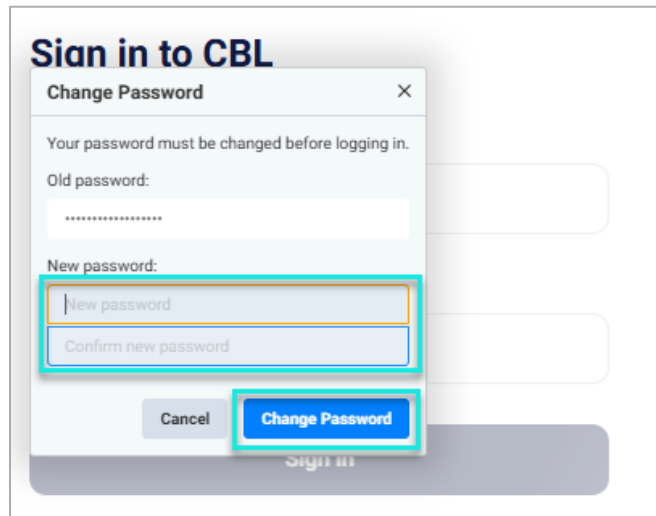


**Sign in to CBL**

Username

Password

2. Sign in to CBL with CBL username and temporary password. The platform requires you to change your password before you proceed. The password must be at least 16 characters long and include at least one lowercase and one uppercase letter. It is best to include number(s) and symbol(s) as well.

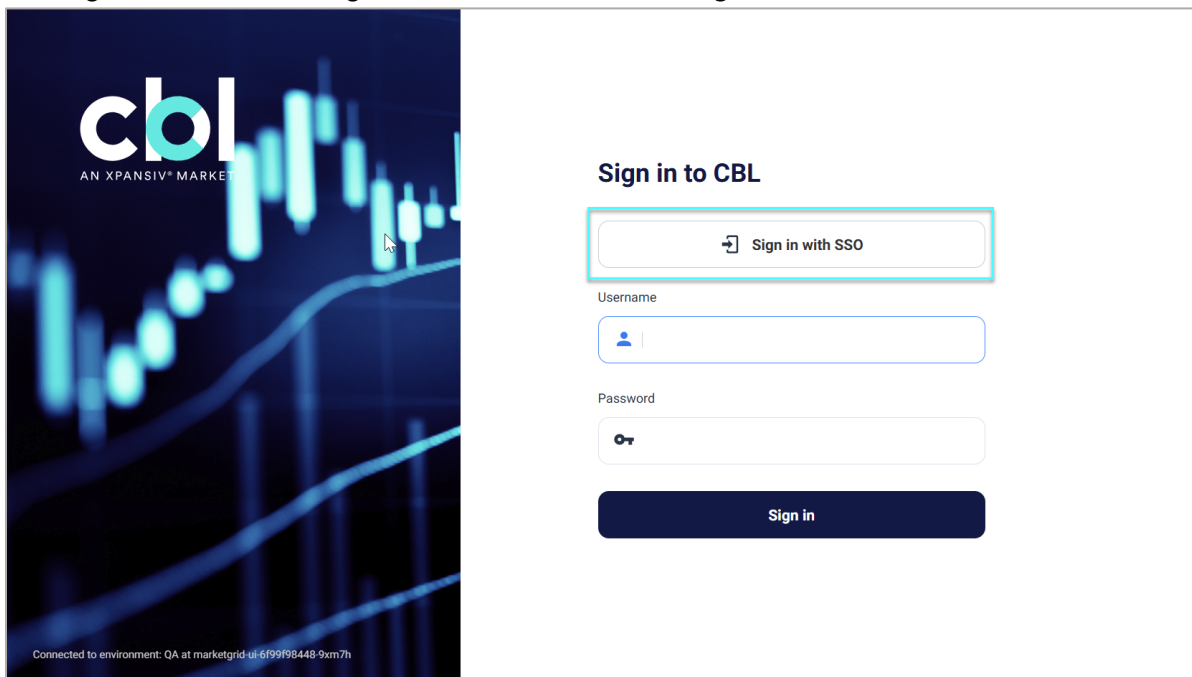


3. Enter a new password then confirm it by entering again.
4. When ready, click **Change Password**.

## Creating SSO Account and Linking to CBL

This is a one-time process for new or current CBL users. After that, you will sign in securely each time through SSO.

1. Navigate to the CBL Sign In screen and select **Sign in with SSO** button.

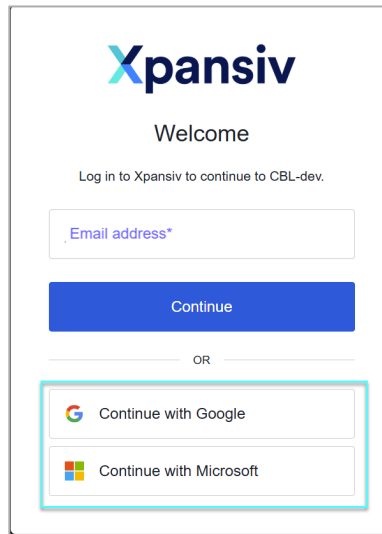


- The Xpansiv Welcome screen will be displayed. Select one of the authentication methods:

**Best methods:**

- **Continue with Google** to authenticate with Google account
- **Continue with Microsoft** to authenticate with Microsoft account

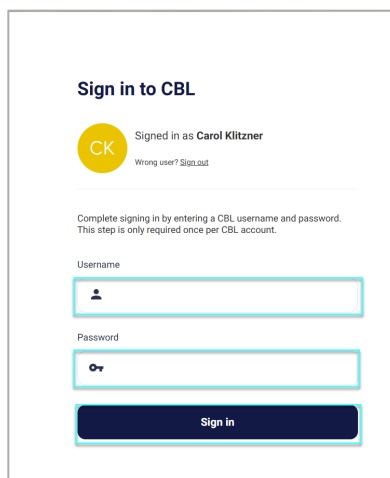
**NOTE:** select a Google or Microsoft account that is affiliated with Xpansiv, e.g., select user’s firm email, not a personal email account.



**Alternate method:**

Enter email address and choose the **Continue** button. (We do not recommend this option.)

- User’s SSO account is now set up with Auth0. The Sign In to CBL screen shows the user signed in with SSO and ready to sign in to link their CBL account – a one-time step. Enter CBL username and password and choose the **Sign in** button.



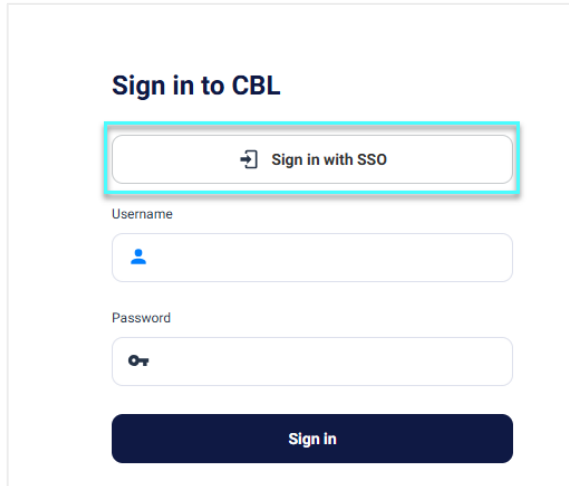
Going forward, user will sign in with one step as described below.

**Note:** You do not have to change your CBL password in the future. Your SSO login is linked to your current Google or Microsoft password.

## Signing in to CBL with SSO

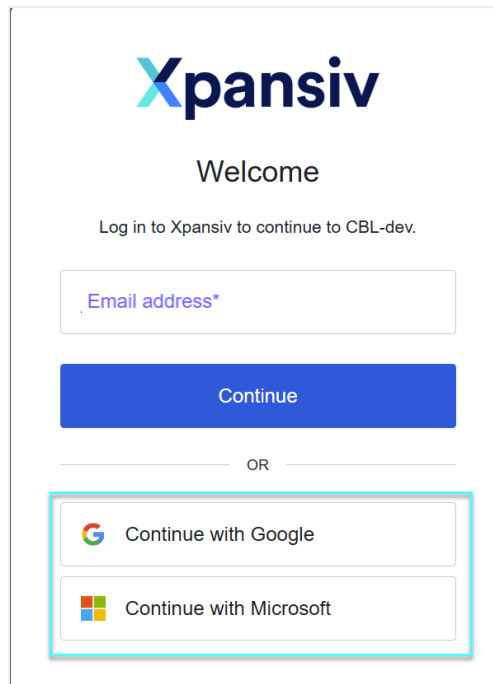
After you set up your SSO account and link it to your CBL account, sign in each time as described here.

1. Choose **Sign in with SSO** on the Sign In screen.



The screenshot shows the 'Sign in to CBL' interface. At the top, the title 'Sign in to CBL' is displayed. Below the title, there is a button labeled 'Sign in with SSO' which is highlighted with a red rectangular box. Underneath this button are two input fields: 'Username' with a person icon and 'Password' with a key icon. At the bottom of the form is a dark blue button labeled 'Sign In'.

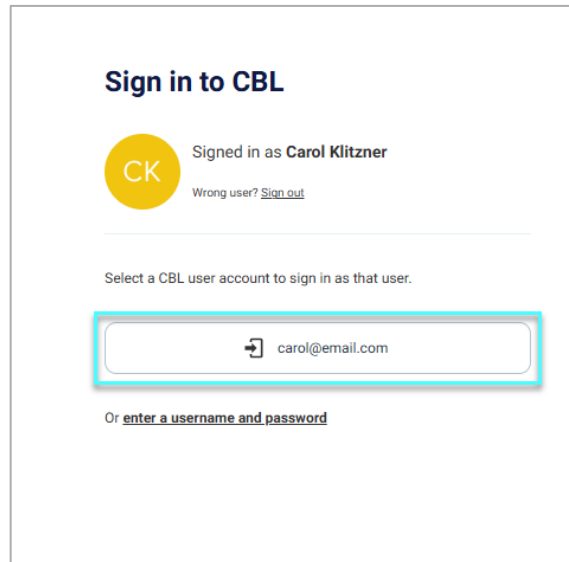
The Xpansiv Welcome screen will be displayed.



The screenshot shows the 'Xpansiv Welcome' screen. At the top is the Xpansiv logo. Below it, the text 'Welcome' is centered, followed by 'Log in to Xpansiv to continue to CBL-dev.' Below this is an input field labeled 'Email address\*'. A blue button labeled 'Continue' is positioned below the input field. Below the 'Continue' button is the text 'OR'. At the bottom, there are two buttons: 'Continue with Google' and 'Continue with Microsoft'. Both of these buttons are highlighted with a red rectangular box.

2. Sign in using Google or Microsoft. (Not recommended: Email address option.)

User's linked CBL account will be shown on the screen.

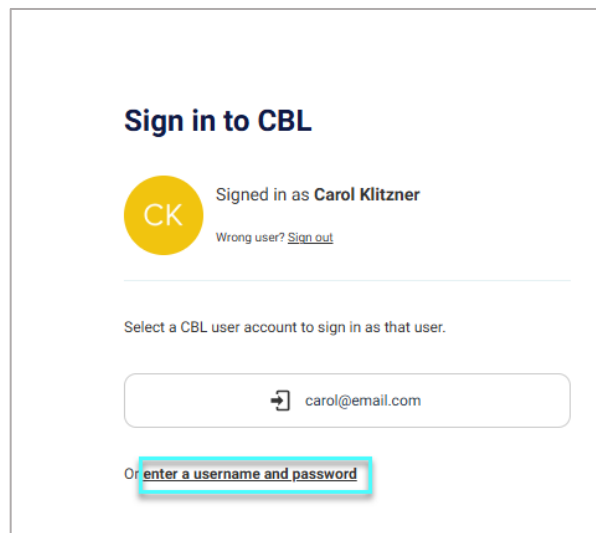


3. Choose CBL account to sign in to CBL.

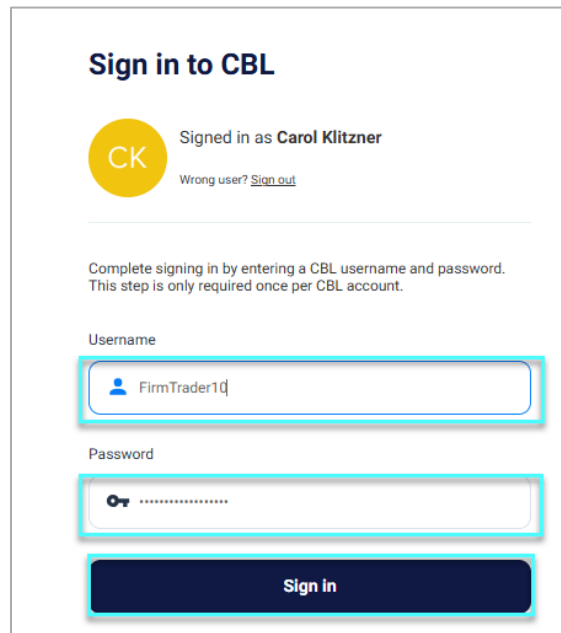
## Adding Additional CBL Accounts to User's Auth0 Account

Have more than one CBL user?

1. Choose the **Sign in with SSO** button on the Sign In screen. Sign in using Google or Microsoft. (Not recommended: Email address option.)
2. Your CBL account(s) will be displayed.



3. Choose the **enter a username and password** link.  
The screen will give you an option to add a new CBL account.



**Sign in to CBL**

Signed in as **Carol Klitzner**  
Wrong user? [Sign out](#)

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Complete signing in by entering a CBL username and password.  
This step is only required once per CBL account.

Username

Password

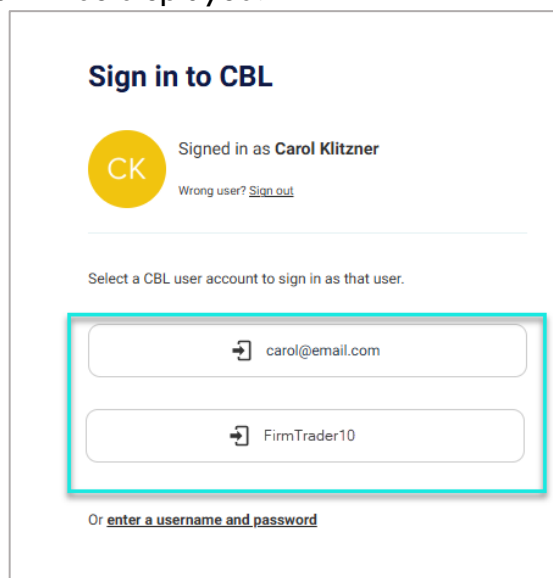
**Sign in**

4. Enter the credentials of the CBL account to link and choose the **Sign in** button.  
You have now connected this new account.
5. Repeat for each CBL user account.

### Want to log in with one of the accounts?

1. Choose **Sign in with SSO** on the Sign In screen and authenticate using Google or Microsoft. (Not recommended: Email address option.)

Linked user accounts will be displayed.



**Sign in to CBL**

Signed in as **Carol Klitzner**  
Wrong user? [Sign out](#)

---

Select a CBL user account to sign in as that user.

Or [enter a username and password](#)

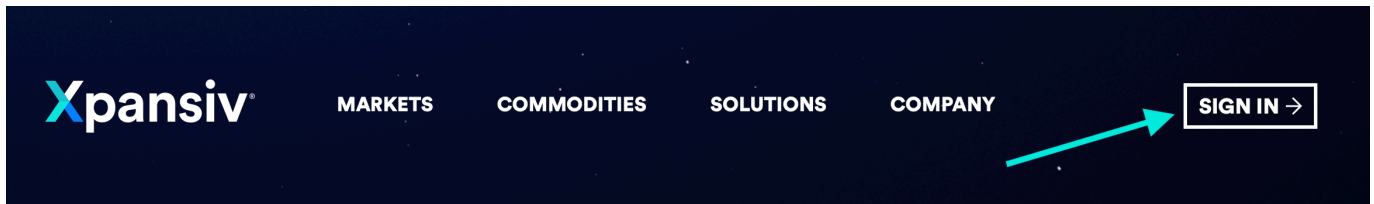
2. Choose the desired account for log in.

## Need Help?

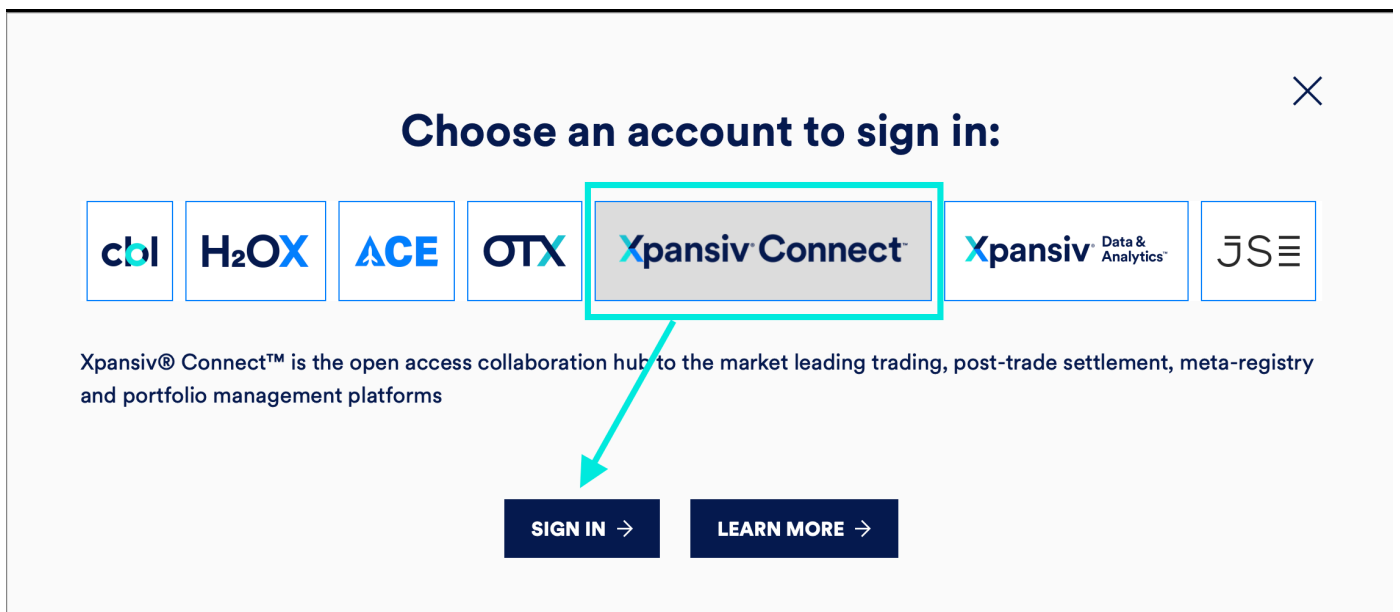
Client Service – [clientservice@cblmarkets.com](mailto:clientservice@cblmarkets.com)

# Access Xpansiv Connect (f/k/a EMA)

The Xpansiv Connect portal can be accessed by going to <https://xpansiv.com> > click Sign In in the upper right



Select Xpansiv Connect > click Sign In



Xpansiv Connect converted the login process to an authorization provider to Single Sign On (SSO). Users can reset their password directly through the authorization provider once set up on SSO.

After a user's password is reset and SSO is triggered, user will receive email confirmation and password reset link.



## Password Change Request

You have submitted a password change request.

If it wasn't you please disregard this email and make sure you can still login to your account. If it was you, then confirm the password change [click here](#).

Thanks!  
Xpansiv

---

If you did not make this request, please contact us by replying to this mail.



Once user is set up, follow these steps:

1. Go to [Xpansiv Website](#). Click “Sign In” in the upper right corner. Select Xpansiv Connect (fka EMA), then click Sign In.
2. Select “Login with SSO”

User ID  [Forgot User ID?](#)

Password  [Forgot Password?](#)

OR

3. Enter user's email address, click Continue
4. Select the “Forgot Password” button
5. Create a new, secure password
6. Follow steps 1-3 again, and this time, enter the new password in the password field
7. Going forward, always use the “Login with SSO” button

## Need Help?

Client Service – [clientservice@cblmarkets.com](mailto:clientservice@cblmarkets.com)

Xpansiv Connect Operations – [support@xpansiv.com](mailto:support@xpansiv.com)

**PART 2:**

# User Guide

# Navigating Workspaces

## VOLUNTARY WORKSPACE:

All listed offsets for the voluntary carbon market will display here. These offsets can be purchased towards your voluntary initiatives.

## STANDARD:

CBL has established connections to the major standards via their registries.

The Voluntary workspace offers Participants the ability to filter offers based on any of the standards listed by selecting or de-selecting the radio icons for each of the standards in the **STANDARD** menu.

The screenshot displays the 'Voluntary Order Book' interface. On the left, a sidebar contains navigation icons for Water, Orders and Fills, Holdings, SIP, and CORSIA. The main panel is titled 'ORDER ENTRY' and includes buttons for 'Place Bid', 'Place Offer', and 'Report Trade'. Below these are options for 'DISPLAY CURRENCY' and 'Listed Currency'. A 'STANDARD' filter menu is open, showing a list of standards with radio buttons for selection. The standards listed are: Verified Carbon Standard (1), CBL Markets (6), Gold Standard (1), Climate Action Reserve (1), American Carbon Registry (1), Verified Carbon Standard (REPORTED) (1), American Carbon Registry (REPORTED) (1), Climate Action Reserve - CRT (REPORTED) (1), and Gold Standard - VER (REPORTED) (1). Below the standards menu is a 'PROJECT TYPE' filter menu with options: Agriculture (11), Alternative Energy (22), Emissions (25), Energy (15), Other (1), Forestry (9), and Waste (9). On the right, the 'Voluntary Order Book' table is visible, showing columns for 'Actions', 'Standard', and 'Project Type'. The table lists various carbon offset instruments with their respective standards and project types. Below the table, there are sections for 'Orders' and 'Trade Reports', and a pagination indicator showing '1 to 10 of 96 records (filtered)'.

Actions	Standard	Project Type
> B S R	ACR-ERT	
> B S R	ACR-ERT	Mining/minerals
> B S R	GS-VER	Biogas - Cogeneration
> B S R	GS-VER	Biogas - Cogeneration
> B S R	GS-VER	Energy Efficiency
> B S R	GS-VER	Landfill Gas
> B S R	GS-VER	Wind
> B S R	VCS-VCU	Emissions
> B S R	VCS-VCU	Energy Demand
> B S R	VCS-VCU	Energy Industrial

Actions	Reference	Instrument
[edit] [cancel] [hand] [refresh]	0000003908	GS-VER
[edit] [cancel] [hand] [refresh]	0001710792	GS-VER
[edit] [cancel] [hand] [refresh]	0001730349	VCS-VCU
[edit] [cancel] [hand] [refresh]	0001746685	VCS-VCU
[edit] [cancel] [hand] [refresh]	0001748519	GS-VER
[edit] [cancel] [hand] [refresh]	0001749663	VCS-VCU
[edit] [cancel] [hand] [refresh]	0001790127	VCS-VCU
[edit] [cancel] [hand] [refresh]	0001790131	VCS-VCU
[edit] [cancel] [hand] [refresh]	0001790133	VCS-VCU
[edit] [cancel] [hand] [refresh]	0001798811	VCS-VCU
[edit] [cancel] [hand] [refresh]	0001798812	VCS-VCU

## PROJECT TYPE:

Participants can filter offers on the screen by selecting the radio icons under “Project Type”.

The drop-down menu under each type also expands to allow filtering by specific methodology/technology type.

## VINTAGE:

Participants can filter offers on the screen by selecting the slide bar under “Vintage”.

The Voluntary Market will show all vintage ranges available to trade.

## COUNTRY/REGION:

Participants can filter offers on the screen by selecting the radio icons under “Country”, beginning at a regional level.

The drop-down menu can be expanded to show all countries within a region.

Participants can select all regions, specific regions or specific countries to view credits available via CBL.

The screenshot displays a trading interface with three filter panels on the left and a table on the right. The filter panels are: PROJECT TYPE (All, None) with options: Agriculture (11), Alternative Energy (22), Emissions (25), Energy (15), Other (1), Forestry (9), Waste (9), Landfill (2), and Conservation; VINTAGE (From, To) with a Clear button; and COUNTRY (All, None) with options: Africa (58), Antarctica (3), Asia (51), Europe (51), Islands (6), Latin America (49), and North America (5). The table on the right is titled 'Voluntary Order Book' and has tabs for 'Orders' and 'Trade Reports'. The 'Orders' tab is active, showing a table with columns: Actions, Reference, and Instrumer. The table contains 12 rows of order data.


Actions	Reference	Instrumer
> B S R	0001710792	GS-VE
> B S R	0001730349	VCS-\
> B S R	0001746685	VCS-\
> B S R	0001748519	GS-VE
> B S R	0001790127	VCS-\
> B S R	0001790131	VCS-\
> B S R	0001790133	VCS-\
> B S R	0001798811	VCS-\
> B S R	0001798812	VCS-\
> B S R	0001798813	VCS-\

# PROJECT DETAILS:

To learn more about each project, click the **Project ID** buttons in the Project column.

Voluntary Order Book				Search					
Actions	Product			Sell					
	Standard ▲	Project Type ▲	Country ▲	Ask	Ask Qty	Ask Sum	Lift	S Project	S Vintage
▼ B S R	ACR-ERT	Other	United States	USD-VCM 4.75	457	457			2005–2005
				USD-VCM 4.75	↑↓ 457	457	L	157	2005
> B S R	GS-VER	Biogas - Cogeneration	China	USD-VCM 7.50	10,810	38,942			2017–2019
▼ B S R	GS-VER	Wind	Turkey	USD-VCM 4.75	21,064	28,379			2015–2015
				USD-VCM 4.75	21,064	21,064	L	GS2682	2015
				USD-VCM 4.75	7,315	28,379	L	GS436	2015
▼ B S R	VCS-VCU	Afforestation and reforestation		USD-VCM 4.00	5,000	5,000			2017–2017
				USD-VCM 4.00	5,000	5,000	L	2458	2017
				USD-VCM 4.00	5,000	5,000	L		
▼ B S R	VCS-VCU	Emissions	China	USD-VCM 2.00	20,000	24,985			2008–2008
				USD-VCM 2.00	↑↓ 20,000	20,000	L	439	2008
				USD-VCM 4.10	↑↓ 4,985	24,985	L	439	2008
▼ B S R	VCS-VCU	Energy Demand	Canada	USD-VCM 15.00	10,000	10,000			2016–2016
				USD-VCM 15.00	10,000	10,000	L	929	2016
> B S R	VCS-VCU	Energy Industries - renewable/non-renewable sources		USD-VCM 2.00	2,500	7,500			2015–2020

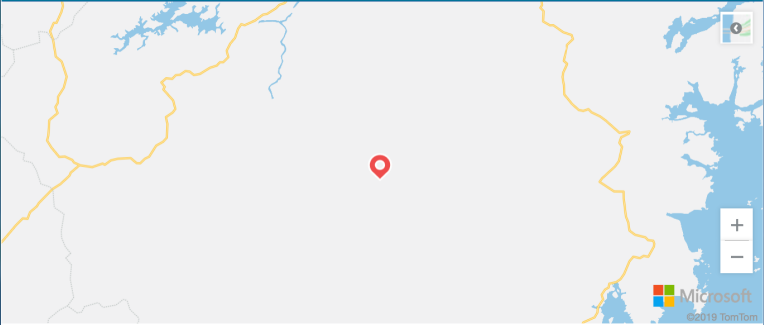
Clicking on the **Project ID** will direct you to the registry project page and provide project information, project validation documents, and details on issuance.



[NEWS](#)
[PUBLIC REPORT ▼](#)
[OPEN AN ACCOUNT](#)

Home / Verified Carbon Standard / Project 439

**YINGPENG HFC23 DECOMPOSITION PROJECT**



The purpose of this proposed project is to collect all of the waste stream of HFC23 from HCFC22 production process, and decompose it almost completely by an incinerator to be installed in Yingpeng Chemical Co., Ltd. HFC23 will be decomposed to carbon dioxide (CO2), hydrogen chloride (HCl), and hydrogen fluoride (HF) etc., with high temperature and low pH value, and be further processed by the cooling and de-acid process before being emitted to the atmosphere.

**PROJECT SUMMARY**

**ID**  
439

**State/Province**  
Zhejiang Province

**VCS**

**Proponent**  
Yingpeng Chemical Co., Ltd.  
Kota, India

**VCS Project Status**  
Registered  
[View Issuance Records](#)

**Estimated Annual Emission Reductions**  
7865277

**VCS Project Type**  
Fugitive emissions from production and consumption of halocarbons and sulphur hexafluoride

**VCS Methodology**  
AM0001

## View Preferences:

Click **Expand All** (Collapse All) in the Voluntary Order Book to view the depth of book. Alternatively, you can click on single rows of interest within the Order Book to expand or collapse the data.

Click the **three dots** in the upper right of any widget or page to customize your view.

Product				Sell					Buy				
Standard	Project Type	Country		Ask	Ask Qty	Lift	Ask Sum	S Project	S Vintage	B Vintage	B Project	B Info	Bid Sum
ACR-ERT	Mining/mineral production	United States		USD-VCM 4.95	148,475		298,407		2019-2019				
				USD-VCM 4.95	148,475	L	148,475	556	2019				
				USD-VCM 5.45	45,874	L	194,349	551	2019				
				USD-VCM 5.50	99,766	L	294,115	598	2019				
				USD-VCM 6.85	4,292	L	298,407	556	2019				
CCS-COU	AFOLU	Colombia		USD-VCM 4.00	10,307		51,084		2017-2020				
				USD-VCM 4.00	10,307	L	10,307	59	2017				
				USD-VCM 4.75	17,692	L	27,999	68	2018				
				USD-VCM 5.10	10,000	L	37,999	55	2019				
				USD-VCM 5.15	13,085	L	51,084	55	2020				
GS-VER	Biogas - Cogeneration	China		USD-VCM 7.45	9,000		38,942		2017-2019				
				USD-VCM 7.45	9,000	L	9,000	GS2664	2017				
				USD-VCM 7.50	10,810	L	19,810	GS2644	2019				
				USD-VCM 8.00	6,000	L	25,810	GS2665	2018				
				USD-VCM 8.30	13,132	L	38,942	GS2665	2017				
GS-VER	Energy Efficiency	Bangladesh		USD-VCM 8.50	14,658		19,462		2021-2022				
				USD-VCM 8.50	14,658	L	14,658	GS11152	2022				
				USD-VCM 8.50	4,804	L	19,462	GS11152	2021				
GS-VER	Energy Efficiency	Nigeria		USD-VCM 10.50	18,893		47,904		2020-2021				
GS-VER	Energy Efficiency	Rwanda		USD-VCM 9.50	29,667		34,877		2021-2022				

User placing orders can differentiate their orders in the order book by the **'Person'** icon.

Product				Buy				Sell							
Standard	Project Type	Country	Province	B Vintage	B Project	B Info	Hit	Bid Sum	Bid Qty	Bid	Ask	Ask Qty	Lift	Ask Sum	S Proj
ACR-ERT	Industrial Process Emissions	United States									USD-VCM 3.60	50,000		241,984	
ACR-ERT	Mining/mineral production	United States									USD-VCM 4.95	148,475		298,407	
CCS-COU	AFOLU	Colombia									USD-VCM 4.00	10,307		51,084	
GS-VER	Biogas - Cogeneration	China									USD-VCM 7.45	9,000		38,942	
GS-VER	Energy Efficiency	Bangladesh									USD-VCM 8.50	14,658		19,462	
GS-VER	Energy Efficiency	Nigeria		2020-2020				350	200	USD-VCM 29.99	USD-VCM 10.50			18,893	47,904
				2020	875		H	200	200	USD-VCM 29.99	USD-VCM 10.50	18,893	L	18,893	GS731
				2020	875			350	150	USD-VCM 19.99	USD-VCM 11.00	29,011	L	47,904	GS731
GS-VER	Energy Efficiency	Rwanda									USD-VCM 9.50	29,667		34,877	

**Orders** with an **H** designation are Firm Bids and can be Hit to execute. If there is no **H** available next to the order, it is an indicative quote only, a non-binding bid.

Product				Buy				Sell							
Standard	Project Type	Country	Province	B Vintage	B Project	B Info	Hit	Bid Sum	Bid Qty	Bid	Ask	Ask Qty	Lift	Ask Sum	S Proj
ACR-ERT	Industrial Process Emissions	United States									USD-VCM 3.60	50,000		241,984	
ACR-ERT	Mining/mineral production	United States									USD-VCM 4.95	148,475		298,407	
CCS-COU	AFOLU	Colombia									USD-VCM 4.00	10,307		51,084	
GS-VER	Biogas - Cogeneration	China									USD-VCM 7.45	9,000		38,942	
GS-VER	Energy Efficiency	Bangladesh									USD-VCM 8.50	14,658		19,462	
GS-VER	Energy Efficiency	Nigeria		2020-2020				350	200	USD-VCM 29.99	USD-VCM 10.50			18,893	47,904
				2020	875		H	200	200	USD-VCM 29.99	USD-VCM 10.50	18,893	L	18,893	GS731
				2020	875			350	150	USD-VCM 19.99	USD-VCM 11.00	29,011	L	47,904	GS731
GS-VER	Energy Efficiency	Rwanda									USD-VCM 9.50	29,667		34,877	

# SIP AND COMPLIANCE WORKSPACES:

Click **Expand All** (Collapse All) to view the depth of book.

Click the **three dots** in the upper right of any widget or page to customize your view.

Actions	Ticker	Last	Change	Change %	Bid Total	Bid Sum	Hit	Bid Qty	Bid	Ask	Ask Qty	Lift	Ask Sur
> [Icons]	CGEO-SIP				7,000	1,000	H	1,000	1.97	2.16	2,000	L	2,00
						3,000		2,000	1.80	2.35	1,000		3,00
						6,000		3,000	1.50	2.55	5,000		8,00
										2.75	10,300		18,30
										4.30	1,000		19,30
> [Icons]	CGEO-TR-SIP				1,800	1,800	H	1,800	1.50	1.95	2,000	L	2,00
> [Icons]	GEO-SIP				8,000	3,000	H	3,000	3.90	4.08	2,000	L	2,00
						5,000		2,000	3.50	4.35	2,000		4,00
						8,000		3,000	3.10	4.50	10,000		14,00
										5.88	200,000		214,00
										8.10	1,000		215,00
										8.20	1,000		216,00
										8.30	1,000		217,00

By hovering over each field option, you will see an explanation of what that column provides.

Actions	Market	Instrument	Bid Sum	Hit	Bid Qty	Bid	Ask	Ask Qty	Lift	Ask Sum	Last
> [Icons]	Compliance	S02GP1v18	5,000	H	5,000	3.00	3.30	2,000	L	2,000	
> [Icons]	Compliance	S02GP2v18					2.00	15	L	15	
> [Icons]	Compliance	MD2v19					5.00	453	L	453	
> [Icons]	Compliance	NJSRV20	100	H	100	220.00					
> [Icons]	Compliance	MDSRV20	25	H	25	58.00					
> [Icons]	Compliance	MD1v20	500	H	500	21.75					
> [Icons]	Compliance	DCSRV20	25	H	25	300.00	385.00	2	L	2	
> [Icons]	Compliance	TXV20	5,000	H	5,000	0.90					
> [Icons]	Compliance	NYexHYDv20					2.00	459	L	459	
> [Icons]	Compliance	NYexWNDv18					1.00	255	L	255	
> [Icons]	Compliance	NYexHYDv19					2.50	10,002	L	10,002	
> [Icons]	Compliance	NYexHYDv17					1.00	9,700	L	9,700	
> [Icons]	Compliance	TXCRSrvNDv20bh	5,000	H	5,000	0.90	4.25	2,395	L	2,395	
> [Icons]	Compliance	NJ1v21	500	H	500	21.50					

## CBL HOLDINGS:

You can view your Market Inventory by navigating to **Holdings > Holdings**.

Filter your holdings in the upper right by toggling between the **All**, **Products**, or **Cash** buttons.

You can further filter by right clicking over **Instrument**, enter your preferred criteria, and click **Apply**.

Click **Export** in the upper right of any page to download your data in csv format.

The screenshot shows the 'Holdings' page with a table of holding transactions. A dialog box for filtering by instrument is open, showing search criteria and a list of instruments with their respective balances and available amounts.

Instrument	Open Balance	Balance	Available	Approved Deposit	Approved Withdrawal	Pending Deposit	Pending Withdrawal	Planned In	Planned Out	Actual In	Actual Out
	201,391.77	662,239.27						62,825.00	38,600.00		
Exactly		71,017	61,017					5,000	10,000		
and/or		31,971	31,971					2,000			
Contains		25,398	25,398					1,800			
	14,888.50	14,888.50						1,099.00			
TWGA	17,500	17,500	7,500						10,000		
13MIA	3,460.00	3,460.00	3,460.00								
CGEO	3,000	3,000	3,000					1,000			
Vw21		1,174	1,174	2,348	1,174						
7LMW	1,039.7	1,039.7	1,039.7								
1A	907.00	907.00	907.00								
13	750.00	750.00	750.00								
MDv21		1,174	574	2,348	1,174					600	
Vw19		525	525	1,050	525						
LACH-US-STD	500.00	500.00	500.00								
LACH-US-GST	500.00	500.00	500.00								
LACH-US-TAKE	500.00	500.00	500.00								
LACH-US-HOLD	500.00	500.00	500.00								
11	500.00	500.00	500.00								
NARUSHYDv20	1,500	500	500	3,000	1,500					1,000	
OHNSV21	496	346		992	496						150

## CBL HOLDINGS TRANSACTIONS:

You can view Holding Transaction by navigating to **Holdings > Holdings Transactions**.

Filter your holdings in the upper right by toggling between the **All**, **Products**, or **Cash** buttons.

You can further filter by right clicking over **Created** and/or **Instrument**, enter your preferred criteria, and click **Apply**.

The screenshot shows the 'Holdings Transactions' page with a table of holding transactions. The table includes columns for Id, Created, Firm, Account, Type, Instrument, Project ID, Vintage, Quantity, Holding Transaction Status, and Updated By.

Id	Created	Firm	Account	Type	Instrument	Project ID	Vintage	Quantity	Holding Transaction Status	Updated By
3029688	2022-08-31			Deposit	NARUSHYDv20			1,500	Confirmed	
3029668	2022-08-31			Set	NJSRV22			5	Confirmed	
3029658	2022-08-31			Withdraw	NARUSHYDv20			1,500	Requested	
3029131	2022-08-30			Withdraw	USD			60,000.00	Confirmed	
3028491	2022-08-30			Deposit	NARUSHYDv20			1,500	Confirmed	
3028461	2022-08-30			Withdraw	NARUSHYDv20			1,500	Requested	
3027146	2022-08-29			Deposit	NARUSHYDv20			1,500	Confirmed	
3027122	2022-08-29			Withdraw	NARUSHYDv20			1,500	Requested	
3026521	2022-08-29			Withdraw	USD			60,000.00	Confirmed	
3025884	2022-08-26			Deposit	NARUSHYDv20			1,500	Confirmed	
3025856	2022-08-26			Withdraw	NARUSHYDv20			1,500	Requested	
3024592	2022-08-25			Deposit	NARUSHYDv20			1,500	Confirmed	
3024562	2022-08-25			Withdraw	NARUSHYDv20			1,500	Requested	
3023167	2022-08-24			Deposit	NARUSHYDv20			1,500	Confirmed	
3023133	2022-08-24			Withdraw	NARUSHYDv20			1,500	Requested	
3021939	2022-08-23			Deposit	NARUSHYDv20			1,500	Confirmed	
3021909	2022-08-23			Withdraw	NARUSHYDv20			1,500	Requested	
3020669	2022-08-22			Deposit	NARUSHYDv20			1,500	Confirmed	
3020624	2022-08-22			Withdraw	NARUSHYDv20			1,500	Requested	
3019391	2022-08-19			Deposit	NARUSHYDv20			1,500	Confirmed	
3019337	2022-08-19			Withdraw	NARUSHYDv20			1,500	Requested	

# Custom Workspaces

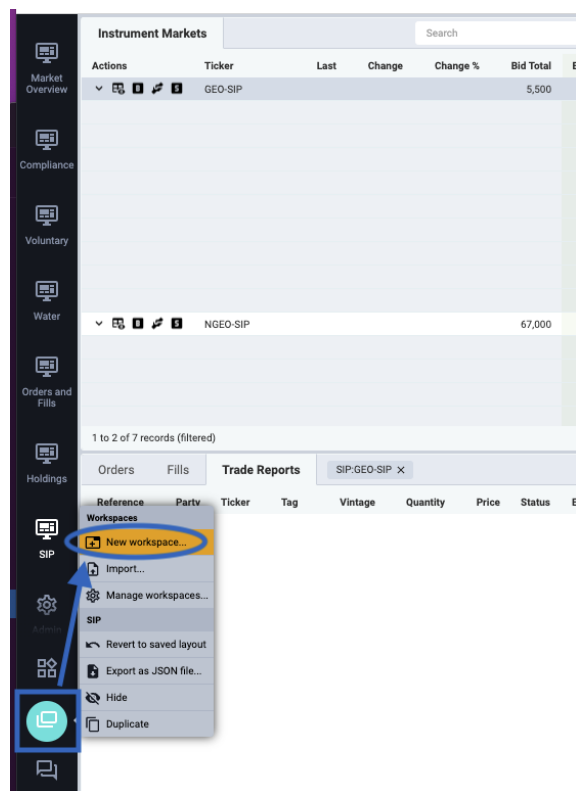
The Xpansiv CBL Platform enables users to create custom workspaces. Custom workspaces allow users to modify their pages by adding and arranging widgets in a custom location from any market screen in a workspace.

To create a custom workspace users can start with a pre-existing market template, a blank custom workspace, or make a copy of one of the primary workspaces and edit from there.

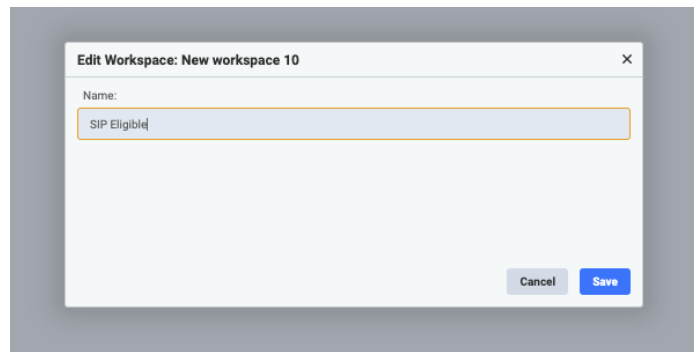
**NOTE:** Whenever changes are made to a workspace, such as adding widgets, changing filters, etc. those changes must be saved for the changes to persist past the login session. To learn how to save changes, see [Saving Workspaces](#). Any changes not saved, will be lost upon user logout.

## Blank Custom Workspace

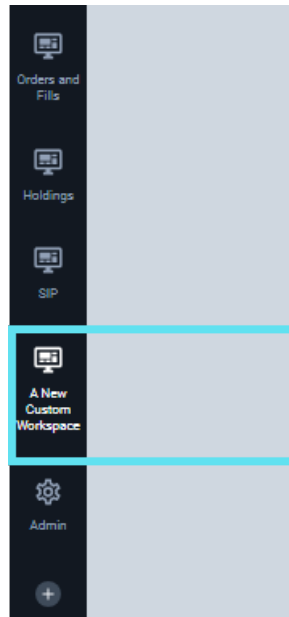
To create a brand new blank custom workspace, begin by clicking the [Workspace](#) icon on the left side toolbar, then click [New Workspace](#).



Name your **Custom Workspace**, then click **Save**.

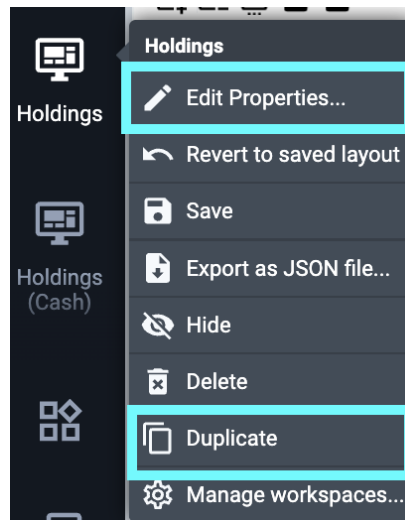


The workspace will now be available on the left hand navigation bar, underneath the existing workspaces. To change the order of the workspace, click and drag it to the desired location on the navigation bar.



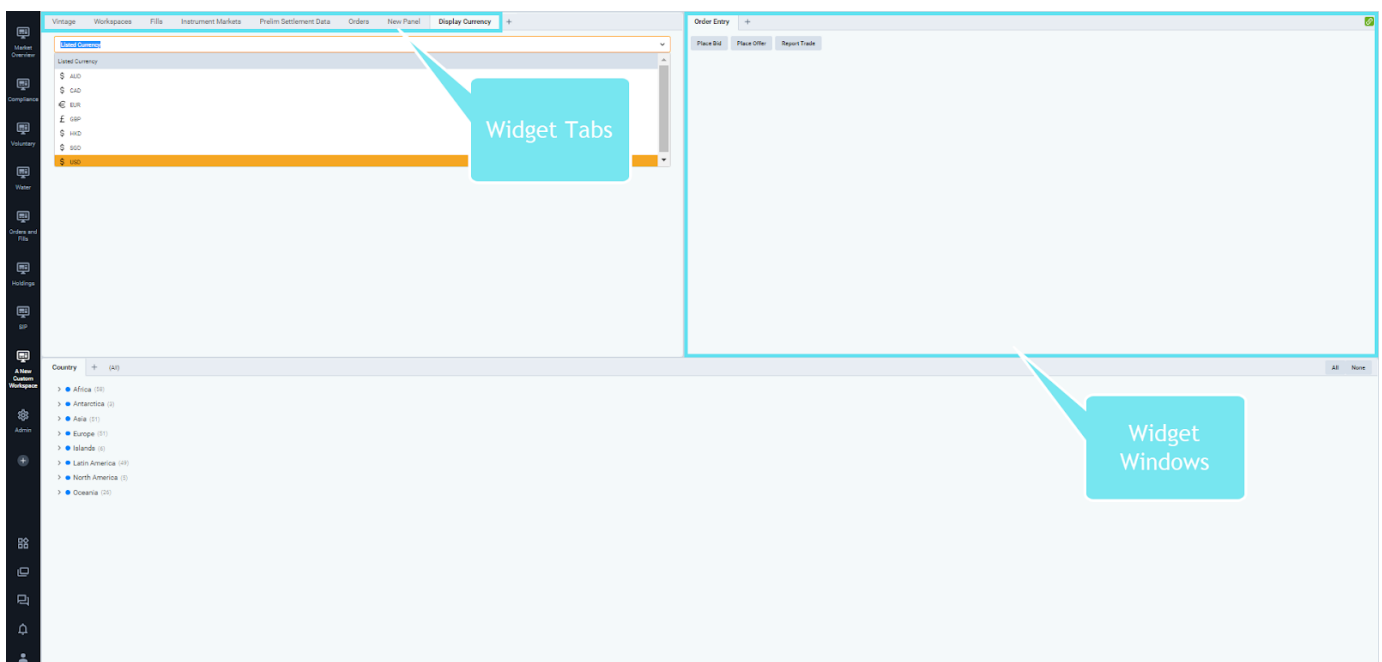
## Create a copy of an Existing Workspace

Alternatively, you can duplicate an existing **Workspace**. Right click the desired workspace, then select "Duplicate" and a copy of the desired **Workspace** will be available in your **Tool Bar** that can be customized to a user's preferences. You can change the **Workspace** name by double clicking the icon or right clicking and selecting "Edit Properties".



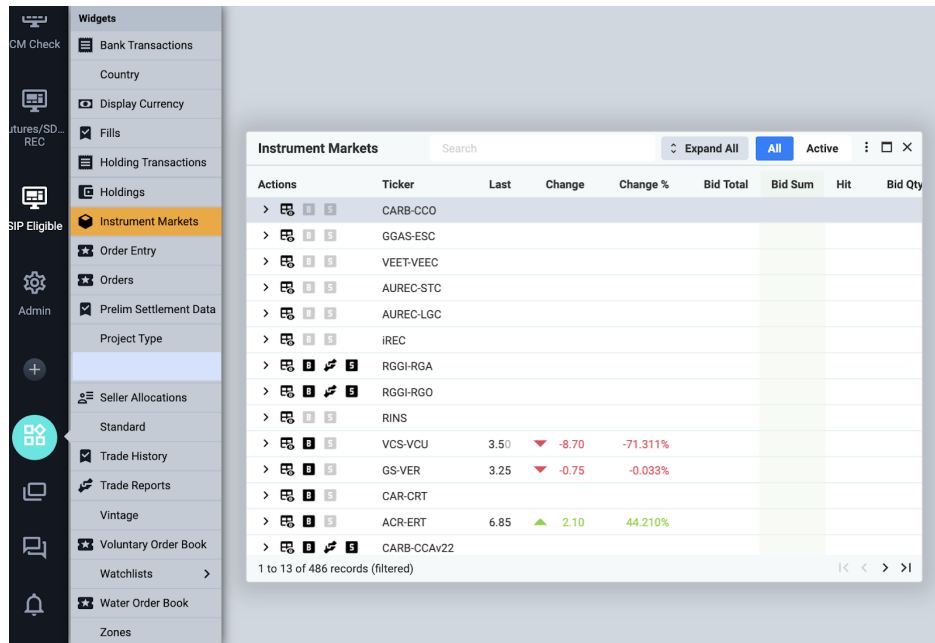
## Add Widgets to a Workspace

Widgets can be tabbed into a window and/or placed adjacent to other widget windows so they can be viewed side by side.



To add a new widget to a space, navigate to your newly created **Workspace** on the left.

Click the **Widgets** icon and select from the available widgets to create your custom layout.



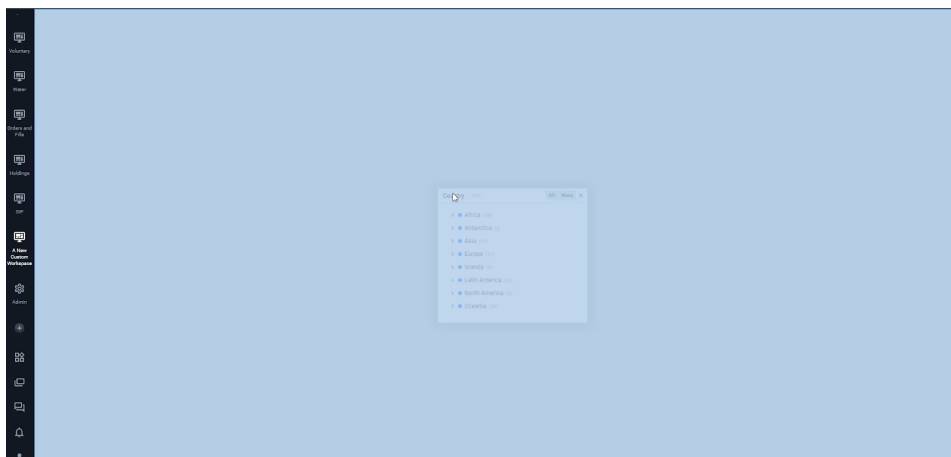
After selecting the **Widgets** you want on your **Custom Workspace**, you can arrange your view of them on the screen. **Note:** although you can drag and drop the widgets anywhere on the screen, they must be docked in order to save to your layout. The next steps will walk you through how to dock the widgets to the **Workspace**.

### Docking Widgets to a Workspace:

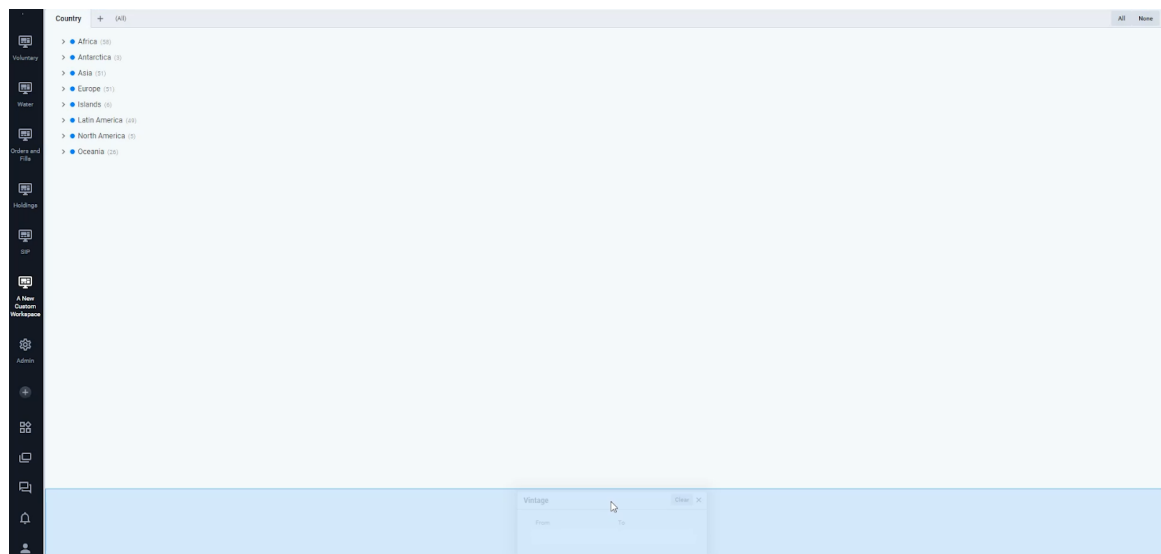
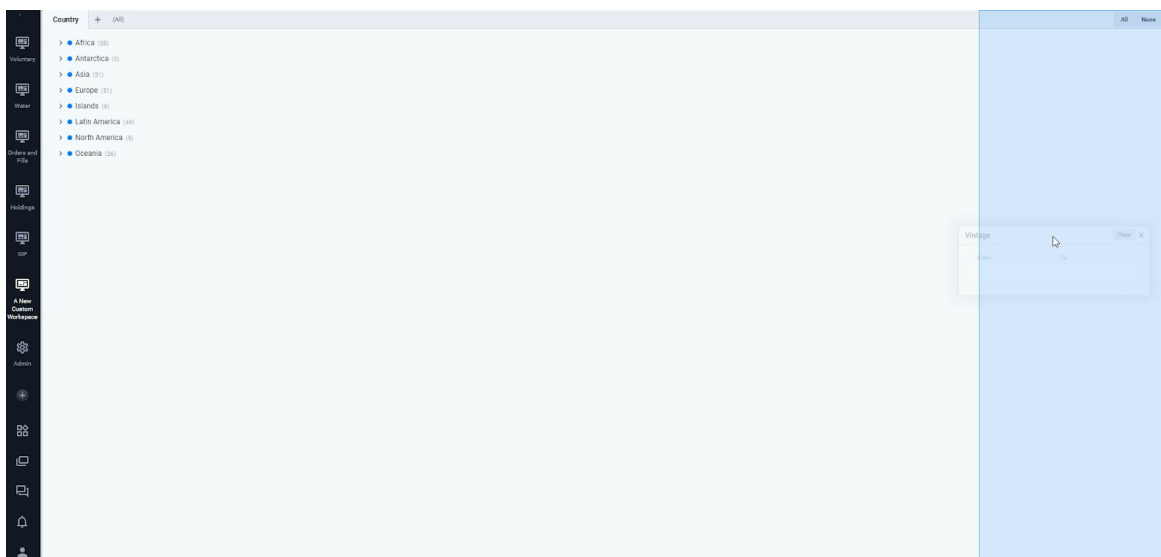
First, click the top bar of the **Widget** you want to move and hold it (just as if you were going to drag and drop something) and hold down the shift key on the keyboard.

**Note:** You can always drag and drop widgets around the space. However, they will not dock into the workspace until you hold shift while dragging and dropping your widget.

If you have only one **Widget** you want on your custom workspace, a blue tint will fill the screen.



If you have multiple widgets, once selected a blue tinted bar will appear in the area you hover over with your mouse to indicate a location to dock the new widget.



Drag and drop within the workspace depending on where you want to place your **Widget**.

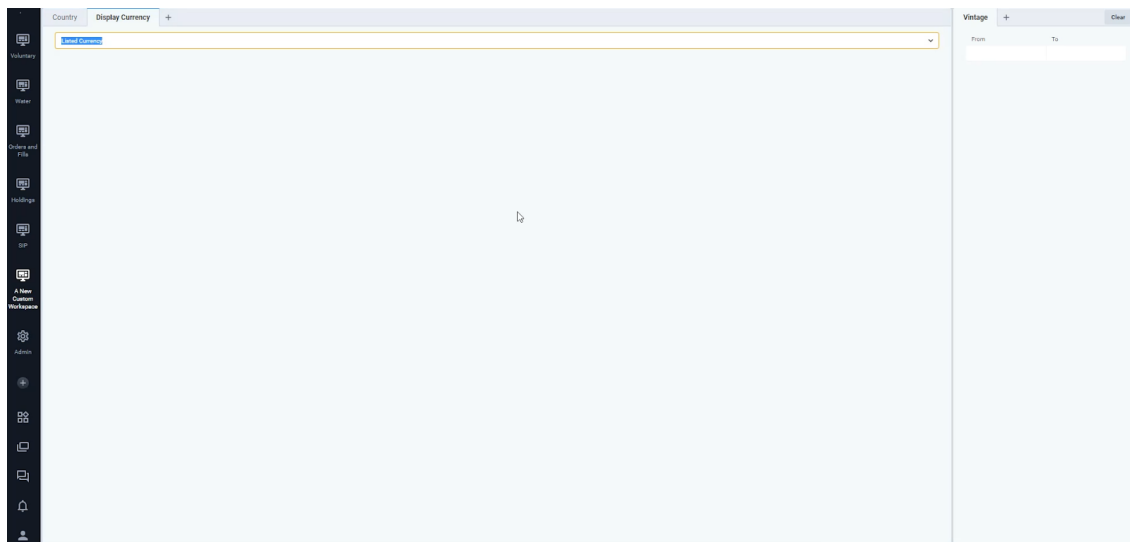
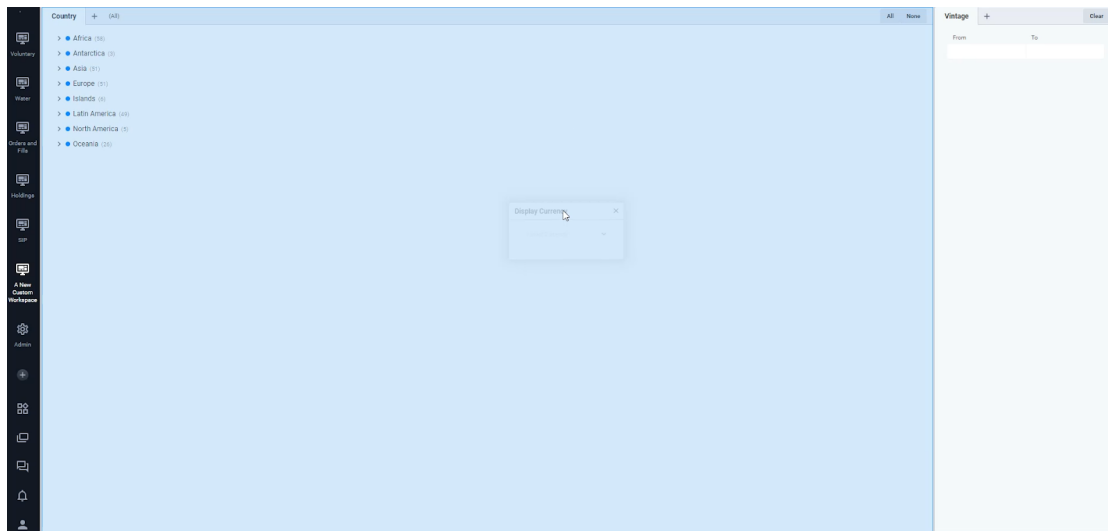
**Note:** Depending on your layout and where your mouse is located on the screen, different areas of the workspace will highlight blue, indicating a valid location to drop the widget.

When ready, release the widget by letting go of the mouse button and it will dock in place within your new workspace. After it's docked into the space, release the shift key.

Remember to **Save** your work, by right clicking the Workspace Name on the left hand navigation bar and selecting Save.

## Tabbing Widgets:

It's possible to tab multiple widgets in the same widget window. To do so, drag the widget to the middle of an existing widget window, note that the existing widget window will turn blue, indicating that you can drop it into place.



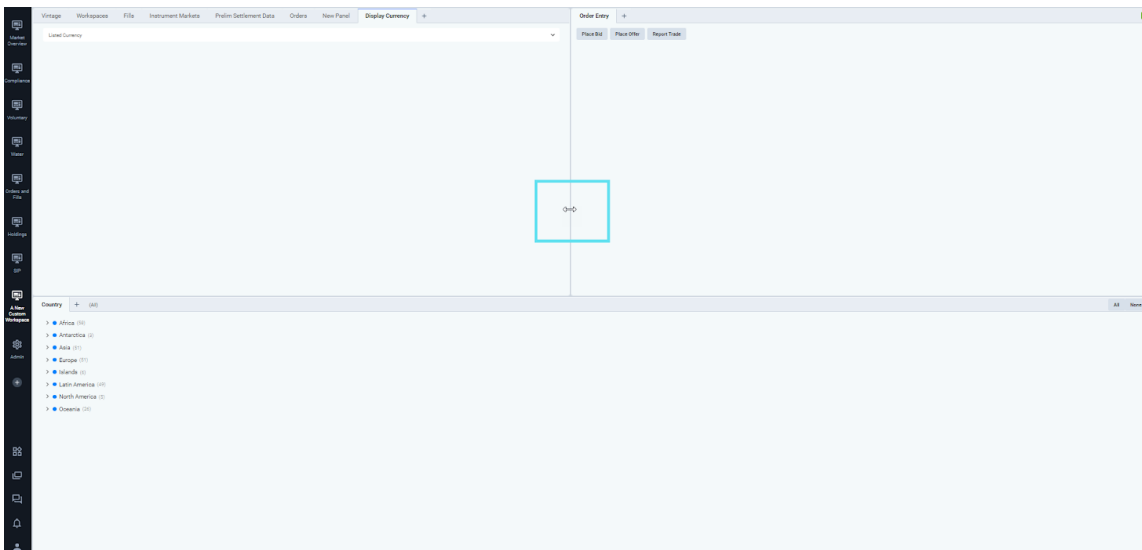
When ready, release the widget by letting go of the mouse button and it will dock in place within your new workspace.

Alternatively, you can also click the plus sign on any widget window to select a widget to add to that window.

Once the widgets have been placed in their desired location. Remember to **Save** the configuration by right clicking on the workspace name on the left hand side of the screen and selecting **Save**.

### Adjusting Widget Window Sizes:

Docked Widget Windows Height and Width can be adjusted to fit a space. To do so, hover your mouse between two widget windows until a two sided arrow icon replaces your normal mouse icon.

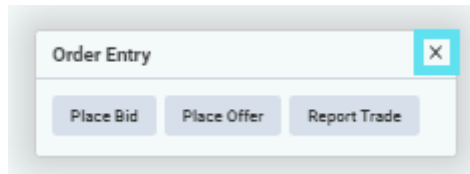
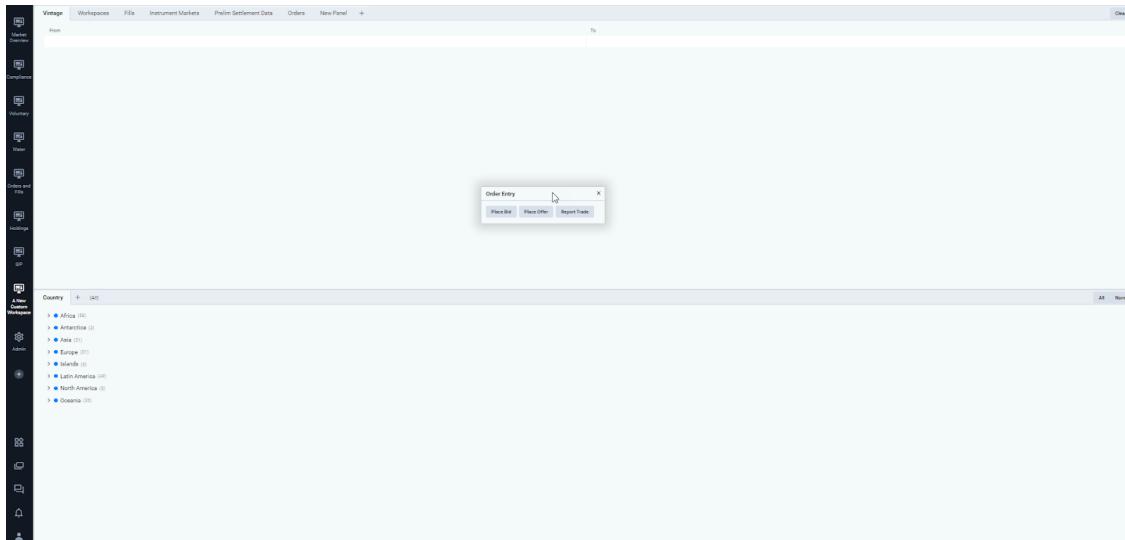


Then drag and release when the desired widget window size has been accomplished.

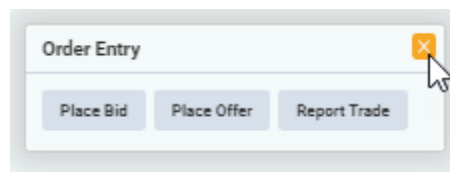
Remember to **Save** the configuration by right clicking on the workspace name on the left hand side of the screen and selecting **Save**.

### Removing Widgets from a Widget Window or Workspace:

To remove a widget window, click on the tab or widget window you'd like to remove, drag the widget tab or window towards the center of the screen. The widget should now be undocked and an 'X' icon should be visible on the top right hand side of the widget.



Click on the 'X' icon to remove the widget.

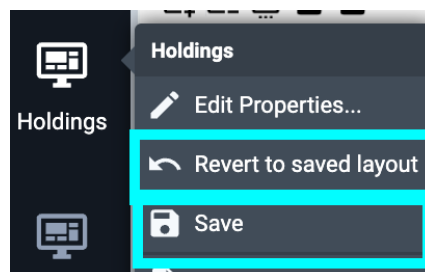


Remember to **Save** the configuration by right clicking on the workspace name on the left hand side of the screen and selecting **Save**.

## Saving Workspaces

After creating a custom **Workspace** or modifying an existing one, it is important to **save the changes** you have made so you do not lose them upon log out.

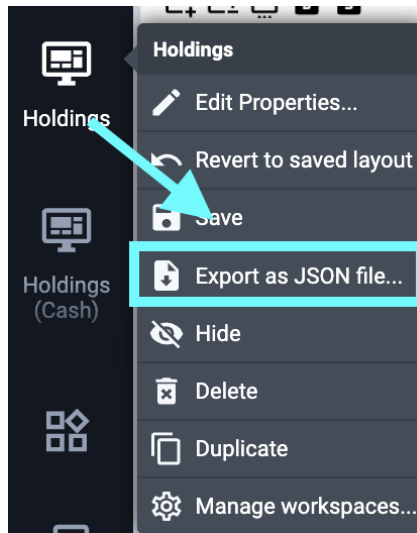
To save a **Workspace**, right click its icon and select "**Save**". Alternatively, you can revert changes to a **Workspace** by selecting "Revert to saved layout"; this will restore the previously saved view.



## Exporting a workspace: Exporting JSON Files

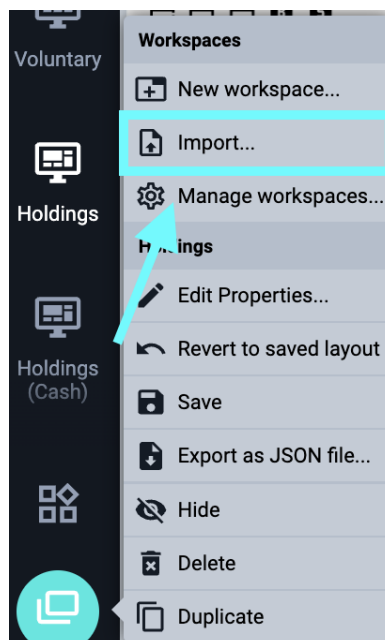
You can export your custom **Workspace** as a **JSON** file. A **JSON** file is a saved workspace layout from the Platform; these files can be shared with colleagues and/or stored for later use.

When right clicking the desired **Workspace**, select “Export as a JSON file” and a file will download to your computer.



## Importing a workspace: Importing JSON Files

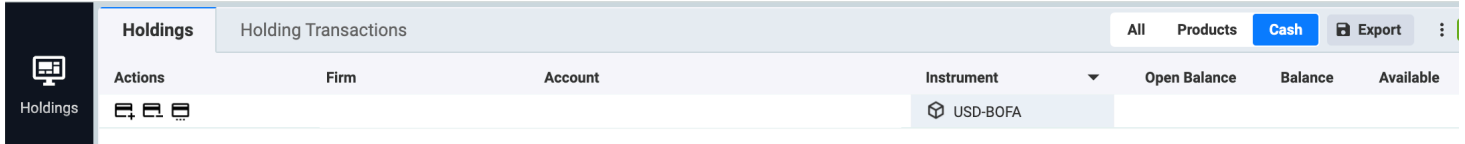
A user can import a shared **JSON** file by clicking the **Workspace** icon on your toolbar and select “Import”. Once the **JSON** file is selected for import, the **Workspace** will appear in the left toolbar.



# Entering Orders on CBL

## INITIATING A BUY

A buyer must be in-funds, inclusive of applicable fees, to bid on CBL Platform.



### Markets which source funds from USD-BOFA

USD-BOFA is the Participant’s dedicated CBL Markets FBO account at Bank of America N.A. (BofA)

- Verra Verified Carbon Standard (VCS)
- Climate Action Reserve (CAR)
- ACR
- Gold Standard (GS)
- CERCarbono (COU)
- International REC Standard (IREC)
- Puro Standard CO<sub>2</sub> Removal Certificate (CORC)
- Standard Instruments Program (SIP)
- US Renewable Energy Certificates (US RECs)
- Compliance Instrument Tracking System Service (CITSS)
- Regional Greenhouse Gas Initiative (RGGI)
- Clean Air Markets Division (CAMD)
- Low Carbon Fuel Standard (LCFS)

A CBL User with cash manager authority can locate the Participant BofA FBO **funding instructions** on CBL Platform. Once logged in to CBL, go to Admin > Bank Accounts. See details for External Account Provider > BOFA.



**BofA Address:** 222 Broadway, New York, NY 10038

**ACH ABA Routing Number:** 111000012

**WIRE ABA Routing Number:** 026009593

**SWIFT:** BOFAUS3N

Alternatively, you can also contact CBL at:

**Client Service – [clientservice@cblmarkets.com](mailto:clientservice@cblmarkets.com)**

**Market Operations – [operations@cblmarkets.com](mailto:operations@cblmarkets.com)**

**Phone: +1-833-972-6677**

## INITIATING A SELL

**All SIP and Compliance:** after depositing inventory to CBL Market, seller initiates and manages offers directly on CBL Platform; for deposit instructions, refer to [Depositing SIP to CBL](#) and [Depositing US RECs to CBL](#).

**VCS, CAR, ACR, COU, IREC:** after depositing inventory to CBL Market, seller initiates and manages offers directly on CBL Platform; for deposit instructions, refer to [Depositing Voluntary Carbon \(VCM\) to CBL](#).

**Registries Not Integrated to Xpansiv Connect:** refer to [Registries and Instruments Not Integrated to Xpansiv Connect](#).

[Gold Standard Registry](#)

[Puro Registry](#)

[Standard Instruments Program GEO-CP1](#)

[Compliance Instrument Tracking System Services](#)

CO2 Allowance Tracking System for the [Regional Greenhouse Gas Initiative](#)

[Clean Air Markets Division Business System](#) Allowance Management System

[LCFS Reporting Tool – Credit Bank and Transfer System](#)

[Australian National Registry of Emission Units](#)

# SIP and Compliance – Buy or Sell

## ORDER ENTRY:

- In the Order Book, under Actions, select the “B” or “S” button. An **Order Ticket** will open.
- You can enter multiple orders by clicking Multi in upper right corner of the **Order Ticket**.
- Enter your order preferences within the **Order Ticket**.
  - Order Type
  - Quantity
  - All or None
  - Limit Price
  - Expiry
  - Account: your Participant Account
- Alternatively, you can **Hit** or **Lift**.

The screenshot displays the 'Instrument Markets' interface. On the left, a sidebar contains navigation icons for Compliance, Voluntary, SIP, Orders and Fills, Holdings, Water, and REDD+. The main area shows an order book with columns for Actions, Market, Instrument, Bid Sum, Hit, Bid Qty, Bid, Ask, Ask Qty, Lift, Ask Sum, Last, and Change. The 'Enter Order' modal is open, showing the following details:

- Enter Order** (Single) Multi X
- Ticker: Compliance:PJM-PASRv23
- Side: Buy Sell
- Type: Limit Market FAK Iceberg
- Total Quantity: None PASRv23
- All or None: Yes No
- Limit Price: None USD
- Expiry: GFS DAY GTC GTD GTT (Goodfor Session)
- Account: None
- Held:
- Estimated values: Fees: - USD 1,537.50 USD; Nett: - USD 10,221.25 USD 1
- Projected holdings: PASRv23: - 1,800.00 USD; USD: - 2,265.00 USD
- Buttons: Leave Place Buy Order

Below the order book, there is a table for 'Orders' with columns for Actions, Reference, Ticker, Qty, and Limit Price.

Actions	Reference	Ticker	Qty	Limit Price
	0001607999	Compliance:MIRECS-MIRPSv21	115	4.00
	0001623484	Compliance:MIRECS-MIRPSv21	104	4.00
	0001768155	Compliance:NYGATS-NYexHYDv19	10,002	2.50
	0001769315	Compliance:NYGATS-NYexHYDv17	10,000	1.00
	0001779867	Compliance:PJM-MD2v21	250	10.75
	0001779868	Compliance:PJM-MD2v21	150	10.25
	0001779908	Compliance:ERCOT-TXCRSWNDv20bh	2,405	4.25
	0001804269	Compliance:NYGATS-NYexWNDv18	255	1.00
	0001841526	Compliance:PJM-NJ2v22	100	18.00
	0001853943	Compliance:PJM-MD2v19	453	5.00
	0001860905	Compliance:NAR-NARUSHYDv20	800	1.15
	0001860906	Compliance:NAR-NARUSHYDv20	200	1.25

# Voluntary – Buy or Sell

## ORDER ENTRY:

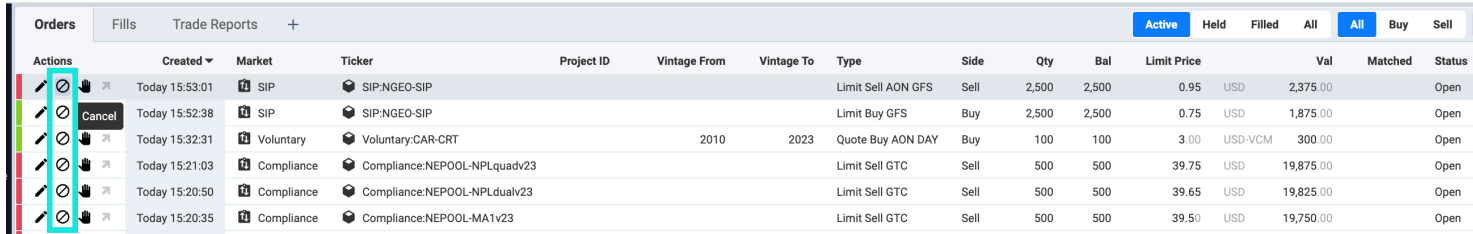
- In the Voluntary Order Book, under Actions, select the “B” or “S” button. An **Order Ticket** will open.
- You can enter multiple orders by clicking Multi in upper right corner of the **Order Ticket**.
- Enter your order preferences within the **Order Ticket**.
  - Side
  - Project ID, Project Type, and Country
  - Vintage
  - Quantity
  - All or None
  - Limit Price
  - Expiry
  - Account: your Participant Account
- Alternatively, you can **Hit** or **Lift**.

The screenshot displays the 'Voluntary Order Book' interface. On the left, a sidebar contains navigation options: Market Overview, Compliance, Voluntary (highlighted), SIP 2, Orders and Fills 2, Holdings 2, Admin, and a plus sign. The main area shows a table of orders with columns for Actions, Reference, Ticker, and Project ID. The 'Enter Order' modal is open, showing fields for Standard (Voluntary.VCS-VCU), Side (Buy/Sell), Project ID, Project Type (AFOLU), Country (Cambodia), Vintage From/To, Total Quantity, All or None (Yes/No), Limit Price, Currency (USD-VCM), Expiry (DAY/GTC/GTD/GTT), Account, Settlement Currency (USD-VCM), and Info. Buttons for 'Single', 'Multi', 'Buy', 'Sell', 'Place Buy Order', and 'Leave' are visible.

# Amending and Cancelling Orders

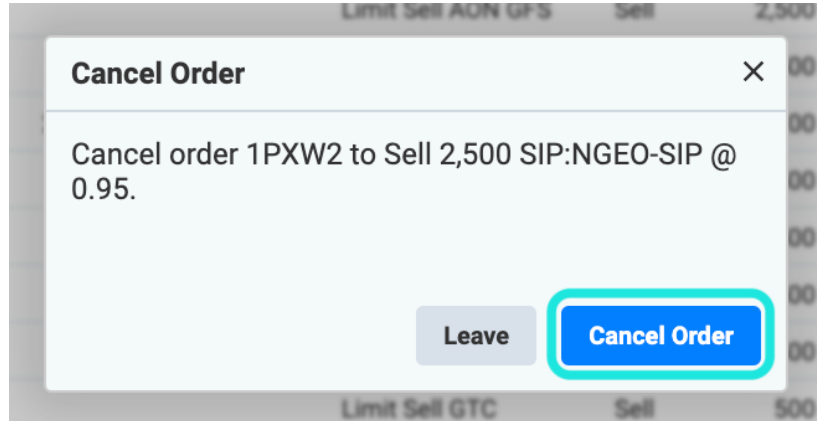
From any screen under Orders&Fills > Orders (Compliance, Voluntary, SIP, Orders&Fills):

- To **cancel** an open order:
  - Navigate to the 'No' icon under Actions on the far left. If hovering over it, 'Cancel' will pop up.

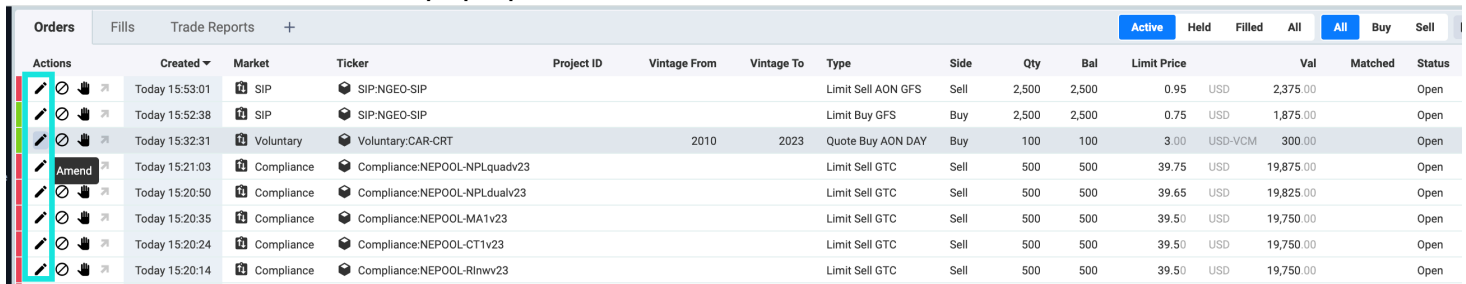


Orders	Fills	Trade Reports	+	Active	Held	Filled	All	All	Buy	Sell				
Actions	Created	Market	Ticker	Project ID	Vintage From	Vintage To	Type	Side	Qty	Bal	Limit Price	Val	Matched	Status
	Today 15:53:01	SIP	SIP:NGEO-SIP				Limit Sell AON GFS	Sell	2,500	2,500	0.95 USD	2,375.00		Open
	Today 15:52:38	SIP	SIP:NGEO-SIP				Limit Buy GFS	Buy	2,500	2,500	0.75 USD	1,875.00		Open
	Today 15:32:31	Voluntary	Voluntary:CAR-CRT		2010	2023	Quote Buy AON DAY	Buy	100	100	3.00 USD-VCM	300.00		Open
	Today 15:21:03	Compliance	Compliance:NEPOOL-NPLquadv23				Limit Sell GTC	Sell	500	500	39.75 USD	19,875.00		Open
	Today 15:20:50	Compliance	Compliance:NEPOOL-NPLdualv23				Limit Sell GTC	Sell	500	500	39.65 USD	19,825.00		Open
	Today 15:20:35	Compliance	Compliance:NEPOOL-MA1v23				Limit Sell GTC	Sell	500	500	39.50 USD	19,750.00		Open

- Click the icon and a pop up will appear requesting the user confirm the order for cancellation.



- To **amend** an open order:
  - Navigate to the 'pencil' icon under Actions on the far left. If hovering over it, 'Amend' will pop up.



Orders	Fills	Trade Reports	+	Active	Held	Filled	All	All	Buy	Sell				
Actions	Created	Market	Ticker	Project ID	Vintage From	Vintage To	Type	Side	Qty	Bal	Limit Price	Val	Matched	Status
	Today 15:53:01	SIP	SIP:NGEO-SIP				Limit Sell AON GFS	Sell	2,500	2,500	0.95 USD	2,375.00		Open
	Today 15:52:38	SIP	SIP:NGEO-SIP				Limit Buy GFS	Buy	2,500	2,500	0.75 USD	1,875.00		Open
	Today 15:32:31	Voluntary	Voluntary:CAR-CRT		2010	2023	Quote Buy AON DAY	Buy	100	100	3.00 USD-VCM	300.00		Open
	Today 15:21:03	Compliance	Compliance:NEPOOL-NPLquadv23				Limit Sell GTC	Sell	500	500	39.75 USD	19,875.00		Open
	Today 15:20:50	Compliance	Compliance:NEPOOL-NPLdualv23				Limit Sell GTC	Sell	500	500	39.65 USD	19,825.00		Open
	Today 15:20:35	Compliance	Compliance:NEPOOL-MA1v23				Limit Sell GTC	Sell	500	500	39.50 USD	19,750.00		Open
	Today 15:20:24	Compliance	Compliance:NEPOOL-CT1v23				Limit Sell GTC	Sell	500	500	39.50 USD	19,750.00		Open
	Today 15:20:14	Compliance	Compliance:NEPOOL-Rinwv23				Limit Sell GTC	Sell	500	500	39.50 USD	19,750.00		Open

- Click the icon and an order box will appear providing the user the ability to amend certain parameters (e.g., quantity, price, etc.). Fields greyed out are not editable.

**Amend Order**

Reference: \_\_\_\_\_

Ticker: SIP:NGEO-SIP

Side: Buy Sell

Type: Limit Market FAK Iceberg

Total Quantity: 5000 All or None: Yes No

Limit Price: 0.98 USD

Expiry: GFS DAY GTC GTD GTT

Account: \_\_\_\_\_

Held

Estimated values:

Fees: 250.00 USD

Nett: 4,650.00 USD

Projected holdings:

NGEO: 16,503

USD: 80,604.91

Leave Amend Sell Order

- Users have the option to **Hold** an order; this temporarily suspends the order and removes it from the order book without having to cancel it. To **hold** an open order:
  - Navigate to the ‘hand’ icon under Actions on the far left. If hovering over it, ‘Hold’ will pop up.

Actions	Created	Market	Ticker	Project ID	Vintage From	Vintage To	Type	Side	Qty	Bal	Limit Price	
	Today 17:25:15	SIP	SIP:NGEO-SIP				Limit Buy GTC	Buy	5,000	5,000	0.83	U:
	Today 17:24:02	SIP	SIP:NGEO-SIP				Limit Sell GTC	Sell	5,000	5,000	0.98	U:
	Today 15:53:01	SIP	SIP:NGEO-SIP				Limit Sell AON GFS	Sell	2,500	2,500	0.95	U:
Hold	Today 15:52:38	SIP	SIP:NGEO-SIP				Limit Buy GFS	Buy	2,500	2,500	0.75	U:
	Today 15:32:31	Voluntary	Voluntary:CAR-CRT		2010	2023	Quote Buy AON DAY	Buy	100	100	3.00	U:
	Today 15:21:03	Compliance	Compliance:NEPOOL-NPLQuadv23				Limit Sell GTC	Sell	500	500	39.75	U:

- Click the icon and a pop up will appear requesting the user confirming to hold the order.

**Hold Order**

Hold order 1PXW2 to Sell 2,500 SIP:NGEO-SIP @ 0.95.

Leave Hold Order

# Lifting an Offer

- Within the Order Book on any screen, click the arrow downward to view the depth of book, and then the "L" button to lift (buy) an offer.
- Selecting "L" means you agree to the offer price listed.
- **Order Ticket** will auto populate with the offer parameters.
- You can lift **partial** Quantity only if you see the up/down arrows next to the Ask Qty.

**Voluntary Order Book** Collapse All Search

Actions	Product			Buy					Sell				
	Standard	Project Type	Country	B Vintage	B Project	B Info	Bid Sum	Bid Qty	Bid	Ask	Ask Qty	Lift	Ask Sum
> B S R	ACR-ERT	Mining/mineral production	United States							USD-VCM 7.65	150,000		250,000
> B S R	ACR-ERT	Other	United States							USD-VCM 4.75	457		457
> B S R	GS-VER	Biogas - Cogeneration	Bulgaria							USD-VCM 7.00	23,987		23,987
> B S R	GS-VER	Biogas - Cogeneration	China							USD-VCM 7.50	10,810		38,942
> B S R	GS-VER	Landfill Gas	Turkey							USD-VCM 5.50	12,318		37,728
> B S R	GS-VER	Wind	Turkey							USD-VCM 5.25	21,064		28,406
> B S R	VCS-VCU	Emissions	China							USD-VCM 4.10	4,985		4,985
> B S R	VCS-VCU	Energy Demand	Canada							USD-VCM 15.00	10,000		10,000
> B S R	VCS-VCU	Energy Industries - renewable/non-renewable sources	Brazil							USD-VCM 6.85	50,000		55,000
> B S R	VCS-VCU	Energy Industries - renewable/non-renewable sources	China							USD-VCM 2.50	1,000		300,739
> B S R	VCS-VCU	Energy Industries - renewable/non-renewable sources	Côte d'Ivoire							USD-VCM 5.80	100,000		150,543
> B S R	VCS-VCU	Energy Industries - renewable/non-renewable sources	India							USD-VCM 3.50	20,000		371,721
> B S R	VCS-VCU	Energy Industries - renewable/non-renewable sources	Indonesia							USD-VCM 5.85	21,757		21,757
> B S R	VCS-VCU	Energy Industries - renewable/non-renewable sources	Tajikistan							USD-VCM 6.15	25,378		52,552
> B S R	VCS-VCU	Energy Industries - renewable/non-renewable sources	Turkey							USD-VCM 3.10	68,126		349,871
> B S R	VCS-VCU	Energy Industries - renewable/non-renewable sources	Uganda							USD-VCM 5.90	20,005		20,005
> B S R	VCS-VCU	Forest Carbon	Brazil							USD-VCM 8.00	33,732		285,961
> B S R	VCS-VCU	Forest Carbon	Cambodia							USD-VCM 10.25	3,300		43,148
⌵	VCS-VCU	Forest Carbon	China							USD-VCM 8.90	20,000		20,391
										USD-VCM 8.90	20,000	⌵	20,000
										USD-VCM 9.25	107	⌵	20,107
										USD-VCM 11.50	184	⌵	20,291
										USD-VCM 13.20	100	⌵	20,391

**Lift Offer 0001935424**

Reference: 0001935424

Standard: Voluntary:VCS-VCU

Side: Buy Sell

Project ID: 2507

Quantity: 20000 VCS-VCU

Limit Price: 8.90 USD-VCM

Settlement Currency: USD-VCM

Leave Lift



# Xpansiv Connect Portfolio Access

## PORTFOLIO ACCESS IN XPANSIV CONNECT

Consolidated view of your portfolio of assets across multiple standards/registries and offset types in a single account.

Monitor your account through extensive reporting and valuation capabilities.

- Tax & Compliance
- Accounting & Transaction
- Audit Trail

Home Portfolio Transact Projects My EMA CBL

**Xpansiv Portfolio Access: Positions**

Spot Inventory Forward Deals Analysis Retired Positions

**Spot Positions**

Filter Positions by:

-Set Primary Filter -Set Secondary Filter -Set Tertiary Filter -Set Quaternary Filter Filter Clear New Batch

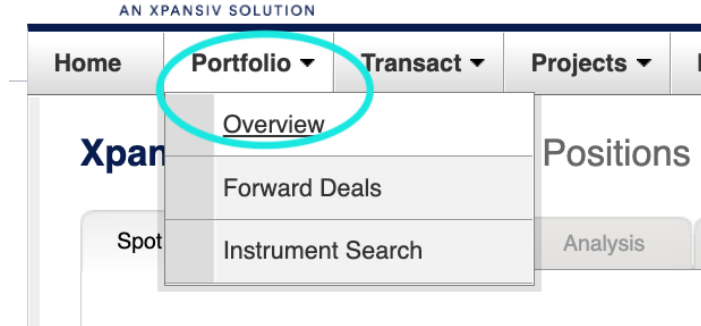
Expand - Collapse Aggregate

<< first < prev 1 2 next > last >>

	Instrument	Project Name	Reg Assgn ID	Vintage	Program	Qty	‡ Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy	Actions
▼	VCU-20190101-20191031-EE-T...	THEPARAK WIND IN THAILAND	2002	2019	VCS	0					🔍
	Tax Lots	Counterparty (CP)	Date	Price Paid	Qty	Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy		
▼	ERT-20030101-20031231-TRP_...	Truck Stop Electrification, Georg...	ACR136	2003	ACR	943					⚙️ 🔍
	Tax Lots	Counterparty (CP)	Date	Price Paid	Qty	Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy		
	03Feb22-Lot1	CBL Markets Settlement	Feb 03 2022	4.50	943						⚙️ 🔍
▼	VCU-20120101-20120630-EE-IN...	Natural Gas Based Combined Cycle...	837	2012	VCS	0					🔍
	Tax Lots	Counterparty (CP)	Date	Price Paid	Qty	Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy		

# Portfolio Inventory

Portfolio > Overview displays holdings associated with linked registry accounts.



- View instrument holdings and quantities under the **Spot Inventory** tab. Click on **Instrument** hyperlink for additional details.

Spot Inventory | Forward Deals | Analysis | Retired Positions

**Spot Positions**

Filter Positions by: [-Set Primary Filter-] [-Set Secondary Filter-] [-Set Tertiary Filter-] [-Set Quaternary Filter-] [Filter] [Clear] [New Batch]

Expand - Collapse | Aggregate

<< first < prev 1 2 3 next > last >>

Instrument	Project Name	Reg Assign ID	Vintage	Program	Qty	† Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy	Actions
ERT-20170701-20171231-IND_P...	Foam Blowing Agent Project 003C	ACR454	2017	ACR	2,599					[Settings] [Refresh]
Tax Lots	Counterparty (CP)	Date	Price Paid	Qty	Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy		[Settings]
15Sep22-Lot1	CBL Markets Settlement	Sep 15 2022	4.55	2,599						[Settings]
VCU-20190101-20190930-EE-IN...	Ghani Solar Renewable Power Proj...	1792	2019	VCS	840					[Settings] [Refresh]
Tax Lots	Counterparty (CP)	Date	Price Paid	Qty	Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy		[Settings]
15Sep22-Lot1	EMA CBL Markets Settleme...	Sep 15 2022	4.55	840						[Settings]

- Review **Instrument Details** down to project or facility level.

**Instrument Details** [Close]

**Instrument Details: EIN 1246713D99**

Description: NY+REC-2011-3-LFG-CT I-ME II

Credit Type: REC

Registry: NEPOOL

Vintage: Mar-2011

Fuel Type: Landfill Gas (LFG)

State Certifications\*: Connecticut Class I  
Maine Class II

Voluntary Certifications\*:

Valid Markets/Programs\*: CT Class I (Certified/Eligible)  
CT Class II (Certified/Eligible)  
ME Class II (Certified/Eligible)  
National Any (Certified/Eligible)

**Project Details: UPN 098AB777**

Name: BARRE LANDFILL

Registry Assigned ID: M551059

**Instrument Markets and Prices**

Certified/Eligible	Price	Ccy	Updated
CT Class I-2011	16.50	USD	Jul 29 2011
CT Class II-2011	0.63	USD	Jul 29 2011
ME Class II-2011	0.10	USD	Jul 29 2011
National Any-2011			

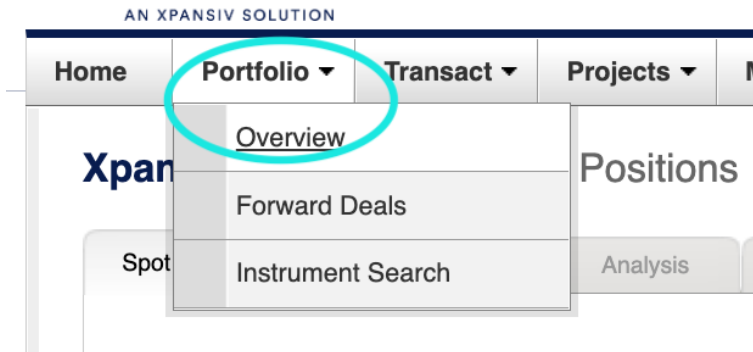
  

Qualified ?	Price	Ccy	Updated
No Data			

\* EINs issued before March 2010 may not have assigned certifications or eligibilities. Certifications and eligibilities are derived from publicly available data and are subject to change from time to time, which changes may not be reflected in an EIN. Before taking action in reliance on the certifications and eligibilities set forth in an EIN, you should confirm their status.

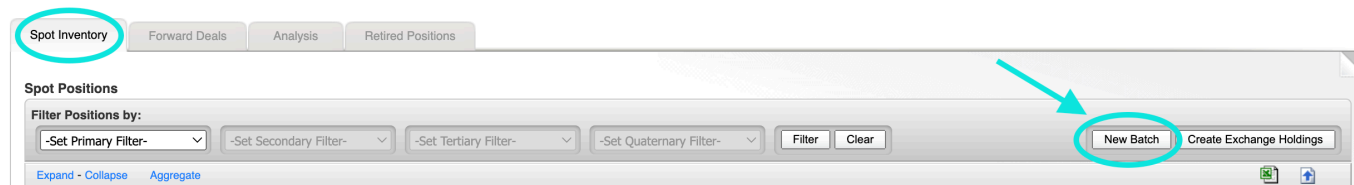
# Initiating a Transfer

- Log into Xpansiv Connect and click on [Portfolio > Overview](#)



- From the [Spot Inventory](#) tab, on the right click [New Batch](#).

Xpansiv Portfolio Access: Positions



- In the pop-up window select the following: [EMA Batch Sell](#)
- [EMA batch type](#) = New EMA Batch Sell
- [Program](#) = Select the program or registry you are transferring
- [Market](#) = Select the Market you are transferring
- Click Continue

- Select which position(s) you want to transfer by clicking the checkbox(s) on the left.

Spot Positions

Filter Positions by: Program: Verified Carbon Standard, Market: VCS, -Set Tertiary Filter-, -Set Quaternary Filter-, Filter, Clear, New Batch

Expand - Collapse, Select All Items (All Pages) - Clear Selection

Instrument	Project Name	Reg Assgn ID	Vintage	Program	Qty	Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy	Actions
<input type="checkbox"/> VCU-2014-A/R-KHM	Reduced Emissions from Deforesta...	1650	2014	VCS	4,625					
<input checked="" type="checkbox"/> 11Mar21-Lot1					4,625					
<input type="checkbox"/> VCU-2016-A/R-IDN	Rimba Raya Biodiversity Reserve ...	674	2016	VCS	738,750*					
<input type="checkbox"/> 25Mar21-Lot2					738,750					
<input type="checkbox"/> VCU-2018-A/R-KHM	Reduced Emissions from Deforesta...	1650	2018	VCS	30,000					
<input type="checkbox"/> 19Mar21-Lot1					30,000					
<b>Totals</b>					<b>773,375*</b>		<b>0.00</b>	<b>0.00</b>	<b>USD</b>	

- Select the **EMA Batch Sell** tab and a new screen will appear. Input the price per unit in **Unit Price**. If you do not use EMA for accounting purposes, you can simply enter the unit price as 0.
- Begin typing the **Counterparty Name**, it will auto populate in a list below for you to select. If the counterparty's account is private in the registry, you will need their registry account number to enter in this field.
- Click Update EMA Batch.
- Edit the **Qty Selected**, if you do not want to transfer the full amount.
- Review your transaction details for accuracy
- Click **Execute EMA Batch**

EMA Batch Information

Required fields are indicated by **Bold Text**

Settlement Date: Mar 25 2021

Unit Price: 0.0000

Ccy: USD

Venue: OTC

Settlement Facility: EMA

Settlement Terms: Registry Transfer

Counterparty Registry: Verified Carbon Standard

Registry Provider: APX

Counterparty Registry Account: Carbon Financial Services Pty Ltd

Account: Everland LLC

Instrument Type: Carbon Instruments

Market

Underlying Instrument

Transaction Type: Sell

Transaction Date: Mar 26 2021

Audit Log Notes: (0, max 64)

Fwd Transaction ID: (0, max 64)

Update EMA Batch

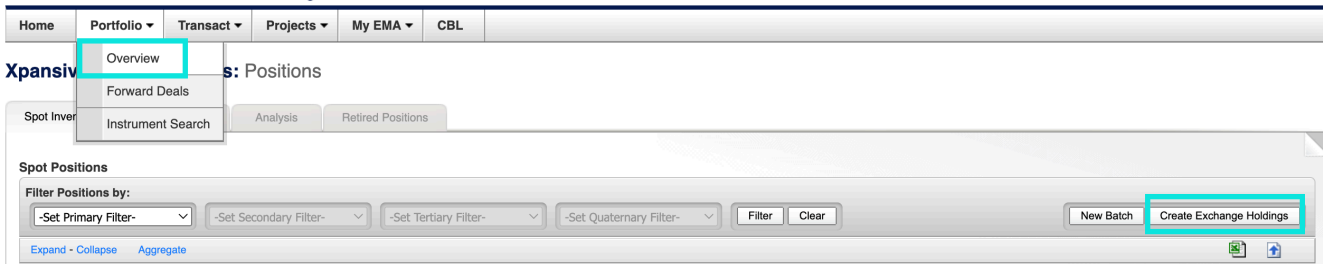
EMA Batch

Project	Registry Assigned ID	Tax Lot	Previous CP	Vintage	Market Detail	Qty Avail	Qty Selected	Unit Price	Ccy	Trans Amt	Broker Fee	Net Trans Value
Reduced Emissions from Deforestatio...	1650	11Mar21-Lot1	Royal Government of Cambodia, Nati...	2014	VCS 2014G	4,625	4625	0.0000	USD	0.00		0.00
<b>Totals</b>							<b>4,625</b>			<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

Execute EMA Batch, Exit Batch Mode

# Depositing Voluntary Carbon (VCM) to CBL

- Log into Xpansiv Connect and click on **Portfolio > Overview**.
- From the **Spot Inventory** tab, on the right click **Create Exchange Holdings**.



In the pop-up window select the following:

- **Target Exchange** = CBL Voluntary Deposit
- **Program** – Select the desired registry (Verified Carbon Standard in this example)
- **Market** – Select the desired Market (VCS in this example)
- Click Continue

The 'Create Exchange Holdings' pop-up window contains three dropdown menus: 'Target Exchange' (CBL Voluntary Deposit), 'Program' (Verified Carbon Standard), and 'Market' (VCS). Below the fields is a confirmation statement: 'In making this deposit, I confirm that I have legal title and the product has originated from my Participant registry account'. At the bottom are 'Continue' and 'Cancel' buttons.

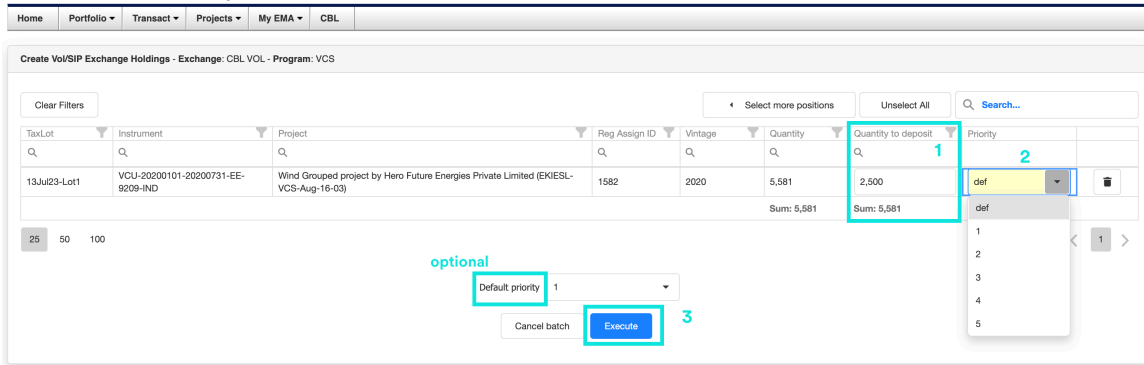
- User will be directed to the **Create Vol/SIP Exchange Holdings** screen to select desired projects and vintages for VCM Deposit to CBL by checking the boxes on the left.
- In this example, user has filtered for Project VCS 1582 and selected vintage 2020 for deposit. When ready, click **Continue**.

The screenshot shows the 'Create Vol/SIP Exchange Holdings' screen for 'Exchange: CBL VOL - Program: VCS'. It features a table with columns for TaxLot, Instrument, Project, Reg Assign ID, Vintage, Quantity, and Counterparty Name. The 2020 vintage is selected, and the 'Continue' button is highlighted.

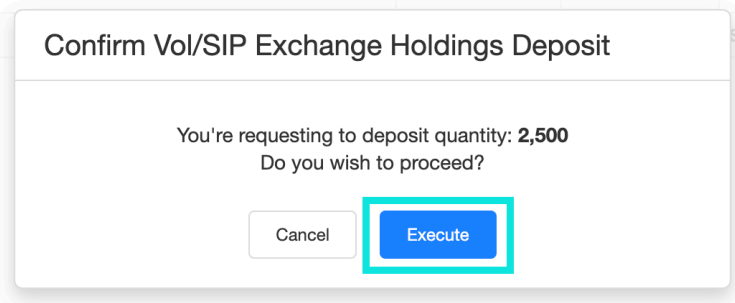
TaxLot	Instrument	Project	Reg Assign ID	Vintage	Quantity	Counterparty Name	Date	Price
<input type="checkbox"/>	12Dec23-Lot1	VCU-20210401-20211231-EE-15410-IND	1582	2021	43,467	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	Dec 11, 2023	0.00
<input checked="" type="checkbox"/>	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	1582	2020	5,581	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	Jul 12, 2023	0.00
<input type="checkbox"/>	12Dec23-Lot1	VCU-20220101-20220630-EE-15411-IND	1582	2022	68,198	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	Dec 11, 2023	0.00

Sum: 117,246

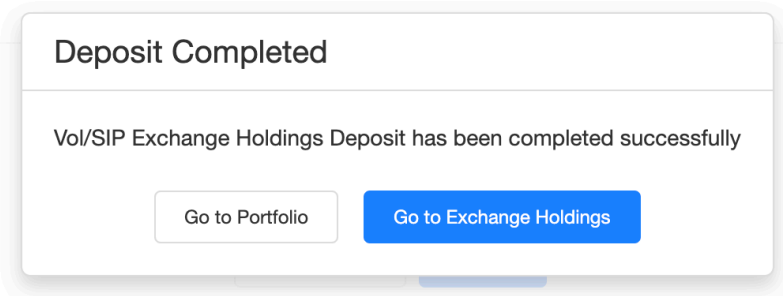
- Enter **Quantity** of each tax lot for deposit, set **Priority** of delivery order, and when ready, click **Execute** to deposit to CBL. The deposit(s) will appear in CBL Holdings within 1-2 minutes.
- Default ('def') priority is set to 1 (first out); user has the option to customize their default priority in the lower center of the screen.



- User will be asked to confirm the deposit a second time.



- User will see **Deposit Completed** and can click to return to Portfolio inventory or go to the Exchange Holdings screen.



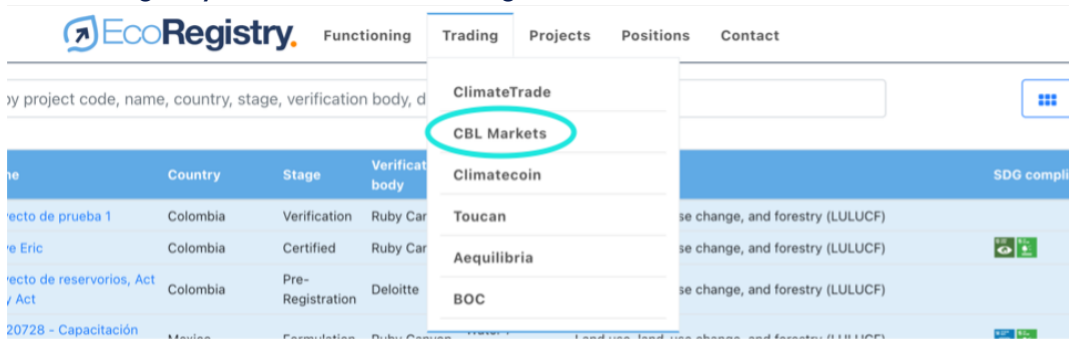
- This snapshot of the CBL Platform 'Holdings' Tab shows the VCS Inventory deposited on the CBL Platform via the **Create Vol/SIP Exchange Holdings** process.

Holdings		Holding Transactions	Cash	Cash Transactions	+		
Actions	Instrument	Project ID	Vintage	Open Balance	Balance	Available	
	VCS-VCU	1660	2020		10,000	10,000	
	VCS-VCU	832	2010				
	VCS-VCU	641	2012				

# Blocking Credits in EcoRegistry for Trading CerCarbono

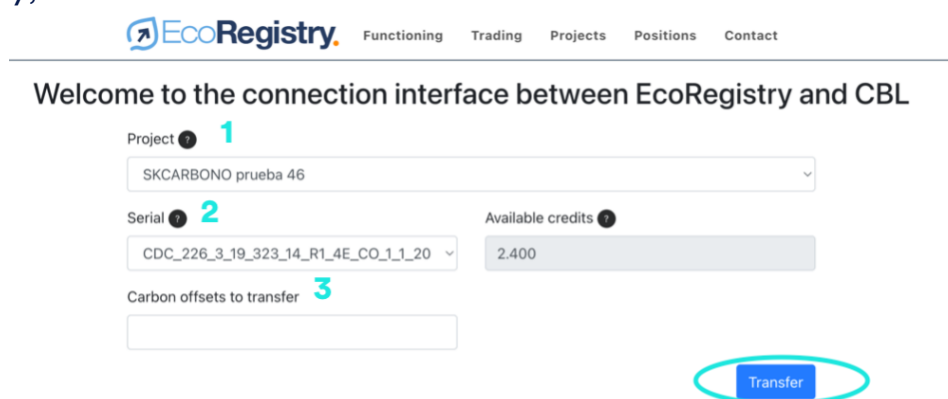
To deposit CERCarbono Standard Certified Offset Units (COUs) to CBL, COUs must first be blocked within EcoRegistry to reflect holdings in Xpansiv Connect.

- Log in to EcoRegistry and click on Trading > CBL Markets.

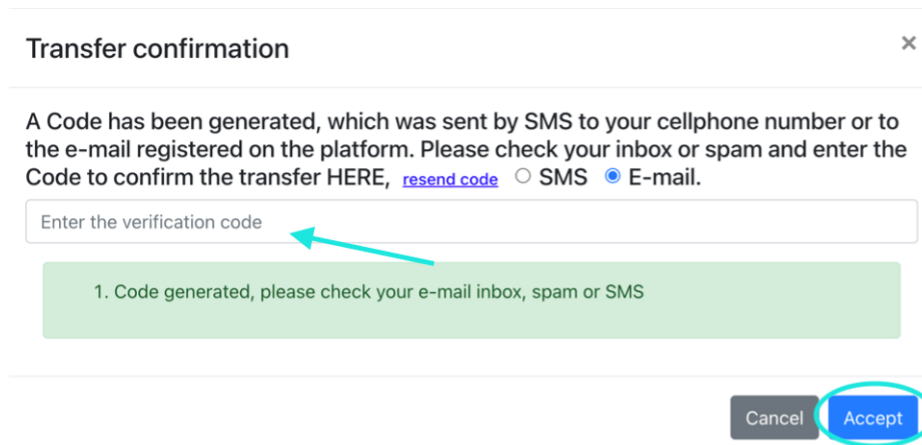


- From this screen, user selects which units to block in the registry so they can then deposit to CBL for listing
  - **Project** – select from the drop down
  - **Serial Number** – select from the drop-down
  - **Carbon Offsets to Transfer** – number of tonnes (cannot exceed Available Credits)

When ready, click **Transfer**.



- User will be prompted to enter a confirmation code sent via SMS or email. Once entered, click **Accept**.



- “Successful Transfer” will appear once the transfer is made.

The screenshot shows the EcoRegistry interface with the following elements:

- Navigation menu: EcoRegistry, Functioning, Trading, Projects, Positions, Contact
- Header: Welcome to the connection interface between EcoRegistry and CBL
- Project dropdown: SKCARBONO prueba 46
- Serial dropdown: CDC\_226\_3\_19\_323\_14\_R1\_4E\_CO\_1\_1\_20
- Available credits: 2.400
- Carbon offsets to transfer: (empty input field)
- Confirmation message: 1. Successful transfer (circled in red)

- Blocked Credits can be viewed under **Positions > My Positions**. The number of credits blocked will appear under the **Blocked Credits** column.

The screenshot shows the 'Positions' menu with 'My positions' selected (indicated by a red arrow). To the right is a table with the following data:

	Issued credits	Available credits	Buffer type	Blocked credits	Quantity
_1_2018	890.660	2.399	---	1.001	<input type="text"/>
_1_2012	33.316	100	---	-	<input type="text"/>

- Once done, proceed to Xpansiv Connect and complete the steps to deposit to CBL. See [Depositing Voluntary Carbon \(VCM\) to CBL](#).

## Depositing Units for Listing IRECs

To deposit IRECs on CBL, the units must first be transferred to your Participant CBL IREC Trading Account to reflect holdings in Xpansiv Connect. Once done, proceed to Xpansiv Connect and complete the steps to deposit to CBL. See [Depositing Voluntary Carbon \(VCM\) to CBL](#).

If you need your Participant CBL IREC Trading Account number, please contact Client Service – [clientservice@cblmarkets.com](mailto:clientservice@cblmarkets.com).

# Managing VCM Deposits


- Log into Xpansiv Connect and click on **Transact > Vol/SIP Exchange Holdings**. This is where user can see what projects are deposited on CBL to trade VCM and/or SIP.

Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority
CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	2500	2,500	0	1
CBL SIP	Ningxia Xiangshan Weining Wind Farm Project	10May23-Lot2	VCU-20190301-20191231-EE-8069-CHN	Deposited	GEO	VCS	1867	2019	May 14, 2025	2500	2,500	0	1

- On this screen you can verify the status of VCM deposits and view the 'Qty Settled' via CBL transactions.
- This screen also provides tools to change the Priority of deposits and Withdraw deposited Inventory from CBL.

Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority
CBL VOL	Southern Cardamom REDD+ Project	09Dec24-Lot1	VCU-20190101-20191231-AFOLU-11158-SDG6-SDG8-SDG9-SDG11-SDG12-SDG13-SDG14-SDG15-SDG16-SDG17-CCBA BIODIVERSITY GOLD-KHM	Deposited	VCS	VCS	1748	2019	Dec 12, 2024	10000	9,000	1,000	2
CBL VOL	Linshu Biogas Recovery and Power Generation Project	13Jul23-Lot1	VCU-20200101-20201231-WHD-13459-CORSIA-CHN	Deposited	VCS	VCS	2402	2020	Feb 07, 2025	5000	4,900	100	1
CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	2500	2,500	0	1
CBL VOL	The Mai Ndombé REDD+ Project	25Mar24-Lot1	VCU-20180101-20181231-AFOLU-12788-CCBA BIODIVERSITY GOLD-CCBA CLIMATE GOLD-COD	Deposited	VCS	VCS	934	2018	Feb 07, 2025	2500	2,500	0	1

## Navigating the Vol/SIP Exchange Holdings Screen

**Filtering:** to filter for a specific value in each column tap the filter icon  at the top of the row and a list of values to select will be shown. In the example below VCS 934 project rows will be displayed when OK is pressed.

**Vo/SIP Exchange Holdings**

Select All Mode: Current Page | Clear Filters | Change Priority

Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID
<input type="checkbox"/>	CBL VOL	The Mai Ndombe REDD+ Project	25Mar24 -Lot1	VCU-20180101-20181231-AFOLU-12788-CCBA BIODIVERSITY GOLD-CCBA CLIMATE GOLD-COD	Deposited		
<input type="checkbox"/>	CBL VOL	The Mai Ndombe REDD+ Project	23Nov23 -Lot2	VCU-20160101-20161231-AFOLU-5372-CCBA GOLD-COD	Deposited		
<input type="checkbox"/>	CBL VOL	The Mai Ndombe REDD+ Project	13Aug24 -Lot1	VCU-20190101-20191231-AFOLU-12790-CCBA BIODIVERSITY GOLD-CCBA CLIMATE GOLD-COD	Deposited		
<input type="checkbox"/>	CBL VOL	The Mai Ndombe REDD+ Project	13Aug24 -Lot1	VCU-20190101-20191231-AFOLU-14672-CCBA BIODIVERSITY GOLD-CCBA CLIMATE GOLD-COD	Deposited		

25 50 100

Select All

494

762

934

1115

1115

OK Cancel

**Sorting:** to sort a column, click on the label of the column. When sorted the ascending or descending arrow will be shown next to the column being sorted. To sort by an additional column shift-click the additional column. This will show the additional column selected with a number depicting the level of the sorting for that additional column. To remove a column from the sort order, CTRL-click (PC) or Command-click (Mac) the column label.

**Vo/SIP Exchange Holdings**

Select All Mode: Current Page | Clear Filters | Change Priority | Withdraw | Retry | Search...

Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority	
<input type="checkbox"/>	CBL VOL	Zhangjiagang Nature Gas Power Generation Project	21Mar23 -Lot1	VCU-20090101-20090910-EE-11834-CHN	Deposited	VCS	VCS	494	2009	Feb 07, 2025	3000	3,000	0	1
<input type="checkbox"/>	CBL SIP	Sichuan Furong Coal Mine Methane Utilization Project	31Dec24 -Lot1	VCU-20150101-20151231-MMP-13602-CHN	Deposited	CGEO-TR	VCS	1446	2015	Dec 31, 2024	102040	102,040	0	1
<input type="checkbox"/>	CBL VOL	Reduced Emissions from Deforestation and Degradation in Keo Seima Wildlife Sanctuary	05Jun23-Lot1	VCU-20160101-20161231-AFOLU-9805-CCBA BIODIVERSITY GOLD-KHM	Deposited	VCS	VCS	1650	2016	Feb 07, 2025	121	121	0	1
<input type="checkbox"/>	CBL VOL	Bundled Wind Power Project by Mytrah Group	10Aug22 -Lot1	VCU-20160101-20161231-EE-6919-IND	Deposited	VCS	VCS	1728	2016	Feb 07, 2025	514	514	0	1

**Global Search:** a global Search function is available to find values across all columns. To search enter a value into the Search box at the top right of the screen. Values that match the search criteria will be returned. Example: search 2020 and the results displayed. To clear the search tap the 'x' in the search box.

**Search by column** is also available. To search for a value in a specific column enter the value in the search area at the top of each column.


**Vo/SIP Exchange Holdings**

Select All Mode: Current Page | Clear Filters | Change Priority | Withdraw | Retry | Search 2020

Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority	
<input type="checkbox"/>	CBL SIP	Sichuan Furong Coal Mine Methane Utilization Project	31Dec24 -Lot1	VCU-20200101-20200415-MMP-14069-CHN	Deposited	CGEO	VCS	1446	2020	Dec 31, 2024	10000	10,000	0	4
<input type="checkbox"/>	CBL VOL	Pembelik Hydro Electricity Power Plant	21Mar23 -Lot1	VCU-20200101-20201231-EE-12171-TUR	Deposited	VCS	VCS	1198	2020	Feb 07, 2025	929	929	0	1
<input type="checkbox"/>	CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	2500	2,500	0	1

**Export:** to export the data from the **Vol/SIP Exchange Holdings** screen to an Excel click the  icon in the upper right corner and the data will be exported to a .csv file.


Vol/SIP Exchange Holdings

Select All Mode  
Current Page      

<input type="checkbox"/>	Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority
<input type="checkbox"/>	CBL SIP	Sichuan Furong Coal Mine Methane Utilization Project	31Dec24-Lot1	<a href="#">VCU-20190101-20191231-MMP-14070-CHN</a>	Deposited	CGEO	VCS	1446	2019	Dec 31, 2024	70834	70,834	0	3
<input type="checkbox"/>	CBL SIP	Sichuan Furong Coal Mine Methane Utilization Project	31Dec24-Lot1	<a href="#">VCU-20200101-20200415-MMP-14069-CHN</a>	Deposited	CGEO	VCS	1446	2020	Dec 31, 2024	10000	10,000	0	4
<input type="checkbox"/>	CBL SIP	Ningxia Xiangshan Weining Wind Farm Project	10May23-Lot2	<a href="#">VCU-20190301-20191231-EE-8069-CHN</a>	Deposited	GEO	VCS	1867	2019	May 13, 2025	2500	705	1,795	3
<input type="checkbox"/>	CBL VOL	BigCoast Forest Climate Initiative	05Nov24-Lot2	<a href="#">VCU-20210101-20211231-AFOLU-15026-SDG6-SDG15-SDG14-SDG13-SDG12-CAN</a>	Deposited	VCS	VCS	3018	2021	Apr 07, 2025	13967	13,967	0	1

**View Details:** to view the project details, click the project hyperlink in the Instrument column.

Vol/SIP Exchange Holdings

Select All Mode  
Current Page      

<input type="checkbox"/>	Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority
<input type="checkbox"/>	CBL VOL	Zhangjiagang Nature Gas Power Generation Project	21Mar23-Lot1	<a href="#">VCU-20090101-20090910-EE-11834-CHN</a>	Deposited	VCS	VCS	494	2009	Feb 07, 2025	3000	3,000	0	1
<input type="checkbox"/>	CBL SIP	Sichuan Furong Coal Mine Methane Utilization Project	31Dec24-Lot1	<a href="#">VCU-20150101-20151231-MMP-13602-CHN</a>	Deposited	CGEO-TR	VCS	1446	2015	Dec 31, 2024	102040	102,040	0	1
<input type="checkbox"/>	CBL VOL	Reduced Emissions from Deforestation and Degradation in Keo Seima Wildlife Sanctuary	05Jun23-Lot1	<a href="#">VCU-20160101-20161231-AFOLU-9805-CCBA BIODIVERSITY GOLD-KHM</a>	Deposited	VCS	VCS	1650	2016	Feb 07, 2025	121	121	0	1
<input type="checkbox"/>	CBL VOL	Bundled Wind Power Project by Mytrah Group	10Aug22-Lot1	<a href="#">VCU-20160101-20161231-EE-6919-IND</a>	Deposited	VCS	VCS	1728	2016	Feb 07, 2025	514	514	0	1

The details of the VCM Deposit will be displayed.

Instrument Details

Instrument Details: EIN 1250992A26

ID: 24027402  
 Description: VCU-20090101-20090910-EE-11834-CHN  
 Credit Type: VCU  
 Program: VCS  
 Vintage: 2009  
 Markets: VCS

MMI


Code	Price	Ccy	Updated Date
VCS-2009			

Project Details: UPN 098A6CF3

Name: Zhangjiagang Nature Gas Power Generation...  
 Registry Assigned ID: 494

**Retry:** in the event VCM deposit(s) are in the **Rejected** state, user can Retry by selecting the check box on the left of the affected row then click the Retry option. The deposits will attempt to redeposit to CBL platform.

Vol/SIP Exchange Holdings

Select All Mode  
Current Page      

<input type="checkbox"/>	Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority
<input checked="" type="checkbox"/>	CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	<a href="#">VCU-20200101-20200731-EE-9209-IND</a>	Rejected	VCS	VCS	1582	2020	Jun 05, 2025	1250	1,250	0	1
<input type="checkbox"/>	CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	<a href="#">VCU-20200101-20200731-EE-9209-IND</a>	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	2500	2,500	0	1
<input type="checkbox"/>	CBL SIP	Ningxia Xiangshan Weining Wind Farm Project	10May23-Lot2	<a href="#">VCU-20190301-20191231-EE-8069-CHN</a>	Deposited	GEO	VCS	1867	2019	May 14, 2025	2500	2,500	0	1
<input type="checkbox"/>	CBL SIP	Guohua Wulate Zhongji Chuanjiang Phase II Wind Farm Project	24Apr25-Lot1	<a href="#">VCU-20170101-20171231-EE-7615-CHN</a>	Deposited	CGEO-TR	VCS	1200	2017	May 14, 2025	30000	30,000	0	1

## Manage the Priority of VCM Deposits

As trades are made on the CBL Platform the VCM Deposit quantities traded will be drawn for Settlement in Xpansiv Connect. Using the Priority associated with a given VCM Deposit a user can manage how the VCM Deposits will be processed for Settlement. Settlements will start with VCM Deposits with a Priority of 1 and continue in order up to Priority 5 or until all available deposits are exhausted.

To change the Priority for an existing VCM Deposit select the relevant check box(es) on the far left for the deposits you want to update, then click “Change Priority” towards the upper right.

Vol/SIP Exchange Holdings

Select All Mode: Current Page | Clear Filters

2 Change Priority | Withdraw | Retry | Search...

1	Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority
<input checked="" type="checkbox"/>	CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	1250	1,250	0	1
<input type="checkbox"/>	CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	2500	2,500	0	1
<input type="checkbox"/>	CBL SIP	Ningxia Xiangshan Weining Wind Farm Project	10May23-Lot2	VCU-20190301-20191231-EE-8069-CHN	Deposited	GEO	VCS	1867	2019	May 14, 2025	2500	2,500	0	1
<input type="checkbox"/>	CBL SIP	Ningxia Angli Lingwu Photovoltaic Grid Connected Power Plant Project	01May24-Lot1	VCU-20180101-20181130-EE-11819-CHN	Deposited	CGEO-TR	VCS	1143	2018	May 14, 2025	1985	1,985	0	1

The Change Deposit Priority pop up will be displayed. Select the appropriate value for the Priority and click Change Priority.

Change Priority

2

Set New Priority

The Priority column will immediately update to the newly assigned deposit priority.

Vol/SIP Exchange Holdings

Select All Mode: Current Page | Clear Filters

Change Priority | Withdraw | Retry | Search...

	Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority
<input type="checkbox"/>	CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	1250	1,250	0	2
<input type="checkbox"/>	CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	2500	2,500	0	1
<input type="checkbox"/>	CBL SIP	Ningxia Xiangshan Weining Wind Farm Project	10May23-Lot2	VCU-20190301-20191231-EE-8069-CHN	Deposited	GEO	VCS	1867	2019	May 14, 2025	2500	2,500	0	1
<input type="checkbox"/>	CBL SIP	Ningxia Angli Lingwu Photovoltaic Grid Connected Power Plant Project	01May24-Lot1	VCU-20180101-20181130-EE-11819-CHN	Deposited	CGEO-TR	VCS	1143	2018	May 14, 2025	1985	1,985	0	1

## VCM Deposits Settlement in Priority Order

VCM Deposits will be drawn for Settlement in Priority order from 1 through 5. When trades are executed on CBL Platform the quantity traded will automatically be drawn from the VCM deposits.

In this example, 2,500 VCS 1582 v20 are available under Priority 1 – this means that a VCS 1582 v20 sale on CBL will first deliver inventory from the Priority 1 lot before attempting to deliver from the inventory from the 1,250 VCS 1582 v20 (priority 2) lot.

Vol/SIP Exchange Holdings														
Select All Mode		Current Page		Clear Filters		Change Priority		Withdraw		Retry		Search...		
Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority	
<input type="checkbox"/>	CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	1250	1,250	0	2
<input type="checkbox"/>	CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	2500	2,500	0	1
<input type="checkbox"/>	CBL SIP	Ningxia Xiangshan Weining Wind Farm Project	10May23-Lot2	VCU-20190301-20191231-EE-8069-CHN	Deposited	GEO	VCS	1867	2019	May 14, 2025	2500	2,500	0	1
<input type="checkbox"/>	CBL SIP	Ningxia Angli Lingwu Photovoltaic Grid Connected Power Plant Project	01May24-Lot1	VCU-20180101-20181130-EE-11819-CHN	Deposited	CGEO-TR	VCS	1143	2018	May 14, 2025	1985	1,985	0	1

## View Settled VCM Deposits

To view Xpansiv Connect VCM Settlements:

- Select the 'Transact/Account Activity' option on the top menu
- Select the 'Transaction History' tab on the Account Activity screen

Home Portfolio Transact Projects My EMA CBL Select Account to View

---

**Xpansiv Transact: Account Activity**

Current Transactions Transaction History

**Primary Filter Criteria**

Transaction Type:  Counterparty (CP):

Period:  Transaction ID:

External Trade ID:

Show only Settled Transactions:

**Secondary Filter Criteria**

**Search Results**

Trans ID	Last Update	Counterparty (CP)	Type	Instrument	Project Name	Vintage	Qty <sup>a</sup>	Price <sup>b</sup>	Ccy	Trans Amt	Status
1C6E92509	May 07 2025	EMA CBL Markets Settlements	Sell	VCU-20160101-20161231-AFOLU-9416-SDG6-SDG7-SDG8-SDG9-SDG1-SDG2-SDG12-SDG3-SDG11-CCBA GOLD-SDG4-SDG10-SDG5-SDG16-SDG15-SDG14-SDG13-SDG17-IDN	Rimba Raya Biodiversity Reserve Project	2016	115	5.25	USD	603.75	Settled
1C68731E6	May 13 2025	CBL Markets SIP Settlement	Sell	VCU-20170415-20171231-EE-7621-CHN	Ningxia Xiangshan Weining Wind Farm Project	2017	64	0.27	USD	17.28	Settled
1C68731F3	May 13 2025	CBL Markets SIP Settlement	Sell	VCU-20190301-20191231-EE-8069-CHN	Ningxia Xiangshan Weining Wind Farm Project	2019	1,795	0.27	USD	484.65	Settled

In the screen above the Settlements are shown in detail.

The Status Column will reflect the current state of the Settlement process for each row.

## Withdraw VCM Deposits from CBL Platform

**NOTE:** users must use Xpansiv Connect for Withdrawal of VCM deposits from the CBL Platform; withdrawals cannot be actioned on CBL.

To Withdraw all, or a portion, of a VCM Deposit from the CBL Platform:

- Go to Transact > Vol/SIP Exchange Holdings option on the top menu
- Select your withdrawals by checking the relevant boxes on the far left
- Click Withdraw towards the upper right

Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority	
<input checked="" type="checkbox"/>	CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	1250	1,250	0	2
<input type="checkbox"/>	CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	2500	2,500	0	1
<input type="checkbox"/>	CBL SIP	Ningxia Xiangshan Weining Wind Farm Project	10May23-Lot2	VCU-20190301-20191231-EE-8069-CHN	Deposited	GEO	VCS	1867	2019	May 14, 2025	2500	2,500	0	1
<input type="checkbox"/>	CBL SIP	Guohua Wulate Zhongqi Chuanjing Phase II Wind Farm Project	24Apr25-Lot1	VCU-20170101-20171231-EE-7615-CHN	Deposited	CGEO-TR	VCS	1200	2017	May 14, 2025	30000	30,000	0	1

A new screen will appear showing the rows selected for Withdrawal. You have the option to adjust the quantity you want to withdraw in the right column. You can also remove a row by clicking the trash can icon on the right. When ready, click Submit.

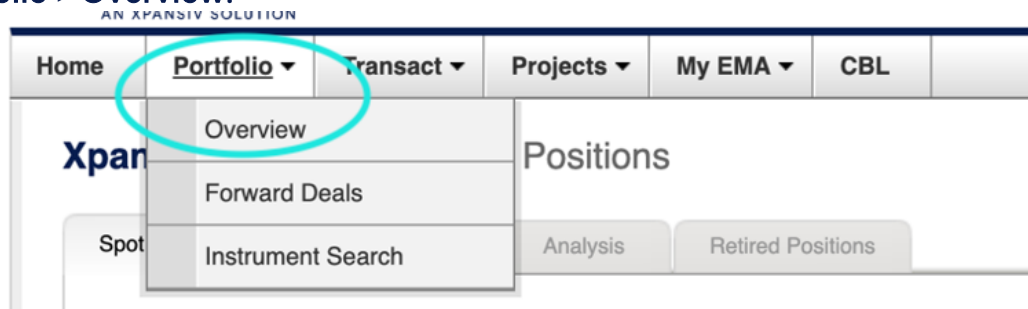
Exchange	Project	Tax Lot	Product Code	Transaction ID	Reg Assign ID	Vintage	Quantity	Quantity To Withdraw
CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCS-VCU-1582-2020	1836CFBF5	1582	2020	1,250	500
							Sum: 1,250	Sum: 500

Once you click Submit, the units will be returned to your Portfolio Inventory within 1-2 minutes.

## Unblocking Credits in Xpansiv Connect for return to EcoRegistry

To return blocked credits to EcoRegistry inventory, COUs must first be withdrawn from Voluntary deposit on CBL then unblocked in Xpansiv Connect.

- To unblock credits for return to EcoRegistry inventory, log in to Xpansiv Connect and click on **Portfolio > Overview**.

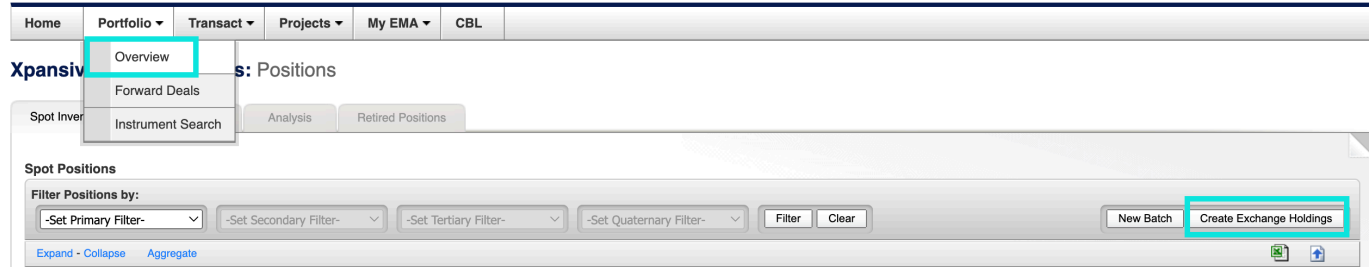


- Click on the cog wheel icon located to the right on the same line of the credits you want to unblock. You will see the options Sell, Export, and Retire. To unblock, click **Export**.



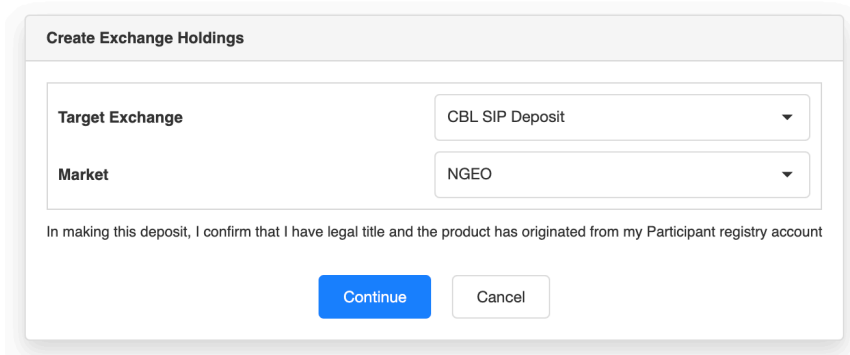
# Depositing SIP to CBL

- Log into Xpansiv Connect and click on **Portfolio > Overview**.
- From the **Spot Inventory** tab, on the right click **Create Exchange Holdings**.

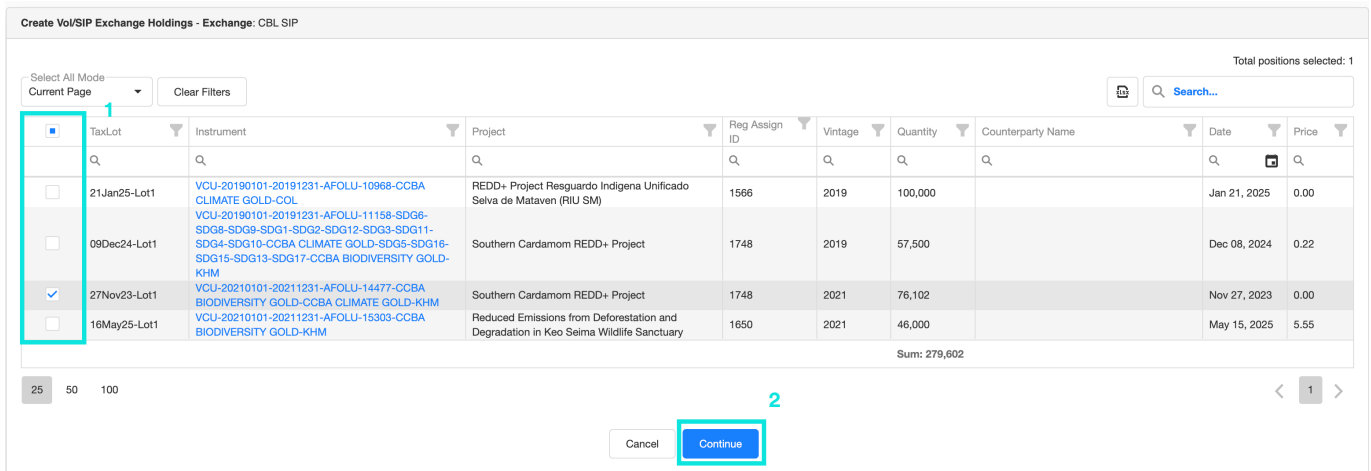


In the pop-up window select the following:

- **Target Exchange** = CBL SIP Deposit
- **Market** = Select the appropriate market (NGEO for this example)
- Click **Continue**



- User will be directed to the **Create Vol/SIP Exchange Holdings** screen to select desired projects and vintages for VCM Deposit to CBL by checking the boxes on the left.
- In this example, user has filtered for Project VCS 1582 and selected vintage 2020 for deposit. When ready, click **Continue**.



- Enter **Quantity** of each tax lot for deposit, set **Priority** of delivery order, and when ready, click **Execute** to deposit to CBL. The deposit(s) will appear in CBL Holdings within 1-2 minutes.
- Default ('def') priority is set to 1 (first out); user has the option to customize their default priority in the lower center of the screen.

optional

Default priority 1

Execute

- User will be asked to confirm the deposit a second time.

Confirm Vol/SIP Exchange Holdings Deposit

You're requesting to deposit quantity: **23,000**  
Do you wish to proceed?

Cancel Execute

- User will see **Deposit Completed** and can click to return to Portfolio inventory or go to the Exchange Holdings screen.

Deposit Completed

Vol/SIP Exchange Holdings Deposit has been completed successfully

Go to Portfolio Go to Exchange Holdings

- This snapshot of the CBL Platform 'Holdings' Tab shows the NCEO Inventory deposited on the CBL Platform via the **Create Vol/SIP Exchange Holdings** process.

Holdings		Holding Transactions	Cash	Cash Transactions	+	
Actions	Instrument	Project ID	Vintage	Open Balance	Balance	Available
	CGEO-TR			253,572	253,572	34,882
	CGEO			123,911	123,911	
	NGEO				23,000	23,000
	GEO			3,205	3,205	

# Managing SIP Deposits

- Log into Xpansiv Connect and click on **Transact > Vol/SIP Exchange Holdings**. This is where you can see what projects are deposited on CBL to trade SIP and/or VCM.

Exchange	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority
CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	2500	2,500	0	1
CBL SIP	Ningxia Xiangshan Weining Wind Farm Project	Deposited	GEO	VCS	1867	2019	May 14, 2025	2500	2,500	0	1

- On this screen you can verify the status of SIP deposits and view the 'Qty Settled' via CBL transactions.
- This screen also provides the tools to change the Priority of deposits and Withdraw deposited Inventory from CBL.

Market	Status	Program	Project	Instrument	Vintage	Qty	Qty Avail.	Qty Settled	Priority	Actions
CGEO	Deposited	VCS	1519 - Satara Wind Power Project in Maharashtra, India.	VCU-20201101-20201231-EE-IND	2020	5985	5985	0		
CGEO	Deposited	VCS	1660 - Wind bundle project in Maharashtra by Sispara	VCU-20201101-20201231-EE-IND	2020	5675	5675	0		
CGEO	Deposited	VCS	762 - Grid connected electricity generation from renewable sources: Uzuncayir 82.0 MW Hydroelectric Power Plant Project, Turkey	VCU-20190101-20191201-EE-TUR	2019	897	897	0	3	
NGEO	Deposited	VCS	902 - KARIBA REDD+ PROJECT	VCU-20160101-20160630-AFOLLU-ZWE	2016	6263	6263	0		
NGEO	Deposited	VCS	902 - KARIBA REDD+ PROJECT	VCU-20160701-20161231-AFOLLU-ZWE	2016	26573	26573	0		
GEO	Deposited	VCS	2478 - Reducing Gas Leakages within the Titas Gas Distribution Network in Bangladesh	VCU-20191108-20201231-FUEL-BGD	2019	500	500	0		
CGEO	Deposited	VCS	1758 - Derail Hydroelectric Power Plant	VCU-20200101-20200830-EE-TUR	2020	333	333	0	3	

Count: 7      Sum: 46226      Sum: 46226      Sum: 0

## Navigating the Vol/SIP Exchange Holdings Screen

**Filtering:** to filter for a specific value in each column tap the filter icon at the top of the row and a list of values to select will be shown. In the example below the NGENO Market rows will be displayed when OK is pressed.

Vo/SIP Exchange Holdings

Select All Mode: Current Page | Clear Filters

Exchange	Project	Tax Lot	Instrument	Status	Market	Program
CBL SIP	Southern Cardamom REDD+ Project	27Nov23-Lot1	VCU-20210101-202112314477-CCBA BIODIVERSITY GOLD-CCBA CLIMATE C			VCS
CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-202007319209-IND			VCS
CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-202007319209-IND			VCS
CBL SIP	Ningxia Xiangshan Weining Wind Farm Project	10May23-Lot2	VCU-20190301-201912318069-CHN			VCS
CBL SIP	Ningxia Angli Lingwu Photovoltaic Grid Connected Power Plant Project	01May24-Lot1	VCU-20180101-201811311819-CHN			VCS
CBL SIP	Guohua Wulate Zhongqi Chuanjing Phase II Wind Farm Project	24Apr25-Lot1	VCU-20170101-201712317615-CHN			VCS

Sort menu for Program column:

- Select All
- CGEO
- CGEO-TR
- GEO
- I-REC Any
- NGEO

OK | Cancel

**Sorting:** to sort a column, click on the label of the column. When sorted the ascending or descending arrow will be shown next to the column being sorted.

To sort by an additional column shift-click the additional column. This will show the additional column selected with a number depicting the level of the sorting for that additional column. To remove a column from the sort order, CTRL-click (PC) or Command-click (Mac) the column label.

Vo/SIP Exchange Holdings

Select All Mode: Current Page | Clear Filters

Change Priority | Withdraw | Retry | Search...

Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority
CBL VOL	Zhangjiagang Nature Gas Power Generation Project	21Mar23-Lot1	VCU-20090101-20090910-EE-11834-CHN	Deposited	VCS	VCS	494	2009	Feb 07, 2025	3000	3,000	0	1
CBL SIP	Sichuan Furong Coal Mine Methane Utilization Project	31Dec24-Lot1	VCU-20150101-20151231-MMP-13602-CHN	Deposited	CGEO-TR	VCS	1446	2015	Dec 31, 2024	102040	102,040	0	1
CBL VOL	Reduced Emissions from Deforestation and Degradation in Keo Seima Wildlife Sanctuary	05Jun23-Lot1	VCU-20160101-20161231-AFOLLU-9805-CCBA BIODIVERSITY GOLD-KHM	Deposited	VCS	VCS	1650	2016	Feb 07, 2025	121	121	0	1
CBL VOL	Bundled Wind Power Project by Mytrah Group	10Aug22-Lot1	VCU-20160101-20161231-EE-6919-IND	Deposited	VCS	VCS	1728	2016	Feb 07, 2025	514	514	0	1

**Global Search:** a global Search function is available to find values across all columns. To search enter a value into the Search box at the top right of the screen. Values that match the search criteria will be returned. Example: search 2020 and the results will be displayed. To clear the search tap the 'x' in the search box.


**Search by column** is also available. To search for a value in a specific column enter the value in the search area at the top of each column.

Vo/SIP Exchange Holdings

Select All Mode: Current Page | Clear Filters

Change Priority | Withdraw | Retry | Search: 2020

Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority
CBL SIP	Sichuan Furong Coal Mine Methane Utilization Project	31Dec24-Lot1	VCU-20200101-20200415-MMP-14069-CHN	Deposited	CGEO	VCS	1446	2020	Dec 31, 2024	10000	10,000	0	4
CBL VOL	Pembelik Hydro Electricity Power Plant	21Mar23-Lot1	VCU-20200101-20201231-EE-12171-TUR	Deposited	VCS	VCS	1198	2020	Feb 07, 2025	929	929	0	1
CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	2500	2,500	0	1

**Export:** to export the data from the **Vol/SIP Exchange Holdings** screen to Excel, click the  icon in the upper right corner and the data will be exported to a .csv file.


VoI/SIP Exchange Holdings

Select All Mode: Current Page | Clear Filters | Change Priority | Withdraw | Retry | Search...

<input type="checkbox"/>	Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority
<input type="checkbox"/>	CBL SIP	Sichuan Furong Coal Mine Methane Utilization Project	31Dec24 -Lot1	VCU-20190101-20191231-MMP-14070-CHN	Deposited	CGEO	VCS	1446	2019	Dec 31, 2024	70834	70,834	0	3
<input type="checkbox"/>	CBL SIP	Sichuan Furong Coal Mine Methane Utilization Project	31Dec24 -Lot1	VCU-20200101-20200415-MMP-14069-CHN	Deposited	CGEO	VCS	1446	2020	Dec 31, 2024	10000	10,000	0	4
<input type="checkbox"/>	CBL SIP	Ningxia Xiangshan Weining Wind Farm Project	10May23 -Lot2	VCU-20190301-20191231-EE-8069-CHN	Deposited	GEO	VCS	1867	2019	May 13, 2025	2500	705	1,795	3
<input type="checkbox"/>	CBL VOL	BigCoast Forest Climate Initiative	05Nov24 -Lot2	VCU-20210101-20211231-AFOLU-15026-SDG6-SDG15-SDG14-SDG13-SDG12-CAN	Deposited	VCS	VCS	3018	2021	Apr 07, 2025	13967	13,967	0	1

**View Details:** to view the project details, click the project hyperlink in the Instrument column.

VoI/SIP Exchange Holdings

Select All Mode: Current Page | Clear Filters | Change Priority | Withdraw | Retry | Search... | 

<input type="checkbox"/>	Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority
<input type="checkbox"/>	CBL VOL	Zhangjiagang Nature Gas Power Generation Project	21Mar23 -Lot1	VCU-20090101-20090910-EE-11834-CHN	Deposited	VCS	VCS	494	2009	Feb 07, 2025	3000	3,000	0	1
<input type="checkbox"/>	CBL SIP	Sichuan Furong Coal Mine Methane Utilization Project	31Dec24 -Lot1	VCU-20150101-20151231-MMP-13602-CHN	Deposited	CGEO-TR	VCS	1446	2015	Dec 31, 2024	102040	102,040	0	1
<input type="checkbox"/>	CBL VOL	Reduced Emissions from Deforestation and Degradation in Keo Seima Wildlife Sanctuary	05Jun23-Lot1	VCU-20160101-20161231-AFOLU-9805-CCBA BIODIVERSITY GOLD-KHM	Deposited	VCS	VCS	1650	2016	Feb 07, 2025	121	121	0	1
<input type="checkbox"/>	CBL VOL	Bundled Wind Power Project by Mytrah Group	10Aug22 -Lot1	VCU-20160101-20161231-EE-6919-IND	Deposited	VCS	VCS	1728	2016	Feb 07, 2025	514	514	0	1

The details of the SIP Deposit will be displayed.

**Instrument Details** [X]

**Instrument Details: EIN 125496D293**

ID: 19816335  
 Description: VCU-20210101-20211231-AFOLU-14477-CCBA BIODIVERSITY GOLD-CCBA CLIMATE GOLD-KHM  
 Credit Type: VCU  
 Program: VCS  
 Vintage: 2021  
 Markets: NGE0, NGE0v21, VCS

**MMI**


Code	Price	Ccy	Updated Date
NGEO-2021			
NGEOv21-2021			
VCS-2021			





**Project Details: UPN 09D331F0**

Name: Southern Cardamom REDD+ Project  
 Registry Assigned ID: 1748

**Retry:** in the event SIP deposit(s) are in the **Rejected** state, user can Retry by selecting the check box on the left of the affected row then click the Retry option. The deposits will attempt to redeposit to CBL platform.

VoI/SIP Exchange Holdings

Select All Mode: Current Page | Clear Filters | Change Priority | Withdraw | **Retry** | Search... | 

<input type="checkbox"/>	Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority	Actions
<input checked="" type="checkbox"/>	CBL SIP	Southern Cardamom REDD+ Project	27Nov23 -Lot1	VCU-20210101-20211231-AFOLU-14477-CCBA BIODIVERSITY GOLD-CCBA CLIMATE GOLD-KHM	Rejected	NGEO	VCS	1748	2021	Jun 05, 2025	12500	12,500	0	1	
<input type="checkbox"/>	CBL SIP	Southern Cardamom REDD+ Project	27Nov23 -Lot1	VCU-20210101-20211231-AFOLU-14477-CCBA BIODIVERSITY GOLD-CCBA CLIMATE GOLD-KHM	Deposited	NGEO	VCS	1748	2021	Jun 05, 2025	23000	23,000	0	1	
<input type="checkbox"/>	CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	1250	1,250	0	2	
<input type="checkbox"/>	CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	2500	2,500	0	1	

## Manage the Priority of SIP Deposits

As trades are made on the CBL Platform the SIP Deposit quantities traded will be drawn for Settlement in Xpansiv Connect. Using the Priority associated with a given SIP Deposit you can manage how the SIP Deposits will be processed for Settlement. Settlements will start with SIP Deposits with a Priority of 1 and continue in order up to Priority 5 or until all available deposits are exhausted.

To change the Priority for an existing SIP Deposit, select the relevant check box(es) on the far left for the deposits you want to update, then click “Change Priority” towards the upper right.

Vo/SIP Exchange Holdings																				
Select All Mode		Clear Filters														Change Priority		Withdraw	Retry	Search...
Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority	Actions						
<input checked="" type="checkbox"/>	CBL SIP	Southern Cardamom REDD+ Project	27Nov23-Lot1	VCU-20210101-20211231-AFOLU-14477-CCBA BIODIVERSITY GOLD-CCBA CLIMATE GOLD-KHM	Deposited	NGEO	VCS	1748	2021	Jun 05, 2025	12500	12,500	0	1	<input type="checkbox"/>					
<input type="checkbox"/>	CBL SIP	Southern Cardamom REDD+ Project	27Nov23-Lot1	VCU-20210101-20211231-AFOLU-14477-CCBA BIODIVERSITY GOLD-CCBA CLIMATE GOLD-KHM	Deposited	NGEO	VCS	1748	2021	Jun 05, 2025	23000	23,000	0	1	<input type="checkbox"/>					
<input type="checkbox"/>	CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	1250	1,250	0	2	<input type="checkbox"/>					
<input type="checkbox"/>	CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	2500	2,500	0	1	<input type="checkbox"/>					

The Change Deposit Priority pop up will be displayed. Select the appropriate value for Priority and click Change Priority.

### Change Priority

Set New Priority

The Priority column will immediately update to the newly assigned deposit priority.

Vo/SIP Exchange Holdings																				
Select All Mode		Clear Filters														Change Priority		Withdraw	Retry	Search...
Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority	Actions						
<input type="checkbox"/>	CBL SIP	Southern Cardamom REDD+ Project	27Nov23-Lot1	VCU-20210101-20211231-AFOLU-14477-CCBA BIODIVERSITY GOLD-CCBA CLIMATE GOLD-KHM	Deposited	NGEO	VCS	1748	2021	Jun 05, 2025	12500	12,500	0	2	<input type="checkbox"/>					
<input type="checkbox"/>	CBL SIP	Southern Cardamom REDD+ Project	27Nov23-Lot1	VCU-20210101-20211231-AFOLU-14477-CCBA BIODIVERSITY GOLD-CCBA CLIMATE GOLD-KHM	Deposited	NGEO	VCS	1748	2021	Jun 05, 2025	23000	23,000	0	1	<input type="checkbox"/>					
<input type="checkbox"/>	CB	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	1250	1,250	0	2	<input type="checkbox"/>					
<input type="checkbox"/>	CB	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	2500	2,500	0	1	<input type="checkbox"/>					

## SIP Deposits Settlement in Priority Order

SIP Deposits will be drawn for Settlement in Priority order from 1 through 5. When trades are executed on CBL Platform the quantity traded will automatically be drawn from the SIP deposits. In this example the SIP Deposits processed in the previous steps will be used for trading on the CBL Platform.

23,000 NCEO are available under Priority 1 – this means that any NCEO sale on CBL will first deliver inventory from the 23,000 VCS 1748 (priority 1) lot before attempting to deliver from the 12,500 VCS 1748 (priority 2) lot.

Vol/SIP Exchange Holdings															
Select All Mode		Current Page		Clear Filters		Change Priority		Withdraw		Retry		Search...			
Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority	Actions	
<input type="checkbox"/>	CBLSIP	Southern Cardamom REDD+ Project	27Nov23-Lot1	VCU-20210101-20211231-AFOLU-14477-CCBA BIODIVERSITY GOLD-CCBA CLIMATE GOLD-KHM	Deposited	NCEO	VCS	1748	2021	Jun 05, 2025	12500	12,500	0	2	<input type="checkbox"/>
<input type="checkbox"/>	CBLSIP	Southern Cardamom REDD+ Project	27Nov23-Lot1	VCU-20210101-20211231-AFOLU-14477-CCBA BIODIVERSITY GOLD-CCBA CLIMATE GOLD-KHM	Deposited	NCEO	VCS	1748	2021	Jun 05, 2025	23000	23,000	0	1	<input type="checkbox"/>
<input type="checkbox"/>	CB	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	1250	1,250	0	2	<input type="checkbox"/>
<input type="checkbox"/>	CB	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	2500	2,500	0	1	<input type="checkbox"/>

## View Settled SIP Deposits

To view Xpansiv Connect SIP Settlements:

- Select the 'Transact/Account Activity' option on the top menu
- Select the 'Transaction History' tab on the Account Activity screen

Trans ID	Last Update	Counterparty (CP)	Type	Instrument	Project Name	Vintage	Qty*	Price*	Ccy	Trans Amt	Status
1C8E92509	May 07 2025	EMA CBL Markets Settlements	Sell	VCU-20160101-20161231-AFOLU-9416-SDG6-SDG7-SDG8-SDG9-SDG1-SDG2-SDG12-SDG3-SDG11-CCBA GOLD-SDG4-SDG10-SDG5-SDG16-SDG15-SDG14-SDG13-SDG17-IDN	Rimba Raya Biodiversity Reserve Project	2016	115	5.25	USD	603.75	Settled
1C88731E6	May 13 2025	CBL Markets SIP Settlement	Sell	VCU-20170415-20171231-EE-7621-CHN	Ningxia Xiangshan Weining Wind Farm Project	2017	64	0.27	USD	17.28	Settled
1C88731F3	May 13 2025	CBL Markets SIP Settlement	Sell	VCU-20190301-20191231-EE-8069-CHN	Ningxia Xiangshan Weining Wind Farm Project	2019	1,795	0.27	USD	484.65	Settled

In the screen above the Settlements are shown in detail.

The Status Column will reflect the current state of the Settlement process for each row.

## Withdraw SIP Deposits from CBL Platform

**NOTE:** users must use Xpansiv Connect for Withdrawal of SIP deposits from the CBL Platform; withdrawals cannot be actioned on CBL.

To Withdraw all, or a portion of a, SIP Deposit from the CBL Platform:

- Go to Transact > Vol/SIP Exchange Holdings option on the top menu
- Select your withdrawals by checking the relevant boxes on the far left
- Click Withdraw towards the upper right

Vo/SIP Exchange Holdings

Select All Mode  
Current Page 1 Clear Filters

Change Priority Withdraw Retry Search...

Exchange	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority	Actions	
<input checked="" type="checkbox"/>	CBL SIP	Southern Cardamom REDD+ Project	27Nov23-Lot1	VCU-20210101-20211231-AFOLU-14477-CCBA BIODIVERSITY GOLD-CCBA CLIMATE GOLD-KHM	Deposited	NGEO	VCS	1748	2021	Jun 05, 2025	12500	12,500	0	2	
<input type="checkbox"/>	CBL SIP	Southern Cardamom REDD+ Project	27Nov23-Lot1	VCU-20210101-20211231-AFOLU-14477-CCBA BIODIVERSITY GOLD-CCBA CLIMATE GOLD-KHM	Deposited	NGEO	VCS	1748	2021	Jun 05, 2025	23000	23,000	0	1	
<input type="checkbox"/>	CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	1250	1,250	0	2	
<input type="checkbox"/>	CBL VOL	Wind Grouped project by Hero Future Energies Private Limited (EKIESL-VCS-Aug-16-03)	13Jul23-Lot1	VCU-20200101-20200731-EE-9209-IND	Deposited	VCS	VCS	1582	2020	Jun 05, 2025	2500	2,500	0	1	

A new screen will appear showing the rows selected for Withdrawal. You have the option to adjust the quantity you want to withdraw in the right column. You can also remove a row by clicking the trash can icon on the right. When ready, click Submit.

Vo/SIP Exchange Holdings Withdraw

Clear Filters

Select more positions Unselect All Search...

Exchange	Project	Tax Lot	Product Code	Transaction ID	Reg Assign ID	Vintage	Quantity	Quantity To Withdraw	Actions
CBL SIP	Southern Cardamom REDD+ Project	27Nov23-Lot1	0-NGEO	1836CFB32	1748	2021	12,500	5,000	
							Sum: 12,500	Sum: 5,000	

25 50 100

Cancel Execute

Once you click Submit, the units will be returned to your Portfolio Inventory within 1-2 minutes.

## View Qualified SIP Projects

To view Projects Qualified for a given Market:

- Go to Projects > Global Projects Report via top menu
- In the Search Criteria section select the desired Market in the 'Certification' filter.  
Example: N GEO

### Xpansiv Connect Portfolio Management

Home	Portfolio	Transact	Projects	My EMA	CBL
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#### Xpansiv Project Track: Global Projects Report

##### Search Criteria

Narrow your search by selecting appropriate criteria:

EIN

UPN

Project Name

Program

Registry Assigned Project ID

Certification

Host Country

Only Display Projects with notes

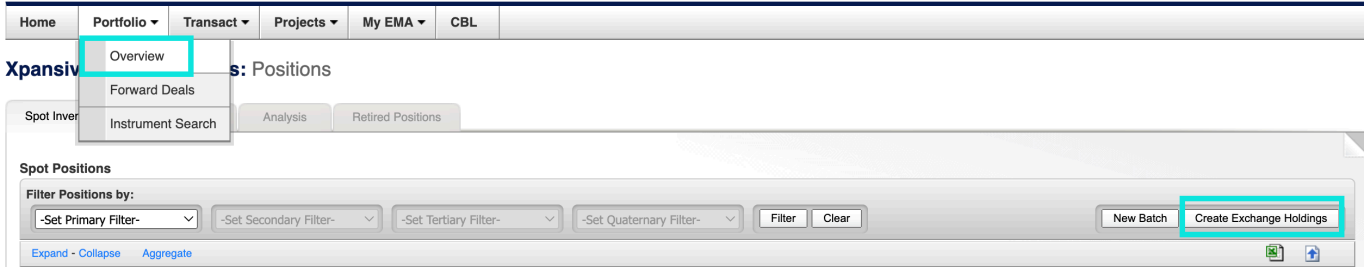
Search for Projects with these criteria

##### Search Results

UPN	Project Name	Program Info	Country	Certification	Notes	Dataroom
09905711	<a href="#">The Kasigau Corridor REDD Project - Phase I Rukinga Sanctuary</a>	Verified Carbon Standard	Kenya	N GEO (01/01/2019-12/31/2022); N GEO TR (01/01/2016-12/31/2018); CCBA GOLD (2011, 2012, 2013, 2014, 2015, 2016, 2017); CCBA BIODIVERSITY GOLD (2018, 2019, 2020, 2021, 2022); CCBA CLIMATE GOLD (2018, 2019, 2020, 2021, 2022)		
09905828	<a href="#">The Kasigau Corridor REDD Project - Phase II The Community Ranches</a>	Verified Carbon Standard	Kenya	N GEO (01/01/2019-12/31/2022); N GEO TR (01/01/2016-12/31/2018); CCBA GOLD (2010, 2011, 2012, 2013, 2015, 2016, 2017); CCBA BIODIVERSITY GOLD (2018, 2019, 2020, 2021, 2022); CCBA CLIMATE GOLD (2018, 2019, 2020, 2021, 2022)		
09905A78	<a href="#">Darkwoods Forest Carbon Project</a>	Verified Carbon Standard	Canada	N GEO (01/01/2019-12/31/2021); N GEO TR (01/01/2017-12/31/2018); CCBA GOLD (2013, 2014, 2015, 2016); CCBA BIODIVERSITY GOLD (2013, 2014, 2017, 2018, 2019, 2020, 2021); SDG6 (2017, 2018, 2019, 2020, 2021); SDG13 (2017, 2018, 2019, 2020, 2021); SDG15 (2017, 2018, 2019, 2020, 2021)		
0991B348	<a href="#">Rimba Raya Biodiversity Reserve Project</a>	Verified Carbon Standard	Indonesia	N GEO (01/01/2019-06/30/2019); N GEO TR (01/01/2016-12/31/2016); N GEO TR (01/01/2017-06/22/2017); N GEO TR (06/23/2017-12/31/2018); CCBA GOLD (2011, 2012, 2013, 2014, 2015, 2016, 2017); CCBA BIODIVERSITY GOLD (2011, 2012, 2017, 2018, 2019); SDG8 (2011, 2012, 2014, 2015, 2016, 2017, 2018, 2019); SDG10 (2011, 2012, 2014, 2015, 2016, 2017, 2018, 2019); CCBA COMMUNITY GOLD (2017, 2018, 2019); SDG9 (2011, 2012, 2014, 2015, 2016, 2017, 2018, 2019); SDG6 (2011, 2012, 2014, 2015, 2016, 2017, 2018, 2019); SDG12 (2011, 2012, 2014, 2015, 2016, 2017, 2018, 2019); SDG7 (2011, 2012, 2014, 2015, 2016, 2017, 2018, 2019); SDG11 (2011, 2012, 2014, 2015, 2016, 2017, 2018, 2019); SDG14 (2011, 2012, 2014, 2015, 2016, 2017, 2018, 2019); SDG13 (2011, 2012, 2014, 2015, 2016, 2017, 2018, 2019); SDG16 (2011, 2012, 2014, 2015, 2016, 2017, 2018, 2019); SDG15 (2011, 2012, 2014, 2015, 2016, 2017, 2018, 2019); SDG17 (2011, 2012, 2014, 2015, 2016, 2017, 2018, 2019); CCBA CLIMATE GOLD (2011, 2012, 2017, 2018, 2019); SDG4 (2011, 2012, 2014, 2015, 2016, 2017, 2018, 2019); SDG5 (2011, 2012, 2014, 2015, 2016, 2017, 2018, 2019); SDG2 (2011, 2012, 2014, 2015, 2016, 2017, 2018, 2019); SDG3 (2011, 2012, 2014, 2015, 2016, 2017, 2018, 2019); SDG1 (2011, 2012, 2014, 2015, 2016, 2017, 2018, 2019)		
099270F5	<a href="#">TIST Program in Kenya, VCS 005</a>	Verified Carbon Standard	Kenya	N GEO (01/01/2019-05/22/2020); N GEO TR (01/01/2017-12/31/2018); CCBA GOLD (2011); CCBA BIODIVERSITY GOLD (2016, 2017, 2018, 2019, 2020); CCBA COMMUNITY GOLD (2011, 2016, 2017, 2018, 2019, 2020); CCBA CLIMATE GOLD (2016, 2017, 2018, 2019, 2020)		

# Depositing US RECs to CBL

- Log into Xpansiv Connect and click on **Portfolio > Overview**.
- From the **Spot Inventory** tab, on the right click **Create Exchange Holdings**.



- In the pop-up window select the following:
- **Target Exchange** = CBL Compliance REC Deposit
- **Program** = select registry for deposit (NEPOOL, NAR, NYGATS, MIRECS, PJM or ERCOT)  
**Please Note:** For PJM and ERCOT, user must transfer the RECs from primary account to Participant's CBL Settlement Registry Account before this step.
- **Market** = Specific Market of RECs – user must select specific eligibility desired for deposit
- Click Continue

**Create Exchange Holdings**

**Target Exchange**

**Program**

**Market**

CBL Compliance REC Deposit

NEPOOL

MA Class I

In making this deposit, I confirm that I have legal title and the product has originated from my Participant registry account

Continue
Cancel

- Select the position(s) you want to deposit by clicking the checkbox(s) on the left.
- Click Continue

Create Compliance Exchange Holdings - Program: NEPOOL

Select All Mode

Current Page

Clear Filters

Total positions selected: 8

Search...

	TaxLot	Instrument	Project	Reg Assign ID	Vintage	Quantity	Counterparty Name	Date	Price
<input checked="" type="checkbox"/>	17Apr25-Lot5	ME+REC-2024-7-SUN-CT+MA CES-MA I-ME I-ME IA-NH II-RI NRR	AES CATERPILLAR HILL ROAD	MSS74631	2024 07	415		Apr 17, 2025	40.25
<input checked="" type="checkbox"/>	17Apr25-Lot1	ME+REC-2024-7-SUN-CT+MA CES-MA I-ME I-ME IA-NH II-RI NRR	AES CATERPILLAR HILL ROAD	MSS74631	2024 07	235		Apr 17, 2025	40.25
<input checked="" type="checkbox"/>	17Apr25-Lot3	ME+REC-2024-7-SUN-CT+MA CES-MA I-ME I-ME IA-NH II-RI NRR	AES CATERPILLAR HILL ROAD	MSS74631	2024 07	196		Apr 17, 2025	40.25
<input checked="" type="checkbox"/>	17Apr25-Lot2	ME+REC-2024-7-SUN-CT+MA CES-MA I-ME I-ME IA-NH II-RI NRR	AES CATERPILLAR HILL ROAD	MSS74631	2024 07	73		Apr 17, 2025	40.25
<input checked="" type="checkbox"/>	16Jan25-Lot1	NH+REC-2024-7-SUN-CT+MA CES-MA I-NH II-RI NRR	Kearsarge Fogland Kearsarge Fogland LLC	NON153258	2024 07	49		Jan 16, 2025	38.00
<input checked="" type="checkbox"/>	17Apr25-Lot4	ME+REC-2024-7-SUN-CT+MA CES-MA I-ME I-ME IA-NH II-RI NRR	AES CATERPILLAR HILL ROAD	MSS74631	2024 07	41		Apr 17, 2025	40.25
						Sum: 1,009			

25 50 100
< 1 >

Cancel
Continue

- On the next screen you have the option to edit the quantity for deposit in the **Quantity to deposit** column.
- You may also delete a row using the trash can icon next to Quantity to deposit.
- Review the details for accuracy.
- Click **Execute**.

Create Compliance Exchange Holdings - Program: NEPOOL

Clear Filters Select more positions Unselect All Search...

TaxLot	Instrument	Project	Reg Assign ID	Vintage	Quantity	Quantity to deposit	
27Nov24-Lot1	ME+REC-2024-6-SUN-MA CES-MA I-ME I-ME IA-ME II	Stockton Springs Solar Stockton Springs	NON128985	2024 06	3	3	
27Nov24-Lot1	ME+REC-2024-6-SUN-MA CES-MA I-ME I-ME IA	Town of Damariscotta	NON153944	2024 06	6	6	
17Apr25-Lot5	ME+REC-2024-7-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	AES CATERPILLAR HILL ROAD	MSS74631	2024 07	415	415	
17Apr25-Lot1	ME+REC-2024-7-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	AES CATERPILLAR HILL ROAD	MSS74631	2024 07	235	235	
17Apr25-Lot3	ME+REC-2024-7-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	AES CATERPILLAR HILL ROAD	MSS74631	2024 07	196	196	
17Apr25-Lot2	ME+REC-2024-7-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	AES CATERPILLAR HILL ROAD	MSS74631	2024 07	73	73	
16Jan25-Lot1	NH+REC-2024-7-SUN-CT I-MA CES-MA I-NH II-RI NRR	Kearsarge Fogland Kearsarge Fogland LLC	NON153258	2024 07	49	49	
17Apr25-Lot4	ME+REC-2024-7-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	AES CATERPILLAR HILL ROAD	MSS74631	2024 07	41	23	
					Sum: 1,018	Sum: 1,000	

25 50 100 1

Cancel batch **Execute**

- User will be asked to confirm the deposit a second time.

### Confirm Compliance Exchange Holdings Deposit

You're requesting to deposit quantity: **1,000**  
Do you wish to proceed?

Cancel **Execute**

- User will see **Deposit Completed** and can click to return to Portfolio inventory or go to the Exchange Holdings screen.

### Deposit completed

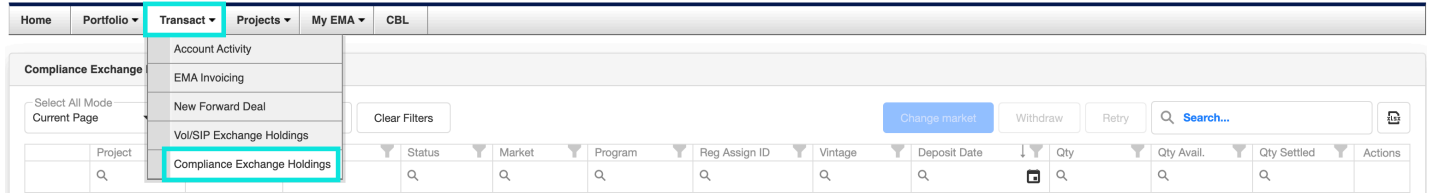
Compliance Exchange Holdings Deposit has been completed successfully

Go to Portfolio **Go to Exchange Holdings**

- Upon **CBL trade execution**, oldest vintages will be delivered first. If there are several vintages of the same month and year, oldest tax lots will settle first.

# Managing US REC Deposits

- Log into Xpansiv Connect and click on **Transact > Compliance Exchange Holdings**. This is where you can see what RECs are deposited on CBL for trading.



- On this screen you can verify the status of REC deposits and view the 'Qty Settled' via CBL transactions.
- This screen also provides the tools to change the market eligibility for multi-qualified REC deposits and Withdraw deposited Inventory from CBL.

**Compliance Exchange Holdings**


Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Actions
High Falls - High Falls	22Jun20-Lot1	QC+REC-2018-1-H2O	Deposited	NAR CAN Sited Hydro	NAR	GEN2553	2018 01	Sep 23, 2024	25000	25,000	0	[Q]
Elmer - Elmer	05Jan23-Lot1	NY+REC-LIHI-2017-9-H2O-N/A	Deposited	NYGATS NY Hydro	NYGATS	GEN33681	2017 09	Sep 19, 2024	677	677	0	[Q]
Elmer - Elmer	05Jan23-Lot1	NY+REC-LIHI-2017-3-H2O-N/A	Deposited	NYGATS NY Hydro	NYGATS	GEN33681	2017 03	Sep 19, 2024	1208	1,208	0	[Q]
AES CATERPILLAR HILL ROAD	17Apr25-Lot2	ME+REC-2024-7-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Deposited	MA Class I	NEPOOL	MSS74631	2024 07	Jun 05, 2025	73	73	0	[Q]
High Falls - High Falls	22Jun20-Lot1	QC+REC-2018-9-H2O	Deposited	NAR CAN Sited Hydro	NAR	GEN2553	2018 09	Nov 22, 2024	65231	65,231	0	[Q]

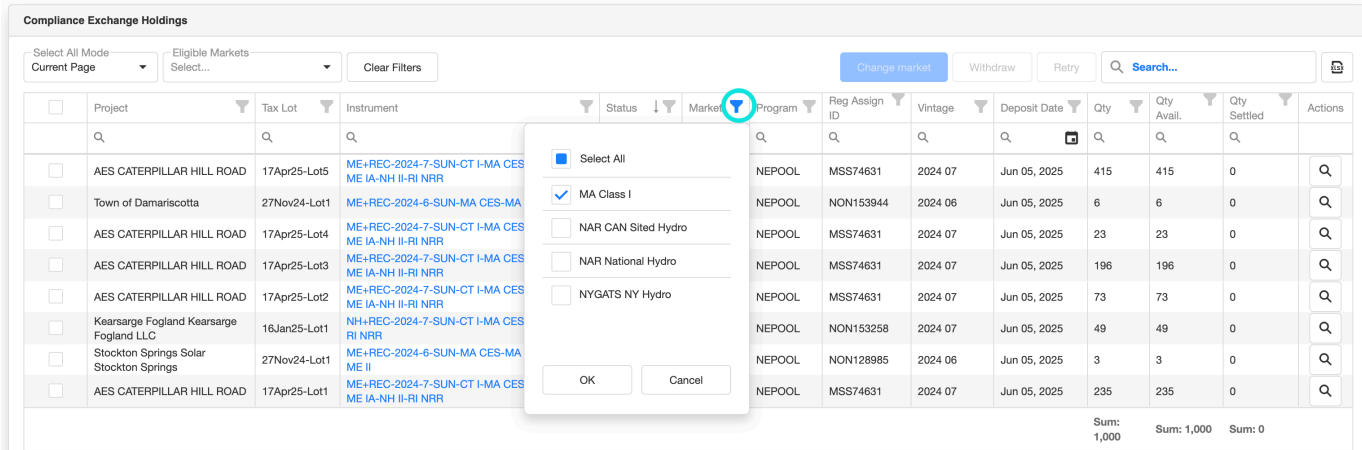
- The CBL Platform 'Holdings' Tab shows the REC inventory deposited on the CBL Platform via the REC Batch deposit process.

Holdings	Holding Transactions	Cash	Cash Transactions	+		
Actions	Instrument	Project ID	Vintage	Open Balance	Balance	Available
[Icons]	NYexHYDv17			10,000	10,000	10,000
[Icons]	MA1v24				1,000	1,000
[Icons]	RInwv24			638	638	638

- Upon **CBL trade execution**, oldest vintages will be delivered first. If there are several vintages of the same month and year, oldest tax lots will settle first.

## Navigating the Compliance Deposits Screen

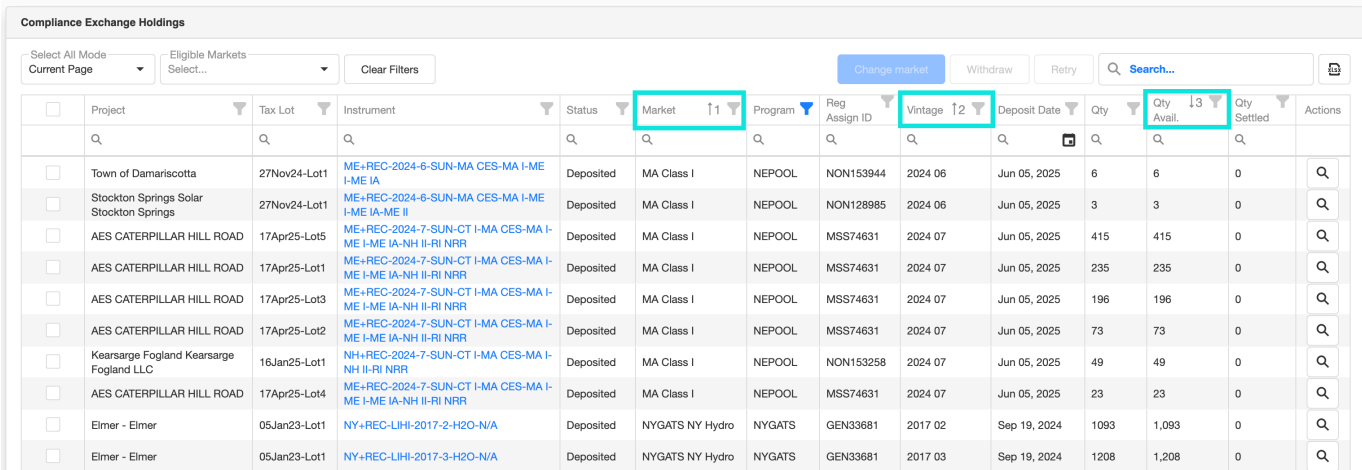
**Filtering:** to filter for a specific value in each column tap the filter icon  at the top of the row and a list of values to select will be shown. In the example below, MA Class I Market rows will be displayed when OK is pressed.



The screenshot shows the 'Compliance Exchange Holdings' interface. At the top, there are controls for 'Select All Mode', 'Current Page', 'Eligible Markets', and 'Clear Filters'. A search bar is located at the top right. The main table has columns: Project, Tax Lot, Instrument, Status, Market, Program, Reg Assign ID, Vintage, Deposit Date, Qty, Qty Avail., and Qty Settled. A filter dropdown is open over the 'Market' column, showing a list of options: 'Select All', 'MA Class I' (which is selected with a checkmark), 'NAR CAN Sited Hydro', 'NAR National Hydro', and 'NYGATS NY Hydro'. 'OK' and 'Cancel' buttons are at the bottom of the dropdown. The table below shows several rows of data, including projects like 'AES CATERPILLAR HILL ROAD' and 'Town of Damariscotta'.

**Sorting:** to sort a column, click on the label of the column. When sorted the ascending or descending arrow will be shown next to the column being sorted.

To sort by an additional column shift-click the additional column. This will show the additional column selected with a number depicting the level of the sorting for that additional column. To remove a column from the sort order, CTRL-click (PC) or Command-click (Mac) the column label.



The screenshot shows the 'Compliance Exchange Holdings' interface with the table sorted. The 'Market' column header has an upward arrow and the number '1', indicating it is the primary sort. The 'Qty Avail.' column header has a downward arrow and the number '13', indicating it is the secondary sort. The table rows are filtered to show 'MA Class I' market entries. The rows include 'Town of Damariscotta', 'Stockton Springs Solar', 'AES CATERPILLAR HILL ROAD', 'Elmer - Elmer', and others. The 'Status' column for all these rows is 'Deposited'.

**Global Search:** a global Search function is available to find values across all columns. To search enter a value into the Search box at the top right of the screen. Values that match the search criteria will be returned. Example: search 2024 and the results will be displayed. To clear the search tap the 'x' in the search box.

**Search by column** is also available. To search for a value in a specific column enter the value in the search area at the top of each column.

Compliance Exchange Holdings - Account: 096AF559

Select All Mode: Current Page | Eligible Markets: Select... | Clear Filters | Change market | Withdraw | Retry | **2024** | Search... | Export

<input type="checkbox"/>	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Actions
<input type="checkbox"/>	AES CATERPILLAR HILL ROAD	17Apr25-Lot5	ME+REC-2024-7-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Deposited	MA Class I	NEPOOL	MSS74631	2024 07	Jun 05, 2025	415	415	0	Q
<input type="checkbox"/>	Town of Damariscotta	27Nov24-Lot1	ME+REC-2024-6-SUN-MA CES-MA I-ME I-ME IA	Deposited	MA Class I	NEPOOL	NON153944	2024 06	Jun 05, 2025	6	6	0	Q
<input type="checkbox"/>	AES CATERPILLAR HILL ROAD	17Apr25-Lot4	ME+REC-2024-7-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Deposited	MA Class I	NEPOOL	MSS74631	2024 07	Jun 05, 2025	23	23	0	Q

**Export:** to export the data from the Compliance Deposits screen to Excel, click the  icon in the upper right corner and the data will be exported to a .csv file.

Compliance Exchange Holdings

Select All Mode: Current Page | Eligible Markets: Select... | Clear Filters | Change market | Withdraw | Retry | Search... | Export

<input type="checkbox"/>	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Actions
<input type="checkbox"/>	Elmer - Elmer	05Jan23-Lot1	NY+REC-LIHI-2017-7-H2O-N/A	Deposited	NYGATS NY Hydro	NYGATS	GEN33681	2017 07	Sep 19, 2024	348	348	0	Q
<input type="checkbox"/>	High Falls - High Falls	22Jun20-Lot1	QC+REC-2018-8-H2O	Deposited	NAR CAN Sited Hydro	NAR	GEN2553	2018 08	Nov 22, 2024	53714	53,714	0	Q
<input type="checkbox"/>	AES CATERPILLAR HILL ROAD	17Apr25-Lot5	ME+REC-2024-7-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Deposited	MA Class I	NEPOOL	MSS74631	2024 07	Jun 05, 2025	415	415	0	Q
<input type="checkbox"/>	High Falls - High Falls	22Jun20-Lot1	QC+REC-2017-1-H2O	Deposited	NAR National Hydro	NAR	GEN2553	2017 01	Sep 23, 2024	25000	25,000	0	Q

**Retry:** in the event Compliance deposit(s) are in the Rejected state, user can Retry by selecting the check box on the left of the affected row then click the Retry option. The deposits will attempt to redeposit to CBL platform.

Compliance Exchange Holdings

Select All Mode: Current Page | Eligible Markets: Select... | Clear Filters | Change market | Withdraw | **Retry** | Search... | Export

<input type="checkbox"/>	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Actions
<input checked="" type="checkbox"/>	AES CATERPILLAR HILL ROAD	17Apr25-Lot5	ME+REC-2024-8-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Rejected	MA Class I	NEPOOL	MSS74631	2024 08	Jun 06, 2025	135	135	0	Q
<input checked="" type="checkbox"/>	AES CATERPILLAR HILL ROAD	17Apr25-Lot4	ME+REC-2024-8-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Rejected	MA Class I	NEPOOL	MSS74631	2024 08	Jun 06, 2025	115	115	0	Q
<input type="checkbox"/>	AES CATERPILLAR HILL ROAD	17Apr25-Lot1	ME+REC-2024-7-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Deposited	MA Class I	NEPOOL	MSS74631	2024 07	Jun 05, 2025	235	235	0	Q
<input type="checkbox"/>	Stockton Springs Solar Stockton Springs	27Nov24-Lot1	ME+REC-2024-6-SUN-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Deposited	MA Class I	NEPOOL	NON128985	2024 06	Jun 05, 2025	3	3	0	Q
<input type="checkbox"/>	AES CATERPILLAR HILL ROAD	17Apr25-Lot2	ME+REC-2024-7-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Deposited	MA Class I	NEPOOL	MSS74631	2024 07	Jun 05, 2025	73	73	0	Q
<input type="checkbox"/>	Kearsarge Fogland Kearsarge Fogland LLC	16Jan25-Lot1	NH+REC-2024-7-SUN-CT I-MA CES-MA I-NH II-RI NRR	Deposited	MA Class I	NEPOOL	NON153258	2024 07	Jun 05, 2025	49	49	0	Q
<input type="checkbox"/>	AES CATERPILLAR HILL ROAD	17Apr25-Lot4	ME+REC-2024-7-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Deposited	MA Class I	NEPOOL	MSS74631	2024 07	Jun 05, 2025	23	23	0	Q

### Manage Deposited REC Eligibility

Users have the option to change the market eligibility for multi-eligible deposits by selecting the desired market in the Eligible Markets dropdown. Then select the relevant check box(es) on the far left for the deposits you want to update, then click Change Market towards the upper right.

Compliance Exchange Holdings

Select All Mode: Current Page | Eligible Markets: **ME Class I** | Clear Filters | Cancel Change Market | **Change market** | Search... | Export

<input type="checkbox"/>	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Actions
<input checked="" type="checkbox"/>	AES CATERPILLAR HILL ROAD	17Apr25-Lot5	ME+REC-2024-7-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Deposited	MA Class I	NEPOOL	MSS74631	2024 07	Jun 05, 2025	415	415	0	Q
<input type="checkbox"/>	Town of Damariscotta	27Nov24-Lot1	ME+REC-2024-6-SUN-MA CES-MA I-ME I-ME IA	Deposited	MA Class I	NEPOOL	NON153944	2024 06	Jun 05, 2025	6	6	0	Q
<input checked="" type="checkbox"/>	AES CATERPILLAR HILL ROAD	17Apr25-Lot4	ME+REC-2024-7-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Deposited	MA Class I	NEPOOL	MSS74631	2024 07	Jun 05, 2025	23	23	0	Q
<input checked="" type="checkbox"/>	AES CATERPILLAR HILL ROAD	17Apr25-Lot3	ME+REC-2024-7-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Deposited	MA Class I	NEPOOL	MSS74631	2024 07	Jun 05, 2025	196	196	0	Q
<input checked="" type="checkbox"/>	AES CATERPILLAR HILL ROAD	17Apr25-Lot2	ME+REC-2024-7-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Deposited	MA Class I	NEPOOL	MSS74631	2024 07	Jun 05, 2025	73	73	0	Q
<input type="checkbox"/>	Stockton Springs Solar Stockton Springs	27Nov24-Lot1	ME+REC-2024-6-SUN-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Deposited	MA Class I	NEPOOL	NON128985	2024 06	Jun 05, 2025	3	3	0	Q
<input type="checkbox"/>	AES CATERPILLAR HILL ROAD	17Apr25-Lot1	ME+REC-2024-7-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Deposited	MA Class I	NEPOOL	MSS74631	2024 07	Jun 05, 2025	235	235	0	Q

Sum: 951 | Sum: 951 | Sum: 0

In the next screen, update the Market Change Quantity if needed, then click Execute.

Compliance Exchange Holdings Market Change

Clear Filters Selected Market: ME Class I

Select more positions Unselect All

Project	Tax Lot	Current Market	Product Code	Program	Reg Assign ID	Vintage	Transaction ID	Qty Avail.	Market Change Quantity
AES CATERPILLAR HILL ROAD	17Apr25-Lot5	MA Class I	NEPOOL-MA_I-2024	NEPOOL	MSS74631	2024 07	1836CF497	415	200
AES CATERPILLAR HILL ROAD	17Apr25-Lot4	MA Class I	NEPOOL-MA_I-2024	NEPOOL	MSS74631	2024 07	1836CFB73	23	10
AES CATERPILLAR HILL ROAD	17Apr25-Lot3	MA Class I	NEPOOL-MA_I-2024	NEPOOL	MSS74631	2024 07	1836CF4B2	196	196
AES CATERPILLAR HILL ROAD	17Apr25-Lot2	MA Class I	NEPOOL-MA_I-2024	NEPOOL	MSS74631	2024 07	1836CFB65	73	73
								Sum: 707	Sum: 479

25 50 100

Cancel Execute

User will be asked to confirm the deposit a second time.

Change Market Confirmation

You're requesting a market change quantity: **479**  
Do you wish to proceed?

Cancel Execute

The current REC deposit will be withdrawn from CBL and replaced with a new deposit reflecting the updated market eligibility.

Compliance Exchange Holdings Market Change

Change Market Summary

Market Change submission was successful.

Project	Product Code	Transaction ID	Reg Assign ID	Vintage	Market Change Quantity	Market	Result	Details
AES CATERPILLAR HILL ROAD	NEPOOL-ME_I-2024	1836CF4B2	MSS74631	2024 07	196	ME_I	SUCCESS	
AES CATERPILLAR HILL ROAD	NEPOOL-ME_I-2024	1836CF497	MSS74631	2024 07	200	ME_I	SUCCESS	
AES CATERPILLAR HILL ROAD	NEPOOL-ME_I-2024	1836CFB65	MSS74631	2024 07	73	ME_I	SUCCESS	
AES CATERPILLAR HILL ROAD	NEPOOL-ME_I-2024	1836CFB73	MSS74631	2024 07	10	ME_I	SUCCESS	

25 50 100

Go to Portfolio Go to Exchange Holdings

Holdings		Holding Transactions			Cash	Cash Transactions	+
Id	Created	Instrument	Project ID	Vintage	Type	Quantity	Holding Transaction Status
3565344	Today 20:59:18	ME1v24			Deposit	479	Confirmed
3565343	Today 20:59:18	MA1v24			Withdraw	479	Confirmed

# Withdrawing US RECs

To Withdraw a REC Deposit from the CBL Platform:

- Go to Transact > Compliance Exchange Holdings option on the top menu
- Select your withdrawals by checking the boxes on the far left
- Click Withdraw towards the upper right

Compliance Exchange Holdings

Select All Mode: Current Page | Eligible Markets: Select... | Clear Filters | Change market | **Withdraw** | Retry | Search...

<input type="checkbox"/>	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Actions
<input type="checkbox"/>	AES CATERPILLAR HILL ROAD	17Apr25-Lot4	ME+REC-2024-8-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Pending	MA Class I	NEPOOL	MSS74631	2024 08	Jun 06, 2025	115	115	0	Q
<input type="checkbox"/>	AES CATERPILLAR HILL ROAD	17Apr25-Lot5	ME+REC-2024-8-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Pending	MA Class I	NEPOOL	MSS74631	2024 08	Jun 06, 2025	135	135	0	Q
<input checked="" type="checkbox"/>	AES CATERPILLAR HILL ROAD	17Apr25-Lot5	ME+REC-2024-7-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Deposited	MA Class I	NEPOOL	MSS74631	2024 07	Jun 05, 2025	415	415	0	Q
<input type="checkbox"/>	Stockton Springs Solar Stockton Springs	27Nov24-Lot1	ME+REC-2024-6-SUN-MA CES-MA I-ME I-ME IA-ME II	Deposited	MA Class I	NEPOOL	NON128985	2024 06	Jun 05, 2025	3	3	0	Q
<input checked="" type="checkbox"/>	AES CATERPILLAR HILL ROAD	17Apr25-Lot1	ME+REC-2024-7-SUN-CT I-MA CES-MA I-ME I-ME IA-NH II-RI NRR	Deposited	MA Class I	NEPOOL	MSS74631	2024 07	Jun 05, 2025	235	235	0	Q
<input type="checkbox"/>	Kearsarge Fogland Kearsarge Fogland LLC	16Jan25-Lot1	NH+REC-2024-7-SUN-CT I-MA CES-MA I-NH II-RI NRR	Deposited	MA Class I	NEPOOL	NON153258	2024 07	Jun 05, 2025	49	49	0	Q

A new screen will appear showing the rows selected for Withdrawal. You have the option to adjust the quantity you want to withdraw in the right column. You can also remove a row by clicking the trash can icon on the right. When ready, click Submit.

Compliance Exchange Holdings Withdraw

Clear Filters | Select more positions | Unselect All | Search...

Project	Tax Lot	Market	Product Code	Program	Reg Assign ID	Vintage	Transaction ID	Qty Avail.	Qty To Withdraw	Actions
AES CATERPILLAR HILL ROAD	17Apr25-Lot1	MA Class I	NEPOOL-MA_I-2024	NEPOOL	MSS74631	2024 07	1836CFB57	235	105	🗑️
AES CATERPILLAR HILL ROAD	17Apr25-Lot5	MA Class I	NEPOOL-MA_I-2024	NEPOOL	MSS74631	2024 07	1836CF497	415	215	🗑️
								Sum: 650	Sum: 320	

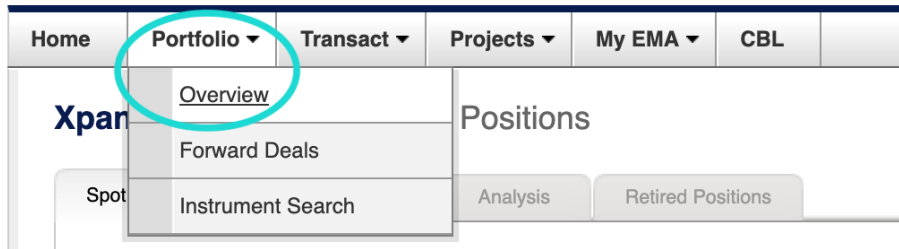
25 50 100 | < 1 >

Cancel **Execute**

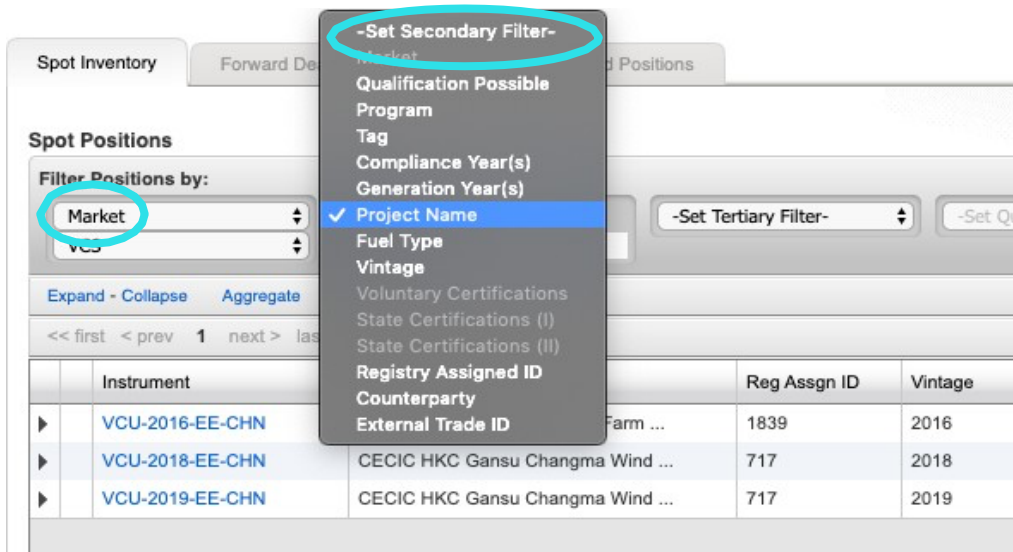
Once you click Submit, the units will be returned to your Portfolio Inventory within 1-2 minutes.

# Retire Credits

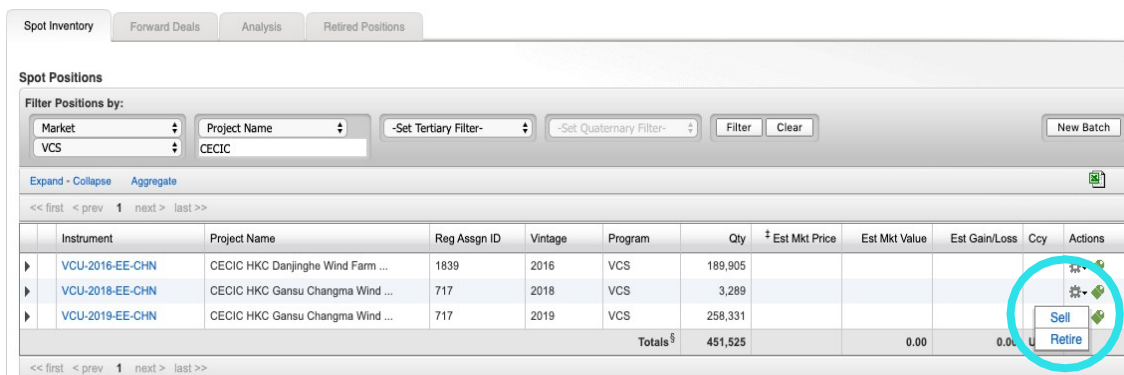
- Log into Xpansiv Connect and click on **Portfolio > Overview**.



- From the **Spot Inventory** tab, use the filter(s) to find the credits you want to retire.
- Filter the positions by **Market** and **Secondary Filter** of your requirement if needed.



- Once selected, click on the gear wheel icon under **Actions** on the right.
- Select **Retire** and a new screen will open.



\* The Quantity (Qty) amount includes the effects of currently unsettled transactions for an instrument.

- Enter **Quantity** of credits you want to retire.

**Xpansiv Transact: Retire Credits**

**Trade Lot**

Required fields are indicated by **Bold Text**

**Account**

Retirement Date Sep 28 2022

Description ERT-20030101-20031231-TRP\_FLE-USA

Symbol 1256FC52F0

Quantity Available 943

**Quantity**

- Re-enter the quantity you want to retire in the **Tax Lot Info** section.

**Tax Lot Info**

Required fields are indicated by **Bold Text**

Available Tax Lot(s)	Lot	Date	Prev Counterparty	Price	Ccy	Qty	Qty Selected
<input checked="" type="checkbox"/>	12Feb20-Lot1	Feb 12 2020	EMA CBL Markets Settlements	0.8000	USD	189,900	<input type="text"/>
<b>Total Quantity Selected</b>							0

- Complete the Retirement Info
- Retirement sub-account
- Beneficial owner/Transferee/Honoree (who the retirement is for)
- Retirement reason
- All email addresses you want notifications sent to, separated by semicolon
- Select check box if you want the make the retirement reason and details public. Select **Submit** and then **CONFIRM**.
- NOTE: Retirement certificates can be generated by the **Registry Administrator** if needed.

**Retirement Info**

Required fields are indicated by **Bold Text**

**Retirement Sub-Account**

**Transferee/Honoree/Other**

**Retirement Reason**

Retirement Reason Details

**Email Notification**


(0, max 200)  
Separate addresses with a semicolon ";"












Make Account Name and Retirement Reason Details Public

# View: Retired Positions

Under [Portfolio > Overview](#), the [Retired Positions](#) screen organizes and displays your retired positions by year, regime, retirement type, and quantity.

Your retired positions can be viewed in the aggregate or at the transaction level by clicking on the **+** and **-** icons.

All your retired positions can be exported to a CSV file by clicking on the  icon.

Spot Inventory		Forward Deals		Analysis		Retired Positions					
Retired Positions by Year											
											
<b>2022</b>										<b>539,830</b>	
Regime	Retirement Type										Quantity
<b>-</b>	<b>VCS</b>	<b>Retirement for Person or Organization</b>								<b>339,902</b>	
Year	Regime	Retirement Type	Project Name	Instrument Type	Vintage	Sub-Account	Compliance	Retirement Date	Details	Quantity	
2022	VCS	Retirement for Person or Organization	49.5 MW Wind Power Project by FFCEL in Pakistan	PROJECT_OFFSET	2013	Default		Apr 26 2022		56,798	
2022	VCS	Retirement for Person or Organization	49.5 MW Wind Power Project by FFCEL in Pakistan	PROJECT_OFFSET	2014	Default		Apr 26 2022		84,635	
2022	VCS	Retirement for Person or Organization	Fulton County Mud Road Landfill Carbon Offset Project	PROJECT_OFFSET	2020	Default		Apr 08 2022		104	
2022	VCS	Retirement for Person or Organization	Salto Pilião Hydropower Plant Project Activity	PROJECT_OFFSET	2017	Default		Jan 24 2022		99	
2022	VCS	Retirement for Person or Organization	Greater New Bedford LFG Utilization project	PROJECT_OFFSET	2020	Default		Aug 01 2022		8,265	
2022	VCS	Retirement for Person or Organization	Hebei Haixing 49.5MW Wind Farm Project	PROJECT_OFFSET	2013	Default		Aug 29 2022		12	
2022	VCS	Retirement for Person or Organization	THE HYUNDAI STEEL WASTE ENERGY COGENERATION PROJECT	PROJECT_OFFSET	2015	Default		Apr 26 2022		50,000	
2022	VCS	Retirement for Person or Organization	Salto Pilião Hydropower Plant Project Activity	PROJECT_OFFSET	2017	Default		Apr 08 2022		409	
2022	VCS	Retirement for Person or Organization	THE HYUNDAI STEEL WASTE ENERGY COGENERATION PROJECT	PROJECT_OFFSET	2015	Default		Apr 26 2022		50,000	
2022	VCS	Retirement for Person or Organization	THE HYUNDAI STEEL WASTE ENERGY COGENERATION PROJECT	PROJECT_OFFSET	2015	Default		Apr 26 2022		50,000	
<< first < prev <b>1</b> 2 3 next > last >>											
<b>+</b>	<b>VCS</b>	<b>Other</b>								<b>6,097</b>	
<b>+</b>	<b>VCS</b>	<b>Environmental Benefit</b>								<b>340</b>	
<b>+</b>	<b>CAR</b>	<b>On Behalf of Third Party</b>								<b>138,086</b>	
<b>+</b>	<b>CAR</b>	<b>Environmental Benefit</b>								<b>50</b>	
<b>+</b>	<b>CAR</b>	<b>Other</b>								<b>18,539</b>	
<b>+</b>	<b>ACR</b>	<b>On Behalf of Third Party</b>								<b>36,424</b>	
<b>+</b>	<b>ACR</b>	<b>Other</b>								<b>392</b>	

# Registries and Instruments Not Integrated to Xpansiv Connect

## Gold Standard Verified Emission Reductions (VERs)

### Gold Standard Registry

#### Depositing VER units for trading on CBL Markets

For trading VERs, please transfer units within Gold Standard Registry to Participant's designated CBL Markets Listing Sub Account. Contact Client Service at [clientservice@cblmarkets.com](mailto:clientservice@cblmarkets.com) if you need your account information.

Upon successful transfer of VERs to Participant's CBL Markets Listing Sub Account, CBL Market Operations will be notified of incoming transfer. Market Operations will review the incoming units and facilitate deposit to Participant's holdings on the Platform making them available to list.

Buying Participant must have the USD-BOFA account funded in advance of entering bids or lifting offers. See [Entering Orders on CBL](#) for **funding instructions**.

**Trading:** bid and offer orders are initiated and managed on the CBL Market Platform.

**Withdrawing** units from CBL Markets to Participant's primary Gold Standard Registry Account

Participants may submit requests to withdraw VER holdings from CBL Market by emailing CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com). CBL Market Operations will facilitate the transfer to the Gold Standard registry account provided in the Participant application. User submitting the withdrawal request must have Product Authority on record with CBL.

# Puro Standard CO<sub>2</sub> Removal Certificates (CORCs)

## Puro Registry

### Depositing CORC units for trading on CBL Markets

For trading CORCs, please transfer units within Puro Registry to Participant's designated CBL Market Registry Account; this is a sub account of CBL's Platform Operator account with Puro Registry. Contact Client Service at [clientservice@cblmarkets.com](mailto:clientservice@cblmarkets.com) if you need your account information.

Upon successful transfer of CORCs to Participant's CBL Market Registry Account, CBL Market Operations will be notified of incoming transfer. Market Operations will review the incoming units and facilitate deposit to Participant's holdings on the Platform making them available to list.

Buying Participant must have the USD-BOFA account funded in advance of entering bids or lifting offers. See [Entering Orders on CBL](#) for **funding instructions**.

**Trading:** bid and offer orders are initiated and managed on the CBL Market Platform.

### Withdrawing units from CBL Markets to Participant's primary Puro Registry Account

Participants may submit requests to withdraw CORC holdings from CBL Market by emailing CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com). CBL Market Operations will facilitate the transfer to the Puro Registry account provided in the Participant application. User submitting the withdrawal request must have Product Authority on record with CBL.

## Global Emissions Offset CORSIA Compliance Phase 1 (GEO-CP1)

Approved Registries include Architecture for REDD+ Transactions (ART), Gold Standard, Verra, ACR, and Climate Action Reserve (CAR), in each case once eligible units become available for delivery. A sub-account in each CBL registry account is required to transact GEO-CP1 on CBL.\*

### Depositing GEO-CP1 eligible units for trading on CBL Markets

Please transfer GEO-CP1 eligible Units to the respective Participant's designated GEO-CP1 Registry Sub Account in ART, Gold Standard, Verra, ACR, or CAR.

ART: CBL Markets (USA) LLC

Gold Standard: contact Client Service at [clientservice@cblmarkets.com](mailto:clientservice@cblmarkets.com) for participant specific Gold Standard registry sub account

Verra: CBL Markets General Settlement

ACR: to be provided when eligible units are available

CAR: to be provided when eligible units are available

Upon successful transfer of units to your GEO-CP1 Registry Sub-Account, CBL Market Operations will be notified of your incoming transfer. Market Operations will review the incoming units and facilitate deposit to your holdings on the Platform making them available for you to list.

Buying Participant must have their USD-BOFA account funded in advance of entering bids or lifting offers. See [Entering Orders on CBL](#) for **funding instructions**.

**Trading:** bid and offer orders are initiated and managed on the CBL Market Platform.

### Withdrawing units from CBL Markets to your primary registry account

Participants with primary registry accounts in the same name as the Participant may submit requests to withdraw GEO-CP1 holdings from CBL Market by emailing CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com). CBL Operations will facilitate the transfer to your primary registry account(s). User submitting the withdrawal request must have Product Authority on record with CBL.

Participants without primary registry accounts are able to only retire/cancel units or relist for sale on CBL. Retirement/cancellation instructions can be sent to CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com) and must comply with the requirements in the CBL Operating Rules and set by the Market Operator.

\* In accordance with Schedule 31 of CBL Operating Rules, Rule 2.(a) Market Operator waives the eligibility requirements of Market Rule 2.3 (a)(i) (requirement to be an account holder of a Registry Account) for Participants who wish to trade the Products.

## California Cap and Trade

### Compliance Instrument Tracking System Services (CITSS)

MIAX Futures Exchange, LLC (MIAX) has appointed CBL Markets as authorized account representative to manage day-to-day operation of their CITSS Registry Account to house Participant allowances for the purposes of transacting on CBL Market.

#### Depositing California carbon allowances and/or offset for trading on CBL Markets

For trading in the California Cap-and-Trade Program, please transfer Units within CITSS to:

- **Account Name:** MIAX Futures Exchange, LLC
- **Account Number:** CA1943-2290
- **Entity Reference Code:** 71496513

Please note that **due to ARB Regulations**, all Units held in the MIAX ECHA will be returned to Participants after the close of trading at the end of each week.

Upon successful transfer of CITSS units to MIAX ECHA, CBL Market Operations will be notified of your incoming transfer. Market Operations will review the incoming units and facilitate deposit to your holdings on the Platform making them available for you to list.

Buying Participant must have their USD-BOFA account funded in advance of entering bids or lifting offers. See [Entering Orders on CBL](#) for **funding instructions**.

**Trading:** bid and offer orders are initiated and managed on the CBL Market Platform.

#### Withdrawing units from CBL Market to your CITSS General Account

Participants may submit requests to withdraw CCA/CCO holdings from CBL Market by emailing CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com). CBL Market Operations will facilitate the transfer to your CITSS account provided in your Participant application. User submitting the withdrawal request must have Product Authority on record with CBL.

## Regional Greenhouse Gas Initiative (RGGI)

### Depositing RGGI allowances for trading on CBL Markets

For trading in the Regional Greenhouse Gas Initiative Program, please transfer Units within RGGI COATS to Participant's designated CBL Market Registry Account. Contact Client Service at [clientservice@cblmarkets.com](mailto:clientservice@cblmarkets.com) if you need your account information.

Upon successful transfer of RGGI units to your CBL Market Registry Account, CBL Market Operations will be notified of your incoming transfer. Market Operations will review the incoming units and facilitate deposit to your holdings on the Platform making them available for you to list.

Buying Participant must have their USD-BOFA account funded in advance of entering bids or lifting offers. See [Entering Orders on CBL](#) for **funding instructions**.

**Trading:** bid and offer orders are initiated and managed on the CBL Market Platform.

### Withdrawing units from CBL Markets to your RGGI general Account

Participants may submit requests to withdraw RGGI holdings from CBL Market by emailing CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com). CBL Market Operations will facilitate the transfer to your RGGI account provided in your Participant application. User submitting the withdrawal request must have Product Authority on record with CBL.

## Clean Air Markets Division Business System (CAMDBS)

### Depositing CAMD allowances for trading on CBL Markets

For trading in EPA Clean Air Markets Division, please transfer Units within CAMDBS to Participant's designated CBL Market Registry Account. Contact Client Service at [clientservice@cblmarkets.com](mailto:clientservice@cblmarkets.com) if you need your account information.

Upon successful transfer of CAMD units to your CBL Market Registry Account, CBL Market Operations will be notified of your incoming transfer. Market Operations will review the incoming units and facilitate deposit to your holdings on the Platform making them available for you to list.

Buying Participant must have their USD-BOFA account funded in advance of entering bids or lifting offers. See [Entering Orders on CBL](#) for **funding instructions**.

**Trading:** bid and offer orders are initiated and managed on the CBL Market Platform.

### Withdrawing units from CBL Markets to your CAMD Account

Participants may submit requests to withdraw CAMD holdings from CBL Market by emailing CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com). CBL Market Operations will facilitate the transfer to your CAMD account provided in your Participant application. User submitting the withdrawal request must have Product Authority on record with CBL.

## Low Carbon Fuel Standard (LCFS)

### LCFS Reporting Tool – Credit Bank and Transfer System (LRT-CBTS)

MIAX Futures Exchange, LLC (MIAX) has appointed CBL Markets as authorized account representative to manage day-to-day operation of their LRT-CBTS Registry Account to house Participant units for the purposes of transacting on CBL Market.

#### Depositing LCFS units for trading on CBL Markets

Please transfer Units within LRT-CBTS to:

- **Select Transfer Type 3:** Agreements for the sale of LCFS credits through any contract arranged through a clearing service provider.
- **Account Name:** MIAX Futures Exchange, LLC
- **Click the Exchange Transfer** box and leave price blank

Please note that **due to ARB Regulations**, all Units held in the MIAX Holding Account will be returned to Participants after the close of trading at the end of each week.

Upon successful transfer of LCFS units to MIAX Holding Account, CBL Market Operations will be notified of your incoming transfer. Market Operations will review the incoming units and facilitate deposit to your holdings on the Platform making them available for you to list.

Buying Participant must have their USD-BOFA account funded in advance of entering bids or lifting offers. See [Entering Orders on CBL](#) for **funding instructions**.

**Trading:** bid and offer orders are initiated and managed on the CBL Market Platform.

#### Withdrawing units from CBL Market to your LRT-CBTS Account

Participants may submit requests to withdraw LCFS holdings from CBL Market by emailing CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com). CBL Market Operations will facilitate the transfer to your LRT-CBTS account provided in your Participant application. User submitting the withdrawal request must have Product Authority on record with CBL.

## Australian Carbon Credit Units (ACCU)

### Australian National Registry of Emissions Units (ANREU)

#### Depositing generic ACCU and ACCU-HIR for trading on CBL Markets

For trading Australian Carbon Credit Units, please transfer Units within ANREU to Participant's designated CBL Market Holdings Account. Contact Client Service at [clientservice@cblmarkets.com](mailto:clientservice@cblmarkets.com) if you need your account information.

Upon successful transfer of ACCUs to your CBL Market Holdings Account, CBL Market Operations will be notified of your incoming transfer. Market Operations will review the incoming units and facilitate deposit to your holdings on the Platform making them available for you to list.

Buying Participant must have their AUD-REC account funded in advance of entering bids or lifting offers. Please **transfer AUD** to the following account in **Westpac Banking Corporation**:  
Account Name: CBL Markets (Australia) Pty Ltd  
Account Number: contact CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com)  
BSB (Routing): 034215  
SWIFT: WPACAU2S  
Bank Name: Westpac  
Bank Address: Level 31, 275 Kent Street, Sydney NSW, 2000 Australia

**Trading:** bid and offer orders are initiated and managed on the CBL Market Platform.

#### Withdrawing units from CBL Markets to your ANREU Account

Participants may submit requests to withdraw ACCU holdings from CBL Market by emailing CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com). CBL Market Operations will facilitate the transfer to your ANREU account provided in your Participant application. User submitting the withdrawal request must have Product Authority on record with CBL.

**PART 3:**

# Reported Transactions

# Reporting a Trade

Both counterparties must be active CBL Participants with the relevant product(s) set up to transact on CBL (i.e., active registry account linked, instrument available to Participant to transact, trader user identified, buyer in-funds, seller in-product, etc.)

Appropriate party submits **Order Ticket** to **CBL Market Operations** ([operations@cblmarkets.com](mailto:operations@cblmarkets.com)) using the following template.

All information is required to facilitate reported transactions. If a field is not applicable, please fill in 'n/a'; for example, LCFS does not require Project ID or Vintage.

Seller Firm/Trader's CBL Username\*:

Buyer Firm/Trader's CBL Username\*:

Broker (if applicable):

Market (Voluntary, SIP, Compliance):

Instrument (e.g., VCS, ERT, NCEO, NJSR, MASR2, etc.):

Project ID (if applicable):

Vintage:

Quantity:

List Price:

\*The individual accepting the trade on CBL must have an active CBL user with Trader authority enabled.

**Questions** about reported trades?

Commercial – [exchange@xpansiv.com](mailto:exchange@xpansiv.com)

Market Operations – [operations@cblmarkets.com](mailto:operations@cblmarkets.com)

Phone: **+1-833-972-6677**

# Reporting a Trade: Voluntary

**VCS, CAR, ACR, COU, IREC:** Seller deposits specified units to CBL Market with priority 1 (ONE). All other VCM deposits in the same project and vintage, if any, must be priority 2 or higher to ensure delivery of the negotiated project, vintage, and quantity. See [Depositing Voluntary Carbon \(VCM\) to CBL](#) for details on depositing and withdrawing VCM units.

**VER:** Seller transfers units within Gold Standard Registry to Participant's designated CBL Markets Listing Sub Account; **CBL Market Operations** will accept the incoming units and deposit to the respective seller's Participant account on the Market.

**CORC:** Seller transfers product to Participant's designated CBL Market Registry Account in Puro; **CBL Market Operations** will accept the incoming units and deposit to the respective seller's Participant account on the Market.

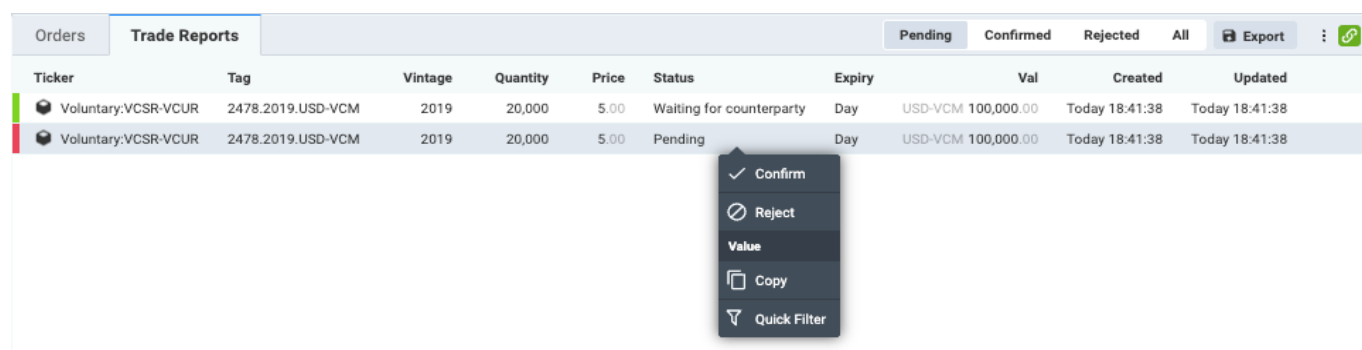
**ACCUR:** Seller transfers product to Participant's designated CBL Market Holdings Account in ANREU; **CBL Market Operations** will accept the incoming units and deposit to the respective seller's Participant account on the Market.

**Buyer** must be in-funds, inclusive of CBL fees, in the Participant Market Bank Account (USD-BOFA) to accept their side of the order. See [Entering Orders on CBL](#) for **funding instructions**. For ACCUR, **buyer** must fund their AUD-REC account. See [Australian Carbon Credit Units \(ACCU\)](#) for bank funding instructions.

**CBL Market Operations** will book the Reported Transaction to CBL and notify the respective counterparties when ready to accept.

**Log in** to CBL Markets and select **Voluntary Market** workspace, then select **Trade Reports** tab at bottom of the screen

Right click the **Status** a pop up will appear where you can either **Confirm** or **Reject** the trade.



The screenshot shows the 'Trade Reports' tab in the CBL Markets interface. The table displays two orders with the following columns: Ticker, Tag, Vintage, Quantity, Price, Status, Expiry, Val, Created, and Updated. The first order has a status of 'Waiting for counterparty' and the second has a status of 'Pending'. A context menu is open over the 'Pending' status of the second order, showing options: Confirm, Reject, Value, Copy, and Quick Filter.

Ticker	Tag	Vintage	Quantity	Price	Status	Expiry	Val	Created	Updated
Voluntary:VCSR-VCUR	2478.2019.USD-VCM	2019	20,000	5.00	Waiting for counterparty	Day	USD-VCM 100,000.00	Today 18:41:38	Today 18:41:38
Voluntary:VCSR-VCUR	2478.2019.USD-VCM	2019	20,000	5.00	Pending	Day	USD-VCM 100,000.00	Today 18:41:38	Today 18:41:38

# Reporting a Trade: SIP

**Seller** deposits SIP eligible product to CBL Market with priority 1 (ONE). All other SIP deposits in the same instrument must be priority 2 or higher to ensure delivery of the negotiated project, vintage, and quantity. See [Depositing SIP to CBL](#) for details on depositing and withdrawing SIP units.

**Buyer** must be in-funds, inclusive of CBL fees, in the Participant Market Bank Account (USD-BOFA) to accept their side of the order. See [Entering Orders on CBL](#) for **funding instructions**.

**CBL Market Operations** will book the Reported Transaction to CBL and notify the respective counterparties when ready to accept.

**Log in** to CBL Markets and select **SIP** workspace, then select **Trade Reports** tab at bottom of the screen.

Right click the **Status** a pop up will appear where you can either **Confirm** or **Reject** the trade.

Reference	Created	Market	Ticker	Vintage	Type	Side	Quantity	Price	Val	Status	Expiry	Updated
1HT2Z	Today 22:06:55	SIP	SIP:NGEO-SIP		TwoSideReport GoodtillDate	Sell	50,000	12.15	USD 607,500.00	Waiting for counterparty	Day	Today 22:07:27
1HT2Y	Today 22:06:55	SIP	SIP:NGEO-SIP		TwoSideReport Buy GoodtillDate	Buy	50,000	12.15	USD 607,500.00	Pending	Day	Today 22:06:55

# Reporting a Trade: Compliance

**Seller** encumbers or deposits the negotiated units to CBL Market

- US RECS: **deposit** to CBL Market via Xpansiv Connect
- **RGGI, CAMD**: transfer product to Participant CBL Registry Account
- **CITSS, LCFS**: transfer product to **MIAX holding account**
- If needed, **CBL Market Operations** will accept the incoming units and deposit to the respective seller’s account on the Market.

**Buyer** must be in-funds, inclusive of CBL fees, in the Participant Market Bank Account (USD-BOFA) to accept their side of the order. See **Entering Orders on CBL** for **funding instructions**.

**CBL Market Operations** will book the Reported Transaction to CBL and notify the respective counterparties when ready to accept.

Log in to CBL Markets and select **Compliance Market** workspace, then select **Trade Reports** tab at bottom of the screen.

Right click the **Status** a pop up will appear where you can either **Confirm** or **Reject** the trade.



**PART 4:**

# Post Trade Execution

# Trade Report Notification

Upon matching on CBL Market, the trader user which executed will receive a [trade report notification email](#).

Sample trade report email:

**Subject:** CBL TRADE ALERT: SALE XXXX9  
**Date:** Friday, May 30, 2025 at 08:05:12 AM Eastern Standard Time  
**From:** CBL Operations  
**To:** [Participant User]



## **SAMPLE CONFIRM ONLY**

Dear [Participant User],

TRADE ALERT: SALE

Account Name:	Trade Capital USA, Inc
CBL Membership No:	321123
Transaction No:	XXXX9
Date/Time:	2025-05-30 08:05:01 (UTC)
Trade Type:	ONMARKET
Product:	VCU
Vintage:	2019
Standard:	VCS
Project Type:	AFOLU
Region/Country:	Asia/Indonesia
Volume Traded:	1,000
Unit Price:	USD (BOFA) \$6.05
Subtotal:	USD (BOFA) \$6,050.00
Fee:	USD (BOFA) \$50.00
Fee Tax:	USD (BOFA) \$0.00
Total:	USD (BOFA) \$6,000.00

An additional email address can be added to receive trade report notifications. A Participant can provide CBL with a group distribution email address to add. This way you, the Participant, controls who receives these reports. These requests can be submitted to CBL [Market Operations](#) ([operations@cblmarkets.com](mailto:operations@cblmarkets.com)) or [Client Service](#) ([clientservice@cblmarkets.com](mailto:clientservice@cblmarkets.com)).

# Purchased Holdings

## Voluntary

- VCS, CAR, ACR, COU, IREC buyers retain deposits on CBL
  - It is recommended buyer prioritize these holdings in Xpansiv Connect in the event user plans to list them; they are otherwise last in the delivery priority order.
  - Participant can withdraw VCM holdings from CBL within Xpansiv Connect by referring to Voluntary Deposit inventory and initiating a withdrawal of product; once selected, the product is returned to the Xpansiv Connect portfolio within 1-2 minutes. See [Withdrawing Voluntary Carbon \(VCM\) from CBL](#) for details on withdrawing VCM units.

## Gold Standard

- Purchased holdings remain on the Market available in buying Participant's inventory.
- If Participant wishes to withdraw holdings, email CBL [Market Operations @ operations@cblmarkets.com](mailto:operations@cblmarkets.com). The individual requesting withdrawal must be listed on the CBL Authorized Persons form with product access.
- CBL Market Operations enters holdings withdrawal on CBL Market Platform and initiates transfer in the registry to the Participant's primary account

## CORCs

- The trading day for CORCs ends at 23:59:59 UTC and new trading day starts with the date roll over at 00:00:00 UTC. This is relevant for the once-a-day settlement transfer process.
- If Participant ends the trading day as a net seller, CBL Market Operations facilitates transfer of units to the buying Participant's CBL Market Registry Account. Settlement transfers begin (T+1) at 08:00 ET Monday-Friday. \*Note: the product and cash move at time of transaction on the CBL Platform.

## SIP

- SIP buyers retain deposits on CBL
- It is recommended buyer prioritize these holdings in Xpansiv Connect in the event they plan to list them; they are otherwise last in the delivery priority order.
- Participant can withdraw SIP holdings from CBL within Xpansiv Connect by referring to their SIP Deposit inventory and initiating a withdrawal of product; once selected, the product is returned to the Xpansiv Connect portfolio within 1-2 minutes. See [Withdrawing SIP Deposits from CBL](#) for details on withdrawing SIP units.

## GEO-CP1

- GEO-CP1 trading day ends at 23:59:59 UTC and new trading day starts with the date roll over at 00:00:00 UTC. This is relevant for the **once-a-day** settlement transfer process.
- If Participant ends the trading day as a net seller, CBL Market Operations facilitates transfer of units to the buying Participant's GEO-CP1 Registry Sub-Account. Settlement

transfers begin (T+1) at 09:00 UK Local Time Monday-Friday. \*Note: the product and cash move at time of transaction on the CBL Platform.

### US RECs

- As a buyer, buyers retain deposits on CBL.
- Participant can withdraw REC holdings from CBL within Xpansiv Connect by referring to Compliance Deposit inventory and initiating a withdrawal of product; once selected, the product is returned to the Xpansiv Connect portfolio within 1-2 minutes. See [Withdrawing US RECs from CBL](#) for details on withdrawing RECs.

### RGGI and CAMD

- Purchased holdings remain on the Market available in buying Participant's inventory.
- If Participant wishes to withdraw holdings, email CBL [Market Operations](#) @ [operations@cblmarkets.com](mailto:operations@cblmarkets.com). The individual requesting withdrawal must be listed on the CBL Authorized Persons form with product access.
- CBL Market Operations enters holdings withdrawal on CBL Market Platform and initiates transfer in the registry to the Participant's primary account

### CITSS and LRT-CBTS

- Participant may request withdrawal of purchased or unsold holdings by emailing CBL [Market Operations](#) @ [operations@cblmarkets.com](mailto:operations@cblmarkets.com). The individual requesting withdrawal must be listed on the CBL Authorized Persons form with product access.
- CBL Market Operations enters holdings withdrawal on CBL Market Platform and initiates transfer in the registry back to the Participant's primary account.
- Unless otherwise requested sooner, CBL Market Operations will automatically return all units to Participants at week's end.

### ANREU ACCU

- CBL Market Operations team will facilitate settlement transfers:
  - **Settlement Window #1:** 14:00 to 15:00 AEPT the same business day as Market Open – all trades that occur on the market between 10:00 AEPT (Market Open) and 13:59:59 AEPT will be physically settled during this settlement window. Product and Cash withdrawal requests associated with trades that have occurred before this window will be processed.
  - **Settlement Window #2:** 09:00 to 10:00 AEPT the following business day – all trades that occur on the market between 14:00 to 17:00 AEPT the business day prior will be physically settled during this settlement window before market open of the next trading day. Product and Cash withdraw requests associated with trades that have occurred before this window will be processed.
  - **ACCU Prioritization:** If a participant would like to prioritize the ACCUs they want delivered during a settlement window, the participant must submit an ACCU Prioritization spreadsheet within 10-minutes of the start of the settlement window for the prioritization to occur. An ACCU Prioritization spreadsheet can be requested from [operations@cblmarkets.com](mailto:operations@cblmarkets.com)  
If an ACCU Prioritization spreadsheet is not received, the CBL Markets Operations

Team will use the following **Delivery Methodology** – in order of priority

1. Vintage > Oldest First
  2. Quantity > Largest Size First: largest batch quantities will be first out
- ACCU **seller** can request withdrawal of AUD proceeds by contacting CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com). The individual requesting withdrawal must be listed on the CBL Authorized Persons form with cash authority.

# Settlement of Funds

A CBL User with cash manager authority can initiate **funds withdrawal** directly on CBL Platform. External bank instructions require **verbal confirmation** prior to the first withdrawal being processed. This is performed as part of the on-boarding process, and again if the instructions are changed or updated.

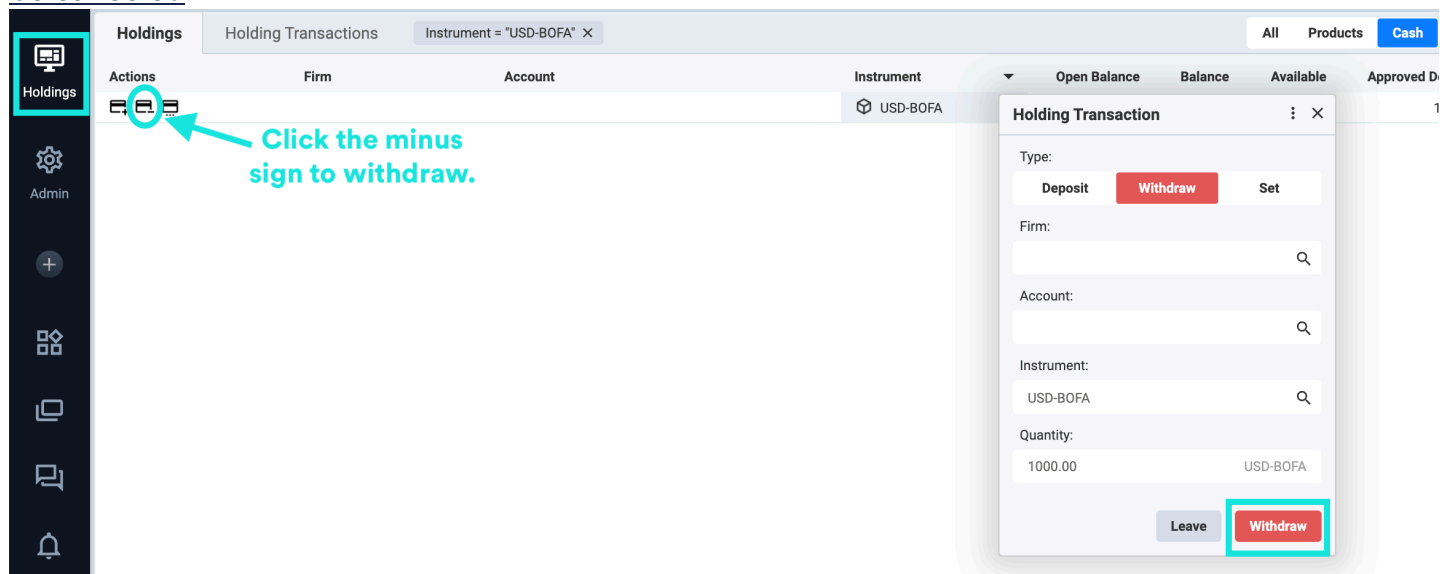
It is recommended that these users first **review** and **verify** the **external bank instructions on CBL Platform** by going to Admin > Bank Accounts. See details for External Account Provider > DEST.



Bank Account Name	Bank Account Number	Routing Number	Routing Number Scheme	Alt Routing Number	Alt Routing Number Scheme	Bank Name	External Account Provider	City
FBO Testfirm1	XXXXXXXX1	111000012	ACH	026009593	Wire	Bank of America N.A.	BOFA	New York
TestFirm 1 Bank Account Name	XXXXXXXX3	00000111111	Wire	1111112222	ACH	Bank Name	DEST	New York

Then go to Holdings > Holdings. Click the minus ('-') sign icon on the left to bring up the Holding Transaction window. Enter the desired dollar amount (USD) in the Quantity field. When ready, click Withdraw.

Withdrawals are **automated**. Once entered on CBL, the Status on the Holding Transactions screen will indicate 'Processing'. It will **immediately** be sent to BofA for processing and **cannot be canceled**.



Click the minus sign to withdraw.

Funds Withdrawals will be processed to your **nominated external bank account** within 24-48 hours upon request.

If you need additional assistance, please contact **CBL Market Operations** at [operations@cblmarkets.com](mailto:operations@cblmarkets.com) or 833-972-6677, ext 2.

# Training Opportunities

To schedule a training session for CBL, please contact:

Commercial – [exchange@xpansiv.com](mailto:exchange@xpansiv.com)

Market Operations – [operations@cblmarkets.com](mailto:operations@cblmarkets.com)

Xpansiv Connect Operations – [support@xpansiv.com](mailto:support@xpansiv.com)

Phone: [+1-833-972-6677](tel:+18339726677)

